



# Jackson County Missouri

Jackson County Courthouse  
415 E. 12th Street, 2nd floor  
Kansas City, Missouri 64106  
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## Legislation Details (With Text)

**File #:** 20502      **Version:** 0      **Name:** Contracts for underwriting services RFQ 34-20  
**Type:** Resolution      **Status:** Passed  
**File created:** 9/17/2020      **In control:** Finance & Audit Committee  
**On agenda:** 9/21/2020      **Final action:** 9/30/2020  
**Title:** A RESOLUTION authorizing the award of contracts for underwriting services relating to debt financing to Morgan Stanley of New York, NY, Bank of America Securities, Inc., of St. Louis, MO, Piper Sandler of Leawood, KS, Hilltop Securities, Inc., of St. Louis, MO, and Valdes and Moreno, Inc., of Kansas City, MO, under the terms and conditions of Request for Qualifications No. 34-20.  
**Sponsors:** Charlie Franklin  
**Indexes:** CONTRACT, UNDERWRITING SERVICES  
**Code sections:**  
**Attachments:** 1. 20502bu.pdf, 2. 20502adopt.pdf

Date	Ver.	Action By	Action	Result
9/30/2020	0	County Legislature	adopt	Pass
9/30/2020	0	County Legislature	Consent Agenda	
9/29/2020	0	County Legislature	Go To 2nd Meeting	Pass
9/28/2020	0	Finance & Audit Committee	recommend do pass	Pass
9/22/2020	0	County Legislature	Go To 1st Meeting	Pass
9/21/2020	0	County Legislature	assign to committee	

### IN THE COUNTY LEGISLATURE OF JACKSON COUNTY, MISSOURI

**A RESOLUTION** authorizing the award of contracts for underwriting services relating to debt financing to Morgan Stanley of New York, NY, Bank of America Securities, Inc., of St. Louis, MO, Piper Sandler of Leawood, KS, Hilltop Securities, Inc., of St. Louis, MO, and Valdes and Moreno, Inc., of Kansas City, MO, under the terms and conditions of Request for Qualifications No. 34-20.

**RESOLUTION NO. 20502**, September 21, 2020

**INTRODUCED BY** Charlie Franklin, County Legislator

WHEREAS, the Director of Finance and Purchasing has issued Request for Qualifications No. 34-20

for underwriting services; and,

WHEREAS, a total of twenty-three notifications were distributed and seven responses were received and evaluated as follows:

<b><u>RESPONDENT</u></b>	<b><u>EVALUATION POINTS</u></b>
Morgan Stanley New York, NY	286
Bank of America Securities, Inc. St. Louis, MO	278
Piper Sandler Leawood, KS	270
Hilltop Securities, Inc. St. Louis, MO	267
Valdes and Moreno, Inc. Kansas City (Platte County), MO	259
Stern Brothers St. Louis, MO	239
D.A. Davidson & Co. Des Moines, IA	238

and,

WHEREAS, pursuant to section 1054.6 of the Jackson County Code, the County's evaluation team recommends the authorization of contracts with the five best ranked respondents as follows: Morgan Stanley of New York, NY, Bank of America Securities, Inc., of St. Louis, MO, Piper Sandler of Leawood, KS, Hilltop Securities, Inc., of St. Louis, MO, and Valdes and Moreno, Inc., of Kansas City (Platte County), MO; and,

WHEREAS, the adoption of this Resolution will establish a pool of highly qualified underwriting firms to which contracts may be awarded in the future, based on pricing and other circumstances specific to possible future transactions; and,

WHEREAS, all bond underwriting fees and expenses will be paid from the proceeds of the future financing transactions; now therefore,

BE IT RESOLVED by the County Legislature of Jackson County, Missouri, that award be authorized as recommended by the evaluation team and that the Director of Finance and Purchasing be, and is hereby, authorized to execute for the County any documents necessary to the accomplishment of specific future contracts.

Effective Date: This Resolution shall be effective immediately upon its passage by a majority of the Legislature.

APPROVED AS TO FORM:

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Chief Deputy County Counselor    County Counselor

Certificate of Passage

I hereby certify that the attached resolution, Resolution No. 20502 of September 21, 2020, was duly passed on September 30, 2020 by the Jackson County Legislature. The votes thereon were as follows:

Yeas     9    

Nays     0

Abstaining   0  

Absent   0  

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Date

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Mary Jo Spino, Clerk of Legislature