

IN THE COUNTY LEGISLATURE OF JACKSON COUNTY, MISSOURI

A RESOLUTION requesting the Missouri State Auditor to conduct a comprehensive performance audit to examine and evaluate the management of Jackson County's fiscal, budgetary, and procurement policies and procedures to ensure transparency and accountability to the taxpayers in the expenditure of public funds.

RESOLUTION NO. 19745, February 26, 2018

INTRODUCED BY Scott Burnett, County Legislator

WHEREAS, the Legislature adopted the County's 2018 annual budget via a floor amendment to Ordinance 5052 on December 15, 2017; and,

WHEREAS, in the Legislature's final adopted budget memorandum dated December 15, 2017, attached as Exhibit A, the Legislature raised several concerns regarding the expenditure and transfer of funds budgeted within non-departmental accounts, questionable spending on contracts, and the need for transparency and accountability; and,

WHEREAS, on January 3, 2018, the County Executive recommended that the Legislature request the Missouri State Auditor to conduct a comprehensive audit of the County's fiscal and procurement processes; and,

WHEREAS, the Legislature is hopeful that an independent audit by the Missouri State Auditor will provide assurance to all County elected officials and the citizens of Jackson County that the assets of the County are safeguarded through proper internal controls that fully comply with applicable State and County laws; and,

WHEREAS, the Legislature recommends the scope of the comprehensive audit include, but not be limited to, determining the existence of:

- Effective oversight of the awarding and administering of legal and professional services contracts and whether any County employee, officer or elected official received a separate, personal benefit from any such contract; and,
- Proper internal controls to ensure best practices of the authority to transfer \$9,999 or less from one appropriation account to another without Legislative approval; and,
- Proper fiscal, budgetary, and procurement policies and procedures for internal operations; and,
- Conformity and compliance with existing fiscal, budgetary, and procurement policies and procedures and to ensure that they do not contradict or conflict with the County code or State regulations; and,
- Compliance with governmental best practices in the financial management of fiscal, budgetary, and procurement policies and procedures and identification of areas of improvement as needed;

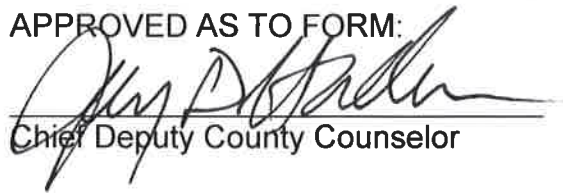
and,

WHEREAS, the Legislature desires the Missouri State Auditor to conduct the audit in as expeditious a manner as possible and to prepare a written report noting findings, recommendations, and conclusions; now therefore,

BE IT RESOLVED by the County Legislature of Jackson County, Missouri, that the Legislature hereby requests that the Missouri State Auditor conduct a comprehensive audit of the County's finances in accordance with this Resolution.

Effective Date: This Resolution shall be effective immediately upon its passage by a majority of the Legislature.

APPROVED AS TO FORM:


Chief Deputy County Counselor


County Counselor

Certificate of Passage

I hereby certify that the attached resolution, Resolution No. 19745 of February 26, 2018, was duly passed on February 26, 2018 by the Jackson County Legislature. The votes thereon were as follows:

Yeas 8

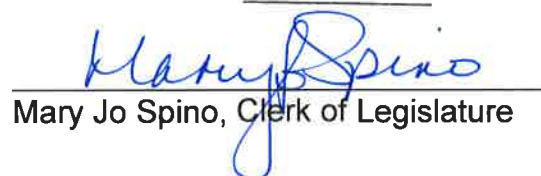
Nays 0

Abstaining 0

Absent 0

Excused 1

2/26/18
Date


Mary Jo Spino, Clerk of Legislature



COUNTY LEGISLATURE JACKSON COUNTY, MISSOURI

JACKSON COUNTY COURTHOUSE

415 E. 12th Street, 2nd Floor
Kansas City, Missouri 64106
(816) 881-3000
(816) 881-3340 FAX
www.jacksongov.org

Res. #19745 Exhibit A

INDEPENDENCE

201 W. Lexington, Suite 201
Independence, Missouri 64050
(816) 881-4400
(816) 881-4473 FAX

DATE: December 15, 2017

TO: County Executive Frank White Jr., Prosecutor Jean Peters Baker, Sheriff Michael Sharp, and Presiding Judge John Torrence

FROM: Legislature Chair Scott Burnett, Budget Committee Chair Theresa Galvin, and Members of the Jackson County Legislature

SUBJECT: Jackson County Budget for FY2018

On behalf of the Jackson County Legislature, we are pleased to present the final adopted budget for 2018 which provides funding for various important public services for the citizens of Jackson County. As the Legislature redefines our role in the decision-making process, several core values guide our decisions:

- Transparency and Accountability – it is critical that the Adopted Budget and the implementation of the budget are transparent to ensure accountability to the taxpayers for the use of public funds;
- Financial Sustainability – it is critical that the Legislature make financial decisions that are sustainable over time to ensure the financial viability of the County; and,
- Basic Infrastructure and Services – it is critical that the Legislature prioritize basic infrastructure needs of the County to ensure the best possible public services to Jackson County despite limited resources.

These core values must be adhered to in preparing and administering the County's budget to maintain the public trust. As proposed, there are a number of serious deficiencies regarding the budget recommended by the County Executive including but not limited to:

Structurally Unsound Budget:

First and foremost, the County Executive has proposed a budget that is structurally unsound. The ongoing revenue is estimated at \$243 million whereas anticipated expenditures are estimated at \$280 million creating an imbalance of over \$37 million. In the general fund alone, this is an imbalance in excess of \$9.9 million.



Irresponsible Bond Proposal:

Second, the County Executive has proposed issuing \$30 million in bonds as part of the proposed budget, with an annual debt payment of over \$2 million for twenty years without identifying a revenue source to service the debt. As noted in a December 1, 2017 email communication from Jack Holland, Managing Director at Ameritas, he states, *"it will be important to identify and budget the continuing source of revenue to make debt service payments, rather than rely on annual Fund Balances that may be available after revenue and expenditures have been budgeted."* – see Attachment 1. The Legislature cannot support the County Executive's proposal as presented which seriously impacts the financial viability of the County in 2018 and beyond.

Plan for the Detention Center:

Third, in preparing a budget, it is our fiduciary responsibility to identify not only what we need but also what we can afford.

There are serious concerns about the detention center. However, only some of the issues are connected to capital needs. The Legislature has repeatedly requested information, two task forces have been convened, yet no specific plan of action has been developed by the administration and the capital improvement plan has changed a number of times by the Executive Office. In fact, the last study completed by the Executive Office was in August, yet to date there has been no comprehensive action plan set forth except to propose another task force to study the issues further. It is time that a comprehensive plan is developed to immediately address policies and procedures to ensure correctional officer and inmate safety, as well as a plan to address immediate physical infrastructure needs in addition to a long-term plan.

Through a series of meetings held over the last 2 ½ months, the Legislature has worked with staff to identify several critical projects expected to cost under \$7 million. If the County Executive works with the Legislature jointly towards the same goal, these immediate needs could possibly be funded internally over the next two years without issuing debt. The Legislature would propose that this is an appropriate and responsible use of our savings account in the undesignated fund balance and emergency contingency accounts. By not issuing debt for these projects and paying cash, the taxpayers save \$3.6 million in interest expenses that would otherwise be paid over twenty-five years.

Additionally, the County Executive Office has continued to advocate for increasing pay for corrections officers. While corrections officers need to have a compensation strategy that will recruit and retain quality employees, the funding plan as proposed by the County Executive is an issue. The County Executive's proposed budget unilaterally reallocates COMBAT proceeds in violation of Resolution 10950 that governs the intended use of this tax. This resolution has been in place for more than twenty years. The County Executive is proposing to use COMBAT proceeds for the pay increases for Corrections Officers by changing how the funds are allocated in violation of the Resolution which adversely impacts other users including the Prosecutor's

Office, Circuit Court, Kansas City Police Department and Drug Task Force. The voters authorized this tax with an understanding of how the funds would be used based on the twenty year history. To consider changing the allocation of the funds should be a discussion that involves the Legislature, the COMBAT Commission, and other agencies funded by the tax including the Circuit Court, Prosecutor's Office, Sheriff's Office, Kansas City Police Department and Drug Task Force. Therefore, the Legislature is setting these funds aside until a funding plan can be developed as part of the budget implementation in 2018.

Sheriff's Office:

In the budget memo, the County Executive touts that the Sheriff's Office has received an increase in funding of nearly \$1 million. This statement is incorrect. Of this amount, nearly half of the funding is already available in the Sheriff's Office Revolving Fund, which the Sheriff has exclusive decision making control according to RSMo 50.535. It is misleading for the County Executive to assert that they have increased the Sheriff's Office budget in this manner.

As for the balance of funding "increase," the County Executive has proposed a budget that shifts sexual offender and warrant officer expenses as part of the Sheriff's Office to be funded from the Special Road and Bridge fund rather than the General Fund. RSMo 57.600 authorizes the payment of any patrol related expenses of the Sheriff's Office from the Special Road and Bridge fund, but it does not provide authorization to fund sexual offender officers or warrant officers. Therefore, the Legislature does not view this as an appropriate funding strategy or an authorized use of the Special Road and Bridge funds.

Use of Non-Departmental and Questionable Spending:

Attached is a list of Professional Services Contracts entered into in 2017 (Attachment 2) totaling over \$1.3 million paid in part from non-departmental accounts. The nature of these contracts are diverse and more concerning in that only four of the sixty-nine contracts were competitively bid. Of the four that were bid – 2 were bid in 2013 and 2 were bid in 2015. Several of these contracts have multiple addendums, some balloon well beyond the initial contract value. For example, summarized below are several contracts that ballooned beyond their original contract value which were never presented to the Legislature for approval:

- A contract with Graves Garrett LLC was originally entered into in 2016 for \$30,000 but has since had two addendums in 2017 bringing the cumulative contract value to \$188,100. A separate contract with Grave Garret LLC was recently initiated for another \$25,000.
- A contract with Polsinelli for federal lobbying services was entered into in 2014 for \$200,000; however there has since been three addendums bringing the cumulative contract amount to \$760,000.
- A contract with Husch Blackwell LLP was originally entered into in 2015 for \$50,000 but has since had seven addendums bringing the cumulative contract value to \$287,288.

Need for Transparency:

As illustrated by the attached email communication from the Chief of Staff to the Director of Corrections regarding inmate transport *"Let's do it. Emergency contract, using undesignated*

fund balance. Unless there is a contractual service line item we could use to avoid the delay of taking this to the Legislature.” In that same email communication the Chief of Staff informed the Chief Financial Officer, “I am okay asking the CE (County Executive) to sign 3 transfers to achieve this savings. Let’s discuss.”

The Legislature has significant concern over these practices by the County Executive Office. This was a blatant attempt to circumvent fiscal and purchasing policies and Legislative oversight provided in the county code. Furthermore, one cannot legally use Undesignated Fund Balance without presenting an ordinance to the Legislature requesting appropriation of funds – see Attachments 3 and 4.

In Summary:

To provide a balanced budget without compromising financial viability, the Legislature has made several changes through the budget amendment to eliminate questionable spending and address the lack of transparency.

- Elimination of a variety of vacant and filled positions countywide, some vacant since 2012, saving the taxpayers \$1.9 million. These positions were in various departments including Finance, Information Technology, Economic Development, Collections, and County Counselor’s Office, Public Work8 and as follows:
 - The Executive’s budget did not include funding for the Office of Communications; however, these costs are embedded in other departments. In the interest of transparency, the Legislature’s budget amendment eliminates salaries and operating costs for the Department Director and staff included in a variety of other departments related to the Office of Communications saving the taxpayers over \$400,000.
 - Consolidation of the Office of Emergency Preparedness into the Sheriff’s Department saving taxpayers over \$90,000.
 - Elimination of several non-mission critical positions in the County Executive’s Office savings taxpayers over \$639,000. According to Executive Orders 16-12 and 17-20, the only department assigned to the Chief of Staff was the Office of Communications which has been dissolved and unfunded; therefore, the Chief of Staff position was eliminated. Ordinance 5061 was recently adopted moving COMBAT Administration under the direction of the Prosecutor’s Office, and the emergency management function has been reassigned to the Sheriff’s Office; therefore as the two departments assigned to the Chief of Health Services have been moved, this position was also eliminated.
 - Other salary and related costs throughout the organization in excess of \$800,000.

To further address the myriad of concerns, the Legislature has to redefine its role with regard to the budget administration to provide more oversight, more checks, and balances, and eliminate wasteful spending on contracts and non-mission critical positions. Therefore, positions have been created in the Auditor's Office to assist with this oversight work.

Additionally, Ordinance 5062 establishes three reserve accounts to restrict certain appropriations including (1) Operating Expenses; (2) Legal Expenses; and (3) Building and Improvements. The ordinance provides that upon the recommendation of the County Auditor or Budget Officer, expenses can be authorized upon adoption of a resolution approved by at least six members the Legislature. By establishing these reserve accounts, specific expenses will be individually authorized offering greater transparency to ensure the expenses are financially sustainable and meet the County's basic needs.

The budget amendment shifts over \$10 million, largely from the non-departmental section to these specific reserve accounts, to ensure the expenditures comply with applicable state statutes and county codes.

As the Legislature and Executive move forward, we hope that we will be able to work collaboratively to find solutions to the issues facing the county without compromising the values of transparency and financial sustainability while focusing on meeting the County's basic needs.

Attachments:

1. Email Communication with Jack Holland, Ameritas dated December 1, 2017
2. List of Professional Services Contracts - 2017
3. Email Communication with Caleb Clifford, Chief of Staff dated August 24, 2017
4. Memo to File regarding Code Violations

From: Jack Holland/ALIC
To: burnett.scott@gmail.com, gtroythomas@gmail.com, CWooderson@jacksongov.com,
Date: 12/01/2017 12:21 PM
Subject: Jackson County Special Obligation Bonds

Attachment 1

Mr. Burnett,

As discussed this morning I would like to reiterate a number of points related to the County's currently contemplated financing to fund improvements to the Jail and Courthouse.

1. As currently proposed the pending Federal Tax Legislation would not impact the tax exemption of financing for public purpose projects such as the Jail and Courthouse improvements.
2. The pending Federal Tax Legislation, which has an effective date of January 1, 2018, does remove the tax exemption for Advance Refunding Bonds, Private Purpose Bonds and Bonds issued for Not For Profits.
3. The Bond Rating Agencies (Moody's and Standard & Poor's) will look closely at the identified source of revenue to make long term debt service payments on any bonds issued to fund Jail and Courthouse improvements.
4. It will be important to identify and budget the continuing source of revenue to make debt service payments, rather than rely on annual Fund Balances that may be available after revenue and expenditures have been budgeted.
5. Jackson County has a strong credit rating (Moody's AA2) and should be able to finance its public purpose projects at attractive interest rates if sufficient revenues are identified to retire long term debt.

Let me know if you have any additional questions.

Jack Holland

Jack Holland | Ameritas Investment Corp. Member FINRA/SIPC | Managing Director – AIC Capital Markets/KC Public Finance

800 West 47th Street, Suite 600, Kansas City, MO 64112

p: 816.844.7770 | c: 816.679.7882 jack.holland@ameritas.com

Attachment 2

2017 Active Professional Services Contracts

Bid		Description	Contracts Initiated Prior to 2017 (Year /Amount)	Contracts Initiated in 2017 (Addendum # /Amount)	Cumulative Amount of Contract
1	L	First Addendum to Legal Services Agreement between Jackson County and Graves Garrett LLC to represent Jackson County for services related to an investigation of alleged assaults in the Jackson County Detention Center GRAVES GARRETT LLC 109,600 01/31/2017	2016 \$30,000	1 \$109,600	
2	L	Second Addendum to Legal Services Agreement between Jackson County and Graves Garrett LLC to County Detention Center. GRAVES GARRETT LLC 48,500 06/06/2017		2 \$48,500	\$ 188,100
3	L	Legal Services Agreement between Jackson County, Missouri and Graves Garrett LLC to provide specialized legal advice and assistance to the County relating to County officeholders' (JaCo Executive and Legislature) exercise of powers. GRAVES GARRETT LLC 25,000 12/14/2017		\$ 25,000	\$ 25,000
4	BID 2013 RFP 78-13	Professional Services Agreement between Jackson County and Polsinelli to provide specialized advice and consulting to federal legislative lobbying. POLSINELLI 150,000 04/27/2017	2014 \$200,000	3 \$150,000	\$ 760,000
5	L	First Addendum to a Legal Services Agreement between Jackson County and Polsinelli to represent the County relating to asbestos litigation arising from Elsea v. U.S. Engineering Case No. 1016-CV07983 POLSINELLI 10,700 02/08/2017	2016 \$35,000	1 \$10,700	
6	L	Second Addendum to Legal Services Agreement between Polsinelli and Jackson County relating to insurance coverages issues and defense. POLSINELLI 1,060 07/20/2017		2 \$1,060	\$ 46,760
7	L	First addendum to Legal Services Agreement between Jackson County and Polsinelli to provide legal advice and representation relating to the Rock Island Rail Corridor Right-of-Way. POLSINELLI 0.00 02/28/2017	2016 \$32,500	1 \$0	\$ 32,500
8	L	Legal Services Agreement between Jackson County and Polsinelli P.C., to provide legal advice and assistance to the County pertaining to certain mineral interests. POLSINELLI 35,000 07/13/2017		\$ 35,000	\$ 35,000
9	BID 2015 RFP 75-15	First Addendum to the Professional Services Agreement between Jackson County and The Estell Group THE ESTELL GROUP 48,000 04/27/2017	2016 \$48,000	1 \$48,000	\$ 96,000
10	L	Sixth Addendum Legal Services Agreement between Jackson County and Husch Blackwell LLP to provide legal advice to the County in connection with an employment matter Chappell (1616-CV15430) HUSCH BLACKWELL LLP 167,300 10/03/2017	2015 \$50,000	6 \$167,300	
11	L	Seventh Addendum Legal Services Agreement between Jackson County and Husch Blackwell LLP to provide legal advice to the County in connection with an employment matter within the Office of the County Counselor HUSCH BLACKWELL LLP 3,700 12/04/2017		7 \$3,700	\$ 287,288
12	L	Third Addendum to Legal Services Agreement between Jackson County and Husch Blackwell, LLP to provide legal advice and representation relating to Jackson County v. J Sommer. HUSCH BLACKWELL LLP 50,000 04/13/2017	2015 \$20,000	3 \$50,000	\$ 130,000
13	L	Tenth addendum to a Legal Services Agreement between Jackson County and Husch Blackwell, LLP to provide legal advice to County in connection with general employment law. HUSCH BLACKWELL LLP 0.00 05/18/2017	2013 \$5,000	10 \$0	\$ 145,000
14	L	First Addendum to Legal Services Agreement between Jackson County and Seyferth, Blumenthal & Harris to provide specialized legal advice and training to the County. SEYFERTH, BLUMENTHAL & HARRIS 18,500 03/10/2017	2016 \$1,000	1 \$18,500	\$ 19,500
15	L	First Addendum Legal Services Agreement between Jackson County and Seyferth, Blumenthal & Harris to provide specialized legal advice and assistance to the County relating to an employment discrimination. SEYFERTH, BLUMENTHAL & HARRIS 0.00 06/20/2017	2016 \$50,000	1 \$0	\$ 50,000

2017 Active Professional Services Contracts

Bid		Description	Contracts Initiated Prior to 2017 (Year /Amount)	Contracts Initiated in 2017 (Addendum # /Amount)	Cumulative Amount of Contract
16	L	Second Addendum Legal Service Agreement between Jackson County and Seyferth, Blumenthal & Harris to provide specialized legal advice and training to the county and specifically to the Prosecuting Attorney's Office SEYFERTH, BLUMENTHAL & HARRIS 13,000 11/21/2017	2016 \$1,000	2 \$13,000	\$ 32,500
17	L	Fourth Addendum to a Legal Services Agreement between Jackson County and Seyferth, Blumenthal & Harris to provide legal legal services SEYFERTH, BLUMENTHAL & HARRIS 124,323 11/21/2017	2016 \$19,995	4 \$124,323	\$ 224,227
18	L	Third Addendum Legal Services Agreement between Jackson County and Seyferth, Blumenthal & Harris to represent Jackson County case concerning Christine Lynde (1516-Cv25920) SEYFERTH, BLUMENTHAL & HARRIS 0.00 08/30/2017		3 \$0	
19	BID 2013 RFP 67-13	Third Addendum to Professional Services Agreement between Jackson County and John Bardgett & Associates, Inc. for the furnishing of consulting services in the area of legislative services on RFP 67-13 Associates, Inc. for the furnishing of consulting services in the area of legislative services on RFP 67-13 JOHN BARDGETT & ASSOCIATES, INC 141,000 02/01/2017	2013 \$141,000	3 \$141,000	\$ 564,000
20	L	Eighth Addendum to Legal Services Agreement between Jackson County and Lonnie E. Blaydes to provide specialized legal consultation and representation to the County. LONNIE E. BLAYDES 75,000 05/02/2017	2013 \$20,000	8 \$75,000	\$ 499,450
21	L	Fifth addendum Legal Services Agreement between Jackson County Spencer Fane, LLP to provide specialized legal services and representation to the County in connection with economic development. SPENCER FANE, LLP 760 03/15/2017	2013 \$12,500	5 \$760	\$ 45,260
22	L	First Addendum Legal Services Agreement between Jackson County and Spencer Fane LLP to provide legal advice and representation to the County and the Jackson County Sheriff SPENCER FANE, LLP 20,000 11/28/2017	2016 \$8,000	1 \$20,000	\$ 28,000
23	BID 2015 RFP 74-15	First Addendum to a Professional Services Agreement between Jackson County and Catalyst to perform consulting services in the area of legislative services, pursuant to Request of Proposals No. 74-15 CATALYST 42,000 02/03/2017	2015 \$42,000	1 \$42,000	\$ 84,000
24	L	Fourth Addendum to a Legal Services Agreement between Jackson County and Foland, Wickens, Eisfelder, Roper & Hofer, P.C. to provide legal advice and legal representation to the Jackson County Board of Equalization. FOLAND, WICKENS, EISFELDER, ROPER, & HOFER, P.C. 30,000 02/22/2017	2015 \$10,000	4 \$30,000	\$ 70,000
25	L	First Addendum to a Legal Services Agreement between Jackson County and Ronald L. Jurgeson, LLC to provide specialized legal advice and representation to the County relating to the Jackson County Board of Equalization RONALD JURGESON, LLC 16,025 01/25/2017	2016 \$15,000	1 \$16,025	\$ 31,025
26	L	First addendum to a Legal Services agreement between Jackson County and White, Graham, Buckley, Carr, LLC. To provide legal counsel and represent members of the Board of Equalization. WHITE, GRAHAM, BUCKLEY, CARR, LLC 0.00 02/22/2017	2016 \$10,000	1 \$0	\$ 10,000
27	L	Legal Services Agreement between Jackson County and Encompass Resolution LLC to provide legal consulting services ENCOMPASS RESOLUTION, LLC 5,400 04/19/2017		\$ 5,400	\$ 5,400
28	L	Legal Services agreement between Jackson County and Encompass Resolution, LLC to provide specialized legal investigative and consulting to the Department of Corrections. ENCOMPASS RESOLUTION, LLC 4,100 04/25/2017		\$ 4,100	\$ 4,100
29	L	Legal Service Agreement with Encompass Resolution, LLC. to provide specialized legal investigative and consulting services and assistance to the County. ENCOMPASS RESOLUTION, LLC 9,600 07/25/2017		\$ 9,600	\$ 9,600
30	L	A Legal Services Agreement between Jackson County and Ronald L. Jurgeson, LLC to provide specialized legal advice and representation relating to the Jackson County Merit System Commission. RONALD JURGESON, LLC 3,500 01/25/2017		\$ 3,500	\$ 3,500

2017 Active Professional Services Contracts

Bid		Description	Contracts Initiated Prior to 2017 (Year /Amount)	Contracts Initiated in 2017 (Addendum # /Amount)	Cumulative Amount of Contract
31	L	A Legal Services Agreement between Jackson County and Eric Stefan Butkovich to assist the County's Municipal Court Prosecutor in County ordinance violations and other dockets as assigned by the County Counselor ERIC STEFAN BUTKOVICH 26,000 01/13/2017		\$ 26,000	\$ 26,000
32	L	A Legal Services Agreement between Jackson County and Robert C. Welch to represent the County in County ordinance violations and other dockets as assigned by the County Counselor or the designees of the County Counselor ROBERT C. WELCH 35,000 01/18/2017		\$ 35,000	\$ 35,000
33	L	A Legal Services Agreement between Jackson County and William G. Snyder to provide advice and assistance as requested by the County Counselor related to property tax collection, assessment, and other matters as needed WILLIAM G. SNYDER 10,000.00 02/16/2017		\$ 10,000	
34	L	First Addendum Legal Services Agreement between Jackson County and William G. Snyder to provide specialized legal advice to property tax collection, assessment and related matters WILLIAM G. SNYDER 5,000 09/27/2017		1 \$5,000	\$ 15,000
35	L	Legal Services Agreement between Jackson County and Gilmore & Bell to provide disclosure compliance services in accordance with federal securities laws. GILMORE & BELL, PC 1,200 07/28/2017		\$ 1,200	\$ 1,200
36	L	A Legal Services Agreement between Jackson County and Emcompass Resolution, LLC, to provide specialized service and assistance relating to an employment complaint to Merit System Commission ENCOMPASS RESOLUTION, LLC 2,760 10/26/2017		\$ 2,760	\$ 2,760
37	L	Class Representative/Attorney Representation Agreement between Jackson County and Wagstaff & Cartmell, LLP and Miller Schirger, LLC to undertake legal representation in connection with the possible claims relating to matter MILLER SCHIRGER, LLC 0.00 12/13/2017 WAGSTAFF & CARTMELL LLP 0.00 12/13/2017		\$ -	
38	L	Legal Services Agreement between Jackson County, Missouri and Littler Mendelson, P.C. to provide specialized legal advice and representation to the County in connection with an investigation of an employment complaint in anticipation of litigation. LITTLER MENDELSON 10,000 12/14/2017		\$ 10,000	\$ 10,000
39	NO BID Under \$5,000	A Medical Services Agreement between Jackson County and Michael Finnegan, Ph.D. for the services of a forensic anthropologist as needed by and at the direction of the County's Medical Examiner. MICHAEL FINNEGAN, PH.D. 1,000 01/30/2017		\$ 1,000	
40	NO BID Under \$5,000	First Addendum to Medical Services Agreement between Jackson County and Michael Finnegan, Ph.D. to provide services to Medical Examiners Office. MICHAEL FINNEGAN, PH.D. 2,000 05/30/2017		1 \$2,000	
41	NO BID Under \$5,000	Second Addendum to Medical Services Agreement between Jackson County and Michael Finnegan, Ph. D. to provide the services of a forensic anthropologist as needed. MICHAEL FINNEGAN, PH.D. 1,500 10/11/2017		2 \$1,500	\$ 4,500
42	NO BID Under \$5,000	Medical Services Agreement between Jackson County and John Filippi, D.D.S., D.A.B.F.O. to assist the Medical Examiner with specialized dental examination and identification services as needed JOHN FILIPPI, D.D.S., D.A.B.F.O. 2,000 02/02/2017		\$ 2,000	
43	NO BID Under \$5,000	First Addendum to a Medical Services Agreement between Jackson County and John Filippi, D.A.B.F.O., to provide the service of a forensic dentist to assist the Medical Examiner's Office. JOHN FILIPPI, D.D.S., D.A.B.F.O. 3,000 05/25/2017		1 \$3,000	\$ 5,000
44	NO BID Under \$5,000	A Professional Services Agreement between Jackson County and Jesse E. Edwards Registry of Cardiovascular Disease to assist the Office of the Medical Examiner JESSE E EDWARDS REGISTRY OF CARDIOVASCULAR DISEASE 1,000 02/06/2017		\$ 1,000	\$ 1,000
45	NO BID Under \$5,000	Professional Services Agreement between Jackson County and Susan Wilson, PH.D. to provide training program for associates within the Prosecuting Attorney's Office. SUSAN WILSON 500 05/12/2017		\$ 500	\$ 500
46	NO BID Under \$5,000	Professional Services Agreement between Jackson County and Ameritas Investment Corp. to provide arbitrage rebate services related to the Leasehold Revenue Improvement bonds. AMERITAS INVESTMENT CORP 4,000 05/26/2017		\$ 4,000	\$ 4,000

2017 Active Professional Services Contracts

	Bid	Description	Contracts Initiated Prior to 2017 (Year /Amount)	Contracts Initiated in 2017 (Addendum # /Amount)	Cumulative Amount of Contract
47	NO BID Under \$5,000	Professional Services Agreement between Jackson County and ME Consulting to provide strategic planning and leadership consulting to the Associates within COMBAT. ME CONSULTING, LLC 1,950 06/14/2017		\$ 1,950	\$ 1,950
48	NO BID Under \$5,000	First Addendum to Professional Services Agreement Between Jackson County and Me Consulting, LLC. to provide planning and leadership consulting to the associates ME CONSULTING, LLC 600 08/09/2017		\$ 600	\$ 600
49	NO BID Under \$5,000	Professional Services Agreement between Jackson County and MARC to perform a training program for the Jackson County Department of Corrections MARC 3,500 08/21/2017		\$ 3,500	\$ 3,500
50	NO BID Under \$5,000	Medical Services Agreement between Jackson County and Eric Wilson, D.D.S., D.A.B.F.O., to provide services of a forensic dentist to assist the County's Medical examiner. ERIC WILSON 2,000 08/30/2017		\$ 2,000	\$ 2,000
51	NO BID Under \$5,000	A Professional Services Agreement between Jackson County and Legal Aide of Western Missouri to bring strategic approach to criminal justice operations LEGAL AID OF WESTERN MISSOURI 4,900 09/14/2017		\$ 4,900	\$ 4,900
52	NO BID Under \$5,000	A Professional Service Agreement between Jackson County and RSM US LLP to provide an audit of the Schedule of Employer Allocations related to the Jackson County Revised Pension Plan RSM US LLP 4,950 09/20/2017		\$ 4,950	\$ 4,950
53	NO BID Under \$5,000	A Professional Services Agreement between Jackson County and Lisa Doyle to provide a review of proposals of applications for funding for the COMBAT Anti-Violence Special Initiative, Substance Abuse Prevention, Treatment and/or Grant Match initiatives LISA DOYLE 1,200 11/3/2017		\$ 1,200	\$ 1,200
54	NO BID Under \$5,000	A Professional Services Agreement between Jackson County and Christene Sharp to provide a review of proposals for funding for the COMBAT Anti-Violence Special Initiative, Substance Abuse Prevention, Treatment and/or Grant Match Initiatives CHRISTENE SHARP 1,200 11/3/2017		\$ 1,200	\$ 1,200
55	NO BID Under \$5,000	A professional Services Agreement between Jackson County and John Gary to provide review of the proposals received by COMBAT Anti-Violence Special Initiative JOHN GARY 1,200 11/15/2017		\$ 1,200	\$ 1,200
56	NO BID Under \$5,000	A Professional Services Agreement between Jackson County and Gregory Mathews to provide a review of the proposals received from the applications for funding for the COMBAT Anti-Violence Special Initiative GREGORY MATHEWS 1,200 11/15/2017		\$ 1,200	\$ 1,200
57	NO BID Under \$5,000	Professional Services Agreement between Jackson County and Nancy Jo Kepple to provide a review of the proposals received from applications for funding for the COMBAT Anti-Violence Special Initiative NANCY JO KEPPLER 1,200 11/17/2017		\$ 1,200	\$ 1,200
58	NO BID Under \$5,000	Professional Services Agreement between Jackson County and Kenneth Novak to provide a review of the proposals received from applications for funding from the COMBAT Anti-Violence Special Initiative KENNETH J. NOVAK 1,200 11/22/2017		\$ 1,200	\$ 1,200
59	NO BID Under \$5,000	Professional Services Agreement between Jackson County and Ile Haggins to provide a grant writing workshop for COMBAT ILE HAGGINS 75 11/22/2017		\$ 75	\$ 75
60	NO BID Under \$5,000	Professional Services Agreement between Jackson County and Larsen Barnds, Jr., to provide a review of the proposals received from applications for funding for the funding for COMBAT Anti-Violence Special Initiative LARSEN BARND, JR. 1,200 11/29/2017		\$ 1,200	\$ 1,200
61	NO BID Under \$5,000	Professional Services Agreement between Jackson County and Atkins Group, LLC to provide a review of the proposals received from the applications for funding for the funding for COMBAT Anti-Violence Special Initiative ATKINS GROUP, LLC. 1,200 11/29/2017		\$ 1,200	\$ 1,200

2017 Active Professional Services Contracts

			Contracts Initiated Prior to 2017 (Year /Amount)	Contracts Initiated in 2017 (Addendum # /Amount)	Cumulative Amount of Contract
Bid	Description				
62	NO BID Under \$5,000	Professional Services Agreement between Jackson County and Larsen Barnds, Jr., to provide a review of the proposals received from applications for funding for the funding for COMBAT Anti-Violence Special Initiative LARSEN BARND, JR. 1,200 11/29/2017		\$ 1,200	\$ 1,200
63	NO BID Under \$5,000	Addendum to Agreement between Jackson County and Cheiron to provide assistance related to the County's Pension Plan CHEIRON 0.00 02/08/2017		\$ -	
64	NO BID Under \$5,000	Professional Services Agreement between Jackson County and Cheiron to provide assistance related to County's Pension Plan CHEIRON 4,950 11/29/2017		\$ 4,950	\$ 4,950
65	NO BID REQUIRED	Golf Professional Contract between Jackson County and Jeffrey Sedorcek to serve as a golf professional at the Fred Arbanas Golf Course. JEFFREY SEDORCEK 500 03/02/2017		\$ 500	\$ 500
66	NO BID REQUIRED	Assistant Golf Professional Contract between Jackson County and Michael Norman to serve as an Assistant Golf Professional at the Fred Arbanas Golf Course MICHAEL NORMAN 11,000 03/02/2017		\$ 11,000	\$ 11,000
67	NO BID REQUIRED	Assistant Golf Professional Contract between Jackson County and Christopher Piedimonte as an Assistant Golf Professional at the Fred Abranas Golf Gour Course. CHRISTOPHER PIEDIMONTE 5,500 03/02/2017		\$ 5,500	\$ 5,500
68	NO BID REQUIRED	Addendum to a Professional Services Agreement between Jackson County and CIS (formerly Alternative Risk Services) to provide third-party administrative services related to the administration of the County's Self-Insured Workers' Compensation Program COWELL INSURANCE SERVICES, INC 0.00 08/14/2017		\$ -	
69	NO BID REQUIRED	A License and Professional Services Agreement between Jackson County and Entercom Kansas City, LLC d/b/a KZPT to provide, attract and promote entertainment ENTERCOM KANSAS CITY, LLC D/B/A KZPT 18,999 09/22/2017		\$ 18,999	\$ 18,999

\$ 1,326,752 \$ 3,600,894

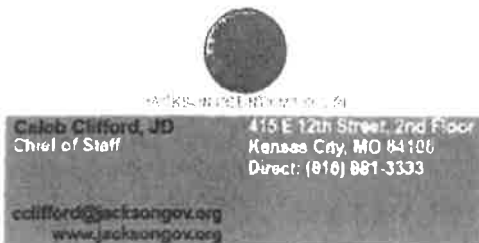
L - Legal Contracts, Not Required to Bid

From: Caleb Clifford
Sent: Thursday, August 24, 2017 3:47 PM
To: Joseph Piccinini
Cc: Gary Panethiere; Troy Thomas
Subject: RE: transport

Lets do it. Emergency contract, using undesignated fund balance. Unless there is a contractual service line item we could use so we can avoid the delay of taking this to the Legislature.

Troy- I am okay asking the CE to sign 3 transfers to achieve this savings. Lets discuss.

CC



From: Joseph Piccinini
Sent: Thursday, August 24, 2017 2:37 PM
To: Caleb Clifford <CClifford@jacksongov.org>
Cc: Gary Panethiere <GPanethiere@jacksongov.org>
Subject: transport

\$26,997 (starting September 15 ending December 31) is needed for Security Transport Services to conduct daily transports to St. Joseph and one weekly transport to Vandelie.

Thanks

Joe

SALARY
JACKSON COUNTY BUDGET ADJUSTMENT DOCUMENT

TO: Budgeting
FROM: Jaime Masters
DATE: 3/8/2017
REASON: Travel & Meeting Expenses - 2017

☐ One Time Expenditure

☐ Annual Expense that will be adjusted for in next year's budget

(Internal Use Only)	
Fiscal Yr:	<u>2017</u>
Ref#:	<u>10042</u>
Description:	<u>Transfer</u>
Control Total:	

In accordance with the County Code, Chapter 5 Section 570.9, please approve the following budget transfer

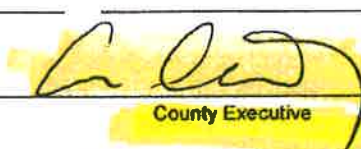
FROM:

FUND	DEPARTMENT	ACCT NO.	AMOUNT	Balance of Account Before Transfer	Month to Date Total Transfers from this account
002	1001	55010	\$3299	\$121,731.05	

TO:

FUND	DEPARTMENT	ACCT NO.	AMOUNT	New Acct Yes or No	Month to Date Total Transfers to this account
002	1001	56146	\$1299	Yes	0
002	1001	56160	\$2,000	Yes	0


Division Manager


County Executive

INTERNAL OFFICE USE ONLY

Prepared By: MR
Approved By: 
Approved By: 

Date: 3/10/17
Date: 3/10/17
Date: 3/10/17

MEMO TO FILE

DATE: August 24, 2017

RE: Transfers & Code Violations

In conjunction with the transfers report and the tracking of expenditures from Non-Departmental accounts - Legal Services (860K), Other Professional Services (2.2MIL), Other Contractual Services (1.5 MIL) and Building & Improvements (2.2 MIL), the Auditor's Office is concerned with the possibility of single transfers under \$9,999 but over \$25,000 cumulatively and violation of fiscal and purchasing code due to the attached correspondence. Please be assured, the attached email correspondence – transfer email.pdf - cannot occur for the following reasons:

- **One simply cannot make transfers from Undesignated Fund Balance.** Before funds can be transferred from one line item to another, the county must first enact an ordinance to be approved by the Legislature to appropriate the money – Jackson County Code Chapter 5, Section 537.3.
- Jackson County Code Chapter 10, Section 1030 provides specific instances where competitive bidding is not required and purchases can be made without prior Legislative approval. **When making emergency purchases the County Executive must declare, in writing, the purchase to be an emergency.** Furthermore, purchases in excess of \$25,000 shall be reported to the County Legislature at its next regularly scheduled meeting.
- **What constitutes an emergency on August 24th for inmate transport services when Jackson County issued RFP 63-17 the day prior - August 23rd, 2017 – for competitive bidding of Inmate Transport and Security Services.** RFP 63-17 is posted on Jackson County's website and scheduled to be opened September 19th, 2017. To simply avoid the delay of taking this matter to the Legislature does not constitute an emergency.
- It takes 3 months of \$9,999 or less transfers to amass a cumulative amount greater than \$25,000. **The timeliness of making 3 consecutive monthly transfers of \$9,999 is far greater than any delay of taking a matter to the Legislature.**
- **What is the amount in the Undesignated Fund Balance to even consider spending from?**
- Furthermore, per Jackson County Code Chapter 5, Section 552 – **No final payment on a contract on which the total obligation of the county is in excess of \$10,000 shall be made unless specifically approved by resolution of the County Legislature.**