

County Distribution Agreement - ARPA Funds

This County Distribution Agreement - ARPA Funds ("Agreement"), entered into on the date set forth below by and between the undersigned County, of the State of Missouri ("County"), and the undersigned Recipient ("Recipient") an entity which operates within said County (collectively the "Parties").

RECITALS

WHEREAS, on March 11, 2021, the American Rescue Plan Act ("ARPA") was signed into law by the President; and

WHEREAS, section 9901 of ARPA amended Title VI of the Social Security Act to add section 603 which established the Coronavirus Local Fiscal Recovery Fund for counties; and

WHEREAS, on May 10, 2021, the United States Department of Treasury ("Treasury") issued the Interim Final Rule, which took effect on May 17, 2021 and was open for public comment until July 16, 2021; and

WHEREAS, County has or will receive an allocation and distribution of Coronavirus Local Fiscal Recovery Funds pursuant to ARPA (the "ARPA Funds"); and

WHEREAS, Recipient has requested funds from the County to cover eligible uses and costs incurred due to the public health emergency with respect to the Coronavirus Disease 2019 (COVID-19), as set forth in the Application for County ARPA Funds, attached as Exhibit A ("Application") and incorporated herein by reference; and

WHEREAS, through said Application, Recipient has represented, warranted and attested to the County that it meets all requirements for receipt of a portion of the available funds (the "Funds") as described in said Application; and

WHEREAS, County has reviewed said Application and has authorized the distribution of ARPA funds pursuant to Ordinance 5583, dated December 13, 2021, incorporated herein by reference;

NOW THEREFORE, for and in consideration of the mutual covenants and agreements herein set forth, and for other good and valuable consideration, receipt of which is hereby acknowledged, the parties hereby enter into the following agreement:

1. Purpose. The purpose of this Agreement is to make an award ("Award") and distribution of funds from the County to Recipient for eligible uses, including to cover Recipient's costs and expenses incurred due to COVID-19 (the "Funds"). Recipient agrees the Funds shall be used only in compliance with section 603(c) of the Social Security Act, Treasury's regulations implementing that section, and guidance issued by Treasury. The Funds shall be used exclusively in accordance with the provisions contained in this Agreement in conformance with state and federal law and for no other purpose. Further, Recipient agrees that Funds shall be used exclusively for the purposes described in Exhibit B. Recipient understands and agrees that any

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MARY JO SPINO
COUNTY CLERK

deviations from the use of Funds, as described in Exhibit B, and as approved in this Agreement must have prior approval from the County's County Administrator, which is subject to the sole and absolute discretion of the County Administrator.

2. Assistance Listing. The Assistance Listing Number is 21.027 for ARPA Funds (formerly known as CFDA Number).

3. Uniform Administrative Requirements. The Award and Funds which are the subject of the Agreement are generally subject to the requirements set forth in the Uniform Administrative Requirements, Cost Principles, and Audit Requirements, 2 CFR Part 200 and Appendix II ("Uniform Guidance"), which are incorporated herein by reference as Appendix 1.

4. Funding Source; Publication. Any publications produced with funds from this award must display the following language: "This project [is being] [was] supported, in whole or in part, by federal award number SLFRP3406, awarded to Jackson County, Missouri, by the U.S. Department of the Treasury.

5. Representations and Warranties by Recipient. Recipient represents, warrants, and certifies that:

a. The undersigned individual has all necessary authority on behalf of the Recipient to request funds from the County from the allocation of funds to the County of ARPA Funds. Recipient represents and warrants that it has determined prior to engaging in any project using the Funds that it has the institutional, managerial, and financial capability to ensure proper planning, management, and completion of such project.

b. Recipient understands and agrees that the County will rely on the representations, warranties, and certifications set forth in Exhibit A – Application for County ARPA Funds and this Agreement as material representations in awarding and making a payment of Funds to Recipient. Recipient affirms that the information set forth in the Application is true, complete and accurate and affirms the statements made in Exhibit A as of the date of this Agreement.

c. Recipient expressly represents and warrants that it is eligible to receive the Funds in accordance with state and federal law, and that the Funds will be used exclusively for lawful expenditures pursuant to the requirements of ARPA and specifically as described in Exhibits A and B and awarded in this Agreement.

d. Recipient represents, warrants and agrees that the proposed uses of the Funds provided as a payment shall be used only to cover those costs that: (i) were incurred during the period beginning on March 3, 2021 and ending December 31, 2024, for one or more of the purposes enumerated in section 603(c)(1) of the Social Security Act; (ii) the Recipient has or will incur an obligation with respect to the costs by December 31, 2024; (iii) that is either for: (a) the purpose of responding to the public health emergency or its negative economic impacts, (b) responding to workers performing essential work during

the COVID-19 public health emergency by providing premium pay to eligible workers, (c) providing government services to the extent of the reduction in the Recipient's general revenue due to the COVID-19 public health emergency relative to revenues collected in the most recent full fiscal year of the Recipient prior to the emergency, or (d) to make necessary investments in infrastructure relating to clean water state revolving fund and drinking water state revolving fund investments, or broadband; and (iii) is not for: (a) deposit into any pension fund, (b) to directly or indirectly offset a reduction in the net tax revenue resulting from a covered change during the covered period, (c) use of ARPA funds as non-Federal match where prohibited, or (d) debt service, to satisfy a judgment or settlement, or to contribute to a "rainy day" fund.

e. Recipient agrees to comply with all other applicable Federal statutes, regulations, and executive orders and provide for compliance with ARPA, the Interim Final Rule issued by the Department of the Treasury as set forth in 31 CFR Part 35, any Final Rule issued by the Department of the Treasury, and any interpretive guidance by other parties in any agreements Recipient enters into with other parties relating to the ARPA Funds.

f. Recipient acknowledges, understands, and agrees that Funds provided as payment from the County to Recipient pursuant to this Agreement must adhere to official federal guidance issued or to be issued on what constitutes a necessary expenditure. Any funds expended by Recipient in any manner that does not adhere to official federal guidance shall be returned to the County.

g. Funds received pursuant to this Agreement shall not be used for expenditures for which Recipient has received any other emergency COVID-19 supplemental funding (whether state, federal or private in nature) for that same cost or expense.

h. Recipient may not use funds received pursuant to this Agreement to make a grant to any other local government, public entity, political subdivision, non-profit corporation, corporation, limited liability company, or other business entity, or individual unless; (1) the specific use of funds was expressly described in the Application set forth in Exhibit A, (2) except for uses approved by the County as set forth in Exhibit B, and (3) the funds awarded are used solely for necessary expenditures which satisfy the requirements set forth in paragraph 5.d. of this Agreement. Recipient is responsible for all documentation requirements set forth in this Agreement.

i. Recipient certifies by entering into this Agreement that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from entering into this Agreement by any federal or state department or agency. The term "principal" for purposes of this Agreement is defined as an officer, director, owner, partner, key employee or other person with primary

management or supervisory responsibilities, or a person who has a critical influence on or substantive control over the operations of Recipient.

j. Recipient agrees to promptly repay all funds paid to it under this Agreement should it be determined either that it was ineligible to receive the funds, or it made any material misrepresentation on Exhibit A.

k. Recipient certifies that the Funds shall not be used for any unlawful purpose, including but not limited to: (i) as a revenue replacement for lower than expected tax or other revenue collection; (ii) for expenditures for which Recipient is already receiving other emergency COVID-19 supplemental funding (whether state, federal or private in nature) for the same expense; or (iii) to engage in any other activity that is illegal under federal, state or local law.

l. Recipient understands and agrees that the County is under no obligation to distribute any additional funds other than identified in Exhibit B, even if Recipient believes circumstances have changed and Recipient requests additional funds.

6. Award and Distribution of Funds; Amount. Subject to the representations, warranties, covenants and agreements set forth in this Agreement, including the representations, warranties covenants, and agreements made by Recipient in the Application attached as Exhibit A, County agrees to distribute to Recipient the Funds ("Funds") in the amount of \$4,998,014.00 and for the purposes set forth and described in Exhibit B, subject to approval and execution of this Agreement. Recipient understands and agrees that the Funds will not be disbursed under this Agreement until such time as all required documentation is provided by Recipient, including supporting documents, and all required documents are approved and signed by Recipient and County. Recipient understands and acknowledges that all awards are subject to the availability of funds and any modifications or additional requirements that may be imposed by law. In the event of a change in ARPA or guidance issued by the United States Department of Treasury that affect the Decision and this Agreement, the obligations of the County under this Agreement may be terminated immediately.

7. Term. This Agreement shall commence on the last date set forth on the signature pages of this Agreement and shall remain in force and effect unless otherwise terminated as provided in this Agreement. Recipient must use the Funds to cover eligible costs incurred during the period that begins on March 3, 2021, and ends on May 31, 2022, subject to the requirements of paragraph 9, below.

8. Use of Funds. Recipient shall only use the Funds for the purposes and intended use of funds description set forth in the Application for County ARPA Funds set forth in Exhibit A, and as set forth in Exhibit B, and this Agreement. Recipient may only use Funds for the purposes set forth in Exhibit B. Modification of Recipient's purpose and intended use of funds shall require

prior written approval of the County's County Administrator. Recipient may not pay pre-award costs as defined in 2 C.F.R. § 200.458 from the Funds.

9. Unused Funds. Funds awarded and paid from the County to Recipient pursuant to this Agreement that are not obligated by December 31, 2024, shall be returned to the County on or before March 31, 2025, and any funds obligated by December 31, 2024, and not expended on or before September 30, 2026, shall be returned to the County on or before October 31, 2026.

10. Documentation and Reporting Use of Funds. Recipient agrees to comply with any reporting obligations established by Treasury as it relates to the ARPA Funds and to maintain the records necessary in order to comply with the requirements of ARPA; the requirements of the Interim Final Rule and, once issued, the Final Rule; the requirements of the Department of Treasury Compliance and Reporting Guidance, and shall maintain proper documentation supporting determinations of costs and applicable compliance requirements, and satisfaction of those requirements as part of award management, internal controls, and subrecipient oversight and management and to demonstrate that the Funds have been used in accordance with section 603 of the Social Security Act. Recipient agrees to utilize appropriate fund accounting, auditing, monitoring and such evaluation procedures as may be necessary to create, keep and maintain such records as the federal, state, and County may prescribe, and in order to assure fiscal control, proper management, and efficient disbursement of funds received under this Agreement.

Recipient shall maintain all books, records and other financial documents sufficient to evidence compliance with section 603(c) of the Act, Treasury's regulations and guidance, and federal reporting and audit-related requirements. Recipient shall make all books, records and other documents available at all reasonable times for inspection and copying by the County in order to ensure compliance with ARPA, U.S. Department of Treasury Guidance, the intended purposes of the Funds as set forth in Exhibit A and as modified in Exhibit B, audit requirements, and this Agreement. Copies of all records (including electronic records) shall be furnished to the County at no cost and upon request. Treasury Office of Inspector General and the Government Accountability Office, or their authorized representatives shall have the right of access to records (electronic or otherwise) of Recipient in order to conduct audits or investigations. Records shall be maintained by Recipient for a period of five (5) years after all Funds have been expended, or returned to the County or Treasury, whichever is later.

Recipient agrees to timely complete and submit any and all financial reports, as requested by the County. Failure by Recipient to timely submit Supporting Documentation may result in an Event of Default. The County may require Supporting Documentation furnished by the Recipient from time to time regarding the use of Funds with respect to the approved and necessary expenditures listed in the Application and Notice of Decision.

Recipient shall maintain, retain and provide documentation to County relating to the use of Funds upon request, including, but not limited to (collectively referred to as "Supporting Documentation"):

- a. Procurement and conflict of interest policies;
- b. Documentation of compliance with applicable procurement laws and requirements for Recipient;
- c. Publication and/or posting documentation relating to procurement;
- d. Requests for bids/requests for proposals/requests for qualifications;
- e. Estimates, quotes, bid responses, proposals, or statements of qualifications;
- f. Sales receipts and invoices;
- g. Contracts for the purchase of goods or services;
- h. Proof of evaluation and award (e.g., minutes, approval by authorized representative, etc.);
- i. Purchase orders, payment requests, or applications for payment;
- j. Proof of payment (e.g., cancelled checks, direct payment information, bank statements, credit card statements);
- k. Proof of delivery on goods (e.g., copies of packing slips or bills of lading);
- l. Proof of services rendered (e.g., statements confirming services provided by a vendor or contractor);
- m. Effort Verification Reports and other personnel information (e.g., wage rates, job duties, etc., if applicable);
- n. Direct solicitation lists (if applicable);
- o. Documentation of sole source procurement (if applicable);
- p. Bonding and insurance documents (if applicable)
- q. E-Verify documentation;
- r. Financial reports regarding the use of the Funds;

- s. Any other documents reasonably required by the County, its auditors, or the United States with respect to compliance with the requirements of ARPA and related guidance.

11. Compliance with Applicable Laws and Regulations.

a. Recipient shall comply with all applicable federal, state and local laws, rules, regulations and ordinances, and all provisions required thereby to be included herein are incorporated by reference. Failure to comply with any applicable requirements by Recipient shall be deemed a material breach of this Agreement. The enactment or modification of any applicable federal statute or the promulgation of rules or regulations thereunder after execution of this Agreement shall be reviewed by the County and Recipient to determine whether the provisions of this Agreement require formal modification.

b. Recipient agrees that it has, or at the appropriate time, will comply with all applicable bidding and procurement requirements pursuant to policy, local, state, or federal law regarding the use of the Funds and that Recipient has, or will provide all necessary Supporting Documentation evidencing compliance with bidding and procurement laws.

c. The Recipient and its agents shall abide by all applicable conflict of interest laws and requirements that apply to persons who have a business relationship with the County. If Recipient has knowledge, or would have acquired knowledge with reasonable inquiry, that a County officer, employee, or special appointee, has a conflict of interest, Recipient shall ensure compliance with all applicable disclosure requirements prior to the execution of this Agreement. If Recipient or its agents violate any applicable conflict of interest laws or requirements, the County may, in its sole discretion, terminate this Agreement immediately upon notice to Recipient.

d. Recipient understands and agrees to maintain a conflict of interest policy consistent with 2 C.F.R. § 200.318(c) and that such conflict of interest policy is applicable to each activity funded under this award. Recipient must disclose in writing to Treasury or County, as appropriate, any potential conflict of interest affecting the Funds in accordance with 2 C.F.R. § 200.112.

e. Recipient certifies by entering into this Agreement that neither it nor its principal(s) is presently in arrears in payment of taxes, permit fees or other statutory, regulatory or judicially required payments and taxes to the County, State of Missouri or federal government.

f. Recipient warrants that it has no current, pending or outstanding criminal, civil, or enforcement actions initiated by any federal, state, or local government.

g. Recipient agrees to obtain and maintain all required permits, licenses, registrations, and approvals, and shall comply with all health, safety, and environmental statutes, rules, or regulations with respect to uses of the Funds.

- h. Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards, 2 C.F.R. Part 200, shall apply to this award, including Subpart F – Audit Requirements of the Uniform Guidance, implementing the Single Audit Act, other than such provisions as Treasury may determine are inapplicable and subject to such exceptions as may be provided by Treasury
- i. Universal Identifier and System for Award Management (SAM), 2 C.F.R. Part 25 pursuant to which the award term set forth in Appendix A to 2 C.F.R. Part 25 is incorporated herein by reference.
- j. Reporting Subaward and Executive Compensation Information, 2 C.F.R. Part 170, pursuant to which the award term set forth in Appendix A to 2 C.F.R. Part 170 is incorporated herein by reference.
- k. OMB Guidelines to Agencies on Governmentwide Debarment and Suspension, 2 C.F.R. Part 180, including the requirement to include a term or condition in all lower tier covered transactions that the award is subject to 2 C.F.R. Part 180 and Treasury's implementing regulation at 31 C.F.R. Part 19.
- l. Recipient Integrity and Performance Matters, pursuant to which the award term set forth in 2 C.F.R. Part 200, Appendix XIII to Part 200 is incorporated herein by reference.
- m. Governmentwide Requirements for Drug-Free Workplace, 31 C.F.R. Part 20 is incorporated herein by reference.
- n. Restrictions on Lobbying, 31 C.F.R. Part 21 is incorporated herein by reference.
- o. Uniform Relocation Assistance and Real Property Acquisitions Act of 1970 and implementing regulations is incorporated herein by reference.
- p. Generally applicable federal environmental laws and regulations are incorporated herein by reference.
- q. Recipient agrees to the Assurances of Compliance with Civil Rights Requirements, attached hereto and incorporated herein by reference as Appendix 2.
- r. Recipient agrees to comply, as applicable, with requirements of the Hatch Act, which limit certain political activities of state or local government employees whose principal employment is in connection with an activity financed in whole or in part by the federal assistance which is the subject of this Agreement.
- s. Recipient is encouraged to adopt and enforce on-the-job seat belt policies and programs for its employees when operating owned, rented, or personal vehicles.
- t. Recipient is encouraged to adopt and enforce policies that ban text message while driving, and to establish workplace safety policies to decrease accidents caused by distracted drivers.

12. Debarment and Suspension. Recipient certifies by entering into this Agreement that it is not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from entering into this Agreement by any federal agency or by any department, agency or political subdivision of the State of Missouri. The term “principal” for purposes of this Agreement means an officer, director, owner, partner, key employee or other person with primary management or supervisory responsibilities, or a person who has a critical influence on or substantive control over the operations of Recipient.

13. Events of Default and Remedies. The occurrence of any one or more of the following events shall constitute an “Event of Default” under this Agreement, provided, that if any such Event of Default is capable of being cured, such Event of Default shall not be deemed to be an Event of Default unless Recipient fails to cure such Event of Default within the time period specified below following receipt of written notice from County notifying Recipient of such Event of Default (each, a “Cure Period”):

a. False Statement. Any statement, representation or warranty by Recipient contained in the Application or Supporting Documents, in any funding request, this Agreement, or any other document submitted to the County related to this Agreement which is determined to be false, contains a material misrepresentation, or is misleading, as determined by the County, its auditors, or the federal government.

b. Failure to Comply with Applicable Laws. Recipient fails to comply with or satisfy any of the requirements described in paragraph 27.

c. Failure to Perform; Breach. Recipient fails to perform or breaches any obligation or requirement of this Agreement, or makes an unauthorized use of the Funds, including, by way of example, but not limited to:

i. Use of Funds that are different than the Purpose and Intended Use of Funds as detailed in Exhibit A, Application for County ARPA Funds, or Exhibit B;

ii. Use of Funds for a purpose not described in Exhibit A, and not set forth in Exhibit B, even for purposes that might otherwise be considered an eligible use of funds had the use been approved by County;

iii. Use of Funds for a purpose described in Exhibit A, but not set forth in Exhibit B;

iv. Use of Funds for purposes that are not necessary expenditures incurred due to the public health emergency with respect to the Coronavirus Disease 2019 (COVID-19) pursuant to the requirements of ARPA;

v. Use of Funds for costs incurred outside the time period of March 1, 2021, through December 31, 2024; or

vi. Failure to return funds that have not been obligated by December 31, 2024, by March 31, 2025.

vii. Failure to refund funds that have been obligated by December 31, 2024, but that have not been expended on or before September 30, 2026, by October 31, 2026.

d. Failure to Provide Supporting Documents and Information. Recipient fails to provide Supporting Documentation, including, but not limited to financial reports, books, records, and other documents reasonably required by the County relating to the subject matter of this Agreement, subject to a ten (10) day Cure Period.

e. Voluntary or Involuntary Insolvency. Recipient: (i) files or has filed against it a petition for relief, reorganization or any other petition in bankruptcy or for liquidation or to take advantage of any bankruptcy, insolvency or other debtors' relief law; (ii) makes an assignment for the benefit of its creditors; (iii) consents to the appointment of a custodian, receiver, trustee or other officer with similar powers, or a court or government authority enters an order appointing a custodian, receiver, trustee, or other officer with similar powers, and such order is not vacated within ten (10) days; (iv) has an order entered against it for relief or approving a petition for relief, reorganization or any other petition in bankruptcy or for liquidation or to take advantage of any bankruptcy, insolvency or other debtors' relief law, and such order is not vacated within ten (10) days; or (v) has an order entered dissolving, winding-up or liquidating Recipient.

f. Determination regarding ARPA. Use of the Funds for purposes that are determined not to be eligible, compliant with, or used in a manner consistent with the requirements of section 603 of the Social Security Act, as determined by the County, an independent auditor, the United States Department of Treasury, or other agency charged with evaluating compliance with the requirements of ARPA, including the review and evaluation of internal controls, monitoring and management, and audit requirements.

g. Recoupment Request or Demand to County. A request or demand is made to the County by an independent auditor or the United States to repay any of the Funds awarded to Recipient, subject to a determination by the County of the correctness and appropriateness of the request or demand. In such event, County shall provide written notice to the Recipient of the nature and extent of the request or demand, and, subject to the obligations of Recipient pursuant to paragraph 12, County and Recipient may mutually agree to the appropriate course of action under the circumstances.

h. Other Breach. The breach of any other material term or condition of this Agreement.

14. Remedies Upon Event of Default. Upon and during the continuance of an Event of Default, County may take any of the following actions, individually or in combination with any

other remedy available hereunder or under applicable laws, rules and regulations. The remedies contained herein are in addition to all other remedies available to County at law or in equity by statute or otherwise and the exercise of any such remedy shall not preclude or in any way be deemed to waive any other remedy.

a. Termination. County may terminate this Agreement and the Notice of Decision by giving a written termination notice to Recipient ("Termination Notice") and, on the date specified in such notice, all rights (but not the obligations) of Recipient under this Agreement shall terminate. Upon termination of this Agreement, County shall have no further obligation to disburse Funds to Recipient, whether or not the entire amount of Funds have been disbursed to Recipient.

b. Withholding of Funds. County may withhold all or any portion of Funds not yet disbursed pursuant to this Agreement or any other agreement with Recipient, regardless of whether Recipient has previously submitted an Application or whether County has approved a disbursement of Funds requested in any Application or funding request, or regardless of whether County has issued a Notice of Decision in favor of Recipient.

c. Offset. County may offset against all or any portion of undisbursed Funds to Recipient or against any amount or payment due to Recipient under any other statutory provision or agreement between Recipient and County an amount equal the amount of Funds related to the Event of Default. In the event Recipient maintains an ad valorem real estate and/or personal property tax levy in the County, and there exists an Event of Default, Recipient consents to and agrees that as a condition of the award of and payment of Funds pursuant to this Agreement, County shall have the right, but not the obligation, to withhold from ad valorem real estate and/or personal property tax collections an amount that is equal to the Funds which are the subject of the Event of Default. In such event, County shall provide written notice to Recipient of the intent to offset ("Offset Notice").

d. Repayment of Funds. County may demand the immediate return of any previously disbursed Funds that have been claimed, received, expended, or used by Recipient in breach of the terms of this Agreement or that are the subject of an Event of Default, together with interest thereon from the date of disbursement at the interest rate set forth in subparagraph f, or the maximum rate permitted under applicable law ("Repayment Notice"). Recipient agrees to repay all Fund amounts which are the subject of a Repayment Notice within thirty (30) days.

e. Attorneys' Fees. If any legal action or other proceeding is brought for the enforcement of this Agreement by County, or because of an Event of Default, if the County is the substantially prevailing party, the County shall be entitled to recover reasonable attorneys' fees, litigation expenses, and other costs incurred in the action or proceeding, in addition to any other relief to which it may be entitled.

f. Interest. For any amount of Funds which are the subject of an Event of Default, Recipient shall be obligated to pay interest at the rate of 18% per annum, or the maximum rate permitted under applicable law, calculated from the date of disbursement to Recipient to the date the Funds are repaid to the County.

g. False Statements. Making false statements or claims in connection with this award constitutes a violation of federal law and may result in criminal, civil, or administrative sanctions, including fines, imprisonment, civil damages and penalties, debarment from participation in federal awards or contracts, and any other remedy available by law.

h. Other Remedial Actions. In the event of Recipient's noncompliance with section 603 of the Act, other applicable laws, Treasury's implementing regulations, guidance, or any reporting or other program requirements, County and Treasury may impose additional conditions on the receipt of funds, if any, or take other available remedies as set forth in 2 C.F.R. § 200.339. In the case of a violation of section 603(c) of the Act regarding the use of Funds, previous payments shall be subject to recoupment.

15. Funding Termination. If prior to the disbursement of Funds to Recipient, the Funds shall become unavailable for any or no reason, this Agreement shall terminate.

16. Governing Law. This Agreement shall be governed, construed, and enforced in accordance with the laws of the State of Missouri without regard to its conflict of laws rules. Suit, if any, must be brought in the Circuit Court of the County in which the Notice of Decision was issued.

17. Discrimination.

a. Recipient agrees to comply with Title VI of the Civil Rights Act of 1964 and Treasury's implementing regulations at 31 C.F.R. Part 22, which prohibit discrimination on the basis of race, color, or national origin under programs or activities receiving federal financial assistance.

b. Recipient agrees to comply with the requirements of the Fair Housing Act, Title VII of the Civil Rights Act of 1968 which prohibits discrimination in housing on the basis of race, color, religion, national origin, sex, familial status, or disability.

c. Recipient agrees to comply with the requirements of Section 504 of the Rehabilitation Act of 1973, as amended, which prohibits discrimination on the basis of disability under any program or activity receiving federal financial assistance.

d. Recipient agrees to comply with the requirements of the Age Discrimination Act of 1975, as amended and Treasury's implementing regulations at 31 C.F.R. Part 23,

which prohibit discrimination on the basis of age in programs or activities receiving federal financial assistance.

e. Recipient agrees to comply with Title II of the Americans with Disabilities Act of 1990, as amended, which prohibits discrimination on the basis of disability under programs, activities, and services provided or made available by state and local governments or instrumentalities or agencies thereto.

18. Whistleblower Protection. Recipient may not discharge, demote, or otherwise discriminate against an employee in reprisal for disclosing to any of the following persons or entities, information that the employee reasonably believes is evidence of gross mismanagement of a federal contract or grant, a gross waste of federal funds, an abuse of authority relating to a federal contract or grant, a substantial and specific danger to public health or safety, or a violation of law, rule, or regulation related to a federal contract or grant: (i) a member of Congress or a representative of a committee of Congress; (ii) an Inspector General; (iii) the Government Accountability Office; (iv) a Treasury employee responsible for contract or grant oversight or management; (v) an authorized official of the Department of Justice or other law enforcement agency; (vi) a court or grand jury; or (vii) a management official or other employee of County, contractor, or subcontractor who has the responsibility to investigate, discover, or address misconduct. Recipient shall inform its employees in writing of the rights and remedies provided under this section, the predominant native language of the workforce.

19. No Assignment. This Agreement and all rights, privileges, duties and obligations of Recipient hereto shall not be assigned or delegated by Recipient. Recipient is expressly prohibited from distributing the Funds to any other entity without the express written approval from County.

20. Indemnification. Recipient agrees, to the fullest extent permitted by law, to defend, indemnify, and hold harmless County, its office holders, employees, and agents, from and against any and all claims, liabilities, losses and expenses (including reasonable attorney's fees) directly, indirectly, wholly or partially arising from or in connection with any act or omission of Recipient, its officers, directors, employees or agents, or any other person affiliated with Recipient in applying for or accepting the Funds, in the use or expenditure of the Funds, or any other matters arising out of or relating to the Application, the Notice of Decision, or this Agreement.

21. No Agency. Recipient is solely responsible for all uses, expenditures, and activities supported by the Funds. Nothing contained in this Agreement shall be construed so as to create a partnership, agency, joint venture, employment, or any other type of relationship. Recipient shall not represent itself as an agent of the County for any purpose and acknowledges that it does not have authority to bind the County in any manner whatsoever.

22. Notice to Parties. Whenever any notice, statement or other communication is required under this Agreement, it will be sent by first class U.S. mail service to the address listed for County or Recipient, respectively, set forth in the signature page to this Agreement.

23. Captions. The captions in this Agreement are inserted only for the purpose of convenient reference and shall not be construed to define, limit or prescribe the scope or intent of this Agreement or any part thereof.

24. Entire Agreement. Recipient acknowledges and agrees that this Agreement represents the entire agreement between Recipient and County with respect to the subject matter addressed herein. The terms of this Agreement may be modified only by a writing signed by duly authorized representatives of both parties.

25. Authority. The undersigned persons signing this Agreement on behalf of Recipient and County represent and warrant that the appropriate governing body, board, or person has authorized and approved this Agreement and the undersigned persons have the requisite legal authority and power to execute this Agreement, and to bind the respective party to the obligations contained herein. This Agreement constitutes a valid and binding obligation of Recipient, enforceable against Recipient in accordance with its terms. Further, to the undersigned's knowledge, neither the undersigned nor any other member, employee, representative, agent or officer of Recipient or County, directly or indirectly, has entered into or been offered any sum of money or other consideration for the execution of this Agreement other than that which appears upon the face hereof.

26. Employment of Unauthorized Aliens. Pursuant to §285.530, RSMo., Recipient assures that it does not knowingly employ, hire for employment, or continue to employ an unauthorized alien to perform work within the State of Missouri.

27. Other Financial Assistance. The Fund payments which are the subject of this Agreement shall be considered "other financial assistance" pursuant to 2 C.F.R. § 200.40.

28. Federal Financial Assistance. The Fund payments which are the subject of this Agreement are considered federal financial assistance subject to the Single Audit Act, 31 U.S.C. §§ 7501-7507, and the related provisions of the Uniform Guidance, 2 C.F.R. §203 regarding internal controls; §§200.330 through 200.332 regarding subrecipient monitoring and management, and subpart F regarding audit requirements, the requirements of which are incorporated herein by reference as though fully set forth herein.

29. Incorporation of ARPA Requirements. The following provisions and requirements are incorporated into this Agreement by reference, as though fully set forth herein:

- a. Section 603 of the Social Security Act, as added by section 9901 of ARPA, which established the Coronavirus Local Fiscal Recovery Fund ("ARPA");

- b. United States Department of Treasury, Interim Final Rule, 31 CFR 35, Federal Register, Vol. 86, No. 93;
- c. Any Final Rule issued by United States Department of Treasury;
- d. United States Department of Treasury, Coronavirus State and Local Fiscal Recovery Funds, Frequently Asked Questions, updated November 15, 2021;
- e. United States Department of Treasury, Compliance and Reporting Guidance, State and Local Fiscal Recovery Funds, updated September 30, 2021;
- f. United States Department of Treasury, Treasury's Portal for Recipient Reporting, State and Local Fiscal Recovery Funds, updated August 9, 2021;
- g. Any and all subsequent guidance issued by the United States, including the Department of Treasury or other federal agencies relating to ARPA.

IN WITNESS WHEREOF, the Parties hereto have caused this Agreement to be duly executed as of the undersigned date.

JACKSON COUNTY

By: _____

Date: _____

Name: Frank White, Jr.
Title: County Executive

Address: 415 E 12th Street, 2nd Floor
Kansas City, MO 64106

Approved as to Form:

Bryan Covinsky, County Counselor

Attest:

Mary Jo Spino, Clerk of the County Legislature

RECIPIENT, UNIVERSITY OF MISSOURI - KANSAS CITY

I certify under the penalties of perjury set forth in Section 575.040, RSMo., that I have read the above Agreement and my statements contained herein are true and correct to the best of my knowledge.

By: _____

Date: _____

Name: Yusheng (Chris) Liu

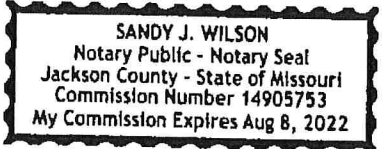
Title: Vice Chancellor for Research and Economic Development

Address: University of Missouri - Kansas City
5100 Rockhill Rd.
Kansas City, MO 64110-2449

Subscribed and sworn to before me this 15 day of February, 2022.

Sandy J. Wilson
Notary Public

My Commission Expires: 8/8/2022



REVENUE CERTIFICATE

I hereby certify that there is a balance otherwise unencumbered to the credit of the appropriation to which this contract is chargeable, and a cash balance otherwise unencumbered in the treasury from which payment is to be made, each sufficient to meet the obligation of \$4,998,014.00 which is hereby authorized.

2-17-2020
Date

Director of Finance and Purchasing
Account No. 050-1404-56789
CT 140421007 MR

Code of Federal Regulations
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Procurement Standards

2 C.F.R. § 200.317

§ 200.317 Procurements by states.

Effective: November 12, 2020

Currentness

When procuring property and services under a Federal award, a State must follow the same policies and procedures it uses for procurements from its non-Federal funds. The State will comply with §§ 200.321, 200.322, and 200.323 and ensure that every purchase order or other contract includes any clauses required by § 200.327. All other non-Federal entities, including subrecipients of a State, must follow the procurement standards in §§ 200.318 through 200.327.

SOURCE: Section also affected by: 69 FR 26280, May 11, 2004; 78 FR 78608, Dec. 26, 2013; Section also affected by: 78 FR 78608, Dec. 26, 2013; 85 FR 49543, Aug. 13, 2020, unless otherwise noted.

AUTHORITY: 31 U.S.C. 503

Current through December 17, 2021; 86 FR 71792.

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Subpart D. Post Federal Award Requirements (Refs & Annos)

Procurement Standards

2 C.F.R. § 200.318

§ 200.318 General procurement standards.

Effective: February 22, 2021

Currentness

(a) The non-Federal entity must have and use documented procurement procedures, consistent with State, local, and tribal laws and regulations and the standards of this section, for the acquisition of property or services required under a Federal award or subaward. The non-Federal entity's documented procurement procedures must conform to the procurement standards identified in §§ 200.317 through 200.327.

(b) Non-Federal entities must maintain oversight to ensure that contractors perform in accordance with the terms, conditions, and specifications of their contracts or purchase orders.

(c)(1) The non-Federal entity must maintain written standards of conduct covering conflicts of interest and governing the actions of its employees engaged in the selection, award and administration of contracts. No employee, officer, or agent may participate in the selection, award, or administration of a contract supported by a Federal award if he or she has a real or apparent conflict of interest. Such a conflict of interest would arise when the employee, officer, or agent, any member of his or her immediate family, his or her partner, or an organization which employs or is about to employ any of the parties indicated herein, has a financial or other interest in or a tangible personal benefit from a firm considered for a contract. The officers, employees, and agents of the non-Federal entity may neither solicit nor accept gratuities, favors, or anything of monetary value from contractors or parties to subcontracts. However, non-Federal entities may set standards for situations in which the financial interest is not substantial or the gift is an unsolicited item of nominal value. The standards of conduct must provide for disciplinary actions to be applied for violations of such standards by officers, employees, or agents of the non-Federal entity.

(2) If the non-Federal entity has a parent, affiliate, or subsidiary organization that is not a State, local government, or Indian tribe, the non-Federal entity must also maintain written standards of conduct covering organizational conflicts of interest. Organizational conflicts of interest means that because of relationships with a parent company, affiliate, or subsidiary organization, the non-Federal entity is unable or appears to be unable to be impartial in conducting a procurement action involving a related organization.

(d) The non-Federal entity's procedures must avoid acquisition of unnecessary or duplicative items. Consideration should be given to consolidating or breaking out procurements to obtain a more economical purchase. Where appropriate, an analysis will be made of lease versus purchase alternatives, and any other appropriate analysis to determine the most economical approach.

(e) To foster greater economy and efficiency, and in accordance with efforts to promote cost-effective use of shared services across the Federal Government, the non-Federal entity is encouraged to enter into state and local intergovernmental agreements or inter-entity agreements where appropriate for procurement or use of common or shared goods and services. Competition requirements will be met with documented procurement actions using strategic sourcing, shared services, and other similar procurement arrangements.

(f) The non-Federal entity is encouraged to use Federal excess and surplus property in lieu of purchasing new equipment and property whenever such use is feasible and reduces project costs.

(g) The non-Federal entity is encouraged to use value engineering clauses in contracts for construction projects of sufficient size to offer reasonable opportunities for cost reductions. Value engineering is a systematic and creative analysis of each contract item or task to ensure that its essential function is provided at the overall lower cost.

(h) The non-Federal entity must award contracts only to responsible contractors possessing the ability to perform successfully under the terms and conditions of a proposed procurement. Consideration will be given to such matters as contractor integrity, compliance with public policy, record of past performance, and financial and technical resources. See also § 200.214.

(i) The non-Federal entity must maintain records sufficient to detail the history of procurement. These records will include, but are not necessarily limited to, the following: Rationale for the method of procurement, selection of contract type, contractor selection or rejection, and the basis for the contract price.

(j)(1) The non-Federal entity may use a time-and-materials type contract only after a determination that no other contract is suitable and if the contract includes a ceiling price that the contractor exceeds at its own risk. Time-and-materials type contract means a contract whose cost to a non-Federal entity is the sum of:

(i) The actual cost of materials; and

(ii) Direct labor hours charged at fixed hourly rates that reflect wages, general and administrative expenses, and profit.

(2) Since this formula generates an open-ended contract price, a time-and-materials contract provides no positive profit incentive to the contractor for cost control or labor efficiency. Therefore, each contract must set a ceiling price that the contractor exceeds at its own risk. Further, the non-Federal entity awarding such a contract must assert a high degree of oversight in order to obtain reasonable assurance that the contractor is using efficient methods and effective cost controls.

(k) The non-Federal entity alone must be responsible, in accordance with good administrative practice and sound business judgment, for the settlement of all contractual and administrative issues arising out of procurements. These issues include, but are not limited to, source evaluation, protests, disputes, and claims. These standards do not relieve the non-Federal entity of any contractual responsibilities under its contracts. The Federal awarding agency will not substitute its judgment for that of the non-Federal entity unless the matter is primarily a Federal concern. Violations of law will be referred to the local, state, or Federal authority having proper jurisdiction.

Credits

[86 FR 10440, Feb. 22, 2021]

SOURCE: Section also affected by: 69 FR 26280, May 11, 2004; 78 FR 78608, Dec. 26, 2013; Section also affected by: 78 FR 78608, Dec. 26, 2013; 85 FR 49543, Aug. 13, 2020, unless otherwise noted.

AUTHORITY: 31 U.S.C. 503

Notes of Decisions (2)

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Procurement Standards

2 C.F.R. § 200.319

§ 200.319 Competition.

Effective: November 12, 2020

Currentness

(a) All procurement transactions for the acquisition of property or services required under a Federal award must be conducted in a manner providing full and open competition consistent with the standards of this section and § 200.320.

(b) In order to ensure objective contractor performance and eliminate unfair competitive advantage, contractors that develop or draft specifications, requirements, statements of work, or invitations for bids or requests for proposals must be excluded from competing for such procurements. Some of the situations considered to be restrictive of competition include but are not limited to:

- (1) Placing unreasonable requirements on firms in order for them to qualify to do business;
- (2) Requiring unnecessary experience and excessive bonding;
- (3) Noncompetitive pricing practices between firms or between affiliated companies;
- (4) Noncompetitive contracts to consultants that are on retainer contracts;
- (5) Organizational conflicts of interest;
- (6) Specifying only a "brand name" product instead of allowing "an equal" product to be offered and describing the performance or other relevant requirements of the procurement; and
- (7) Any arbitrary action in the procurement process.

(c) The non-Federal entity must conduct procurements in a manner that prohibits the use of statutorily or administratively imposed state, local, or tribal geographical preferences in the evaluation of bids or proposals, except in those cases where applicable Federal statutes expressly mandate or encourage geographic preference. Nothing in this section preempts state licensing laws. When contracting for architectural and engineering (A/E) services, geographic location may be a selection criterion provided its application leaves an appropriate number of qualified firms, given the nature and size of the project, to compete for the contract.

(d) The non-Federal entity must have written procedures for procurement transactions. These procedures must ensure that all solicitations:

(1) Incorporate a clear and accurate description of the technical requirements for the material, product, or service to be procured. Such description must not, in competitive procurements, contain features which unduly restrict competition. The description may include a statement of the qualitative nature of the material, product or service to be procured and, when necessary, must set forth those minimum essential characteristics and standards to which it must conform if it is to satisfy its intended use. Detailed product specifications should be avoided if at all possible. When it is impractical or uneconomical to make a clear and accurate description of the technical requirements, a "brand name or equivalent" description may be used as a means to define the performance or other salient requirements of procurement. The specific features of the named brand which must be met by offers must be clearly stated; and

(2) Identify all requirements which the offerors must fulfill and all other factors to be used in evaluating bids or proposals.

(e) The non-Federal entity must ensure that all prequalified lists of persons, firms, or products which are used in acquiring goods and services are current and include enough qualified sources to ensure maximum open and free competition. Also, the non-Federal entity must not preclude potential bidders from qualifying during the solicitation period.

(f) Noncompetitive procurements can only be awarded in accordance with § 200.320(c).

SOURCE: Section also affected by: 69 FR 26280, May 11, 2004; 78 FR 78608, Dec. 26, 2013; Section also affected by: 78 FR 78608, Dec. 26, 2013; 85 FR 49543, Aug. 13, 2020, unless otherwise noted.

AUTHORITY: 31 U.S.C. 503

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Procurement Standards

2 C.F.R. § 200.320

§ 200.320 Methods of procurement to be followed.

Effective: November 12, 2020

Currentness

The non-Federal entity must have and use documented procurement procedures, consistent with the standards of this section and §§ 200.317, 200.318, and 200.319 for any of the following methods of procurement used for the acquisition of property or services required under a Federal award or sub-award.

(a) Informal procurement methods. When the value of the procurement for property or services under a Federal award does not exceed the simplified acquisition threshold (SAT), as defined in § 200.1, or a lower threshold established by a non-Federal entity, formal procurement methods are not required. The non-Federal entity may use informal procurement methods to expedite the completion of its transactions and minimize the associated administrative burden and cost. The informal methods used for procurement of property or services at or below the SAT include:

(1) Micro-purchases—

(i) Distribution. The acquisition of supplies or services, the aggregate dollar amount of which does not exceed the micro-purchase threshold (See the definition of micro-purchase in § 200.1). To the maximum extent practicable, the non-Federal entity should distribute micro-purchases equitably among qualified suppliers.

(ii) Micro-purchase awards. Micro-purchases may be awarded without soliciting competitive price or rate quotations if the non-Federal entity considers the price to be reasonable based on research, experience, purchase history or other information and documents it files accordingly. Purchase cards can be used for micro-purchases if procedures are documented and approved by the non-Federal entity.

(iii) Micro-purchase thresholds. The non-Federal entity is responsible for determining and documenting an appropriate micro-purchase threshold based on internal controls, an evaluation of risk, and its documented procurement procedures. The micro-purchase threshold used by the non-Federal entity must be authorized or not prohibited under State, local, or tribal laws or regulations. Non-Federal entities may establish a threshold higher than the Federal threshold established in the Federal Acquisition Regulations (FAR) in accordance with paragraphs (a)(1)(iv) and (v) of this section.

(iv) Non-Federal entity increase to the micro-purchase threshold up to \$50,000. Non-Federal entities may establish a threshold higher than the micro-purchase threshold identified in the FAR, in accordance with the requirements of this section. The non-Federal entity may self-certify a threshold up to \$50,000 on an annual basis and must maintain documentation to be made available to the Federal awarding agency and auditors in accordance with § 200.334. The self-certification must include a justification, clear identification of the threshold, and supporting documentation of any of the following:

(A) A qualification as a low-risk auditee, in accordance with the criteria in § 200.520 for the most recent audit;

(B) An annual internal institutional risk assessment to identify, mitigate, and manage financial risks; or,

(C) For public institutions, a higher threshold consistent with State law.

(v) Non-Federal entity increase to the micro-purchase threshold over \$50,000. Micro-purchase thresholds higher than \$50,000 must be approved by the cognizant agency for indirect costs. The non-federal entity must submit a request with the requirements included in paragraph (a)(1)(iv) of this section. The increased threshold is valid until there is a change in status in which the justification was approved.

(2) Small purchases—

(i) Small purchase procedures. The acquisition of property or services, the aggregate dollar amount of which is higher than the micro-purchase threshold but does not exceed the simplified acquisition threshold. If small purchase procedures are used, price or rate quotations must be obtained from an adequate number of qualified sources as determined appropriate by the non-Federal entity.

(ii) Simplified acquisition thresholds. The non-Federal entity is responsible for determining an appropriate simplified acquisition threshold based on internal controls, an evaluation of risk and its documented procurement procedures which must not exceed the threshold established in the FAR. When applicable, a lower simplified acquisition threshold used by the non-Federal entity must be authorized or not prohibited under State, local, or tribal laws or regulations.

(b) Formal procurement methods. When the value of the procurement for property or services under a Federal financial assistance award exceeds the SAT, or a lower threshold established by a non-Federal entity, formal procurement methods are required. Formal procurement methods require following documented procedures. Formal procurement methods also require public advertising unless a non-competitive procurement can be used in accordance with § 200.319 or paragraph (c) of this section. The following formal methods of procurement are used for procurement of property or services above the simplified acquisition threshold or a value below the simplified acquisition threshold the non-Federal entity determines to be appropriate:

(1) Sealed bids. A procurement method in which bids are publicly solicited and a firm fixed-price contract (lump sum or unit price) is awarded to the responsible bidder whose bid, conforming with all the material terms and conditions of the invitation for bids, is the lowest in price. The sealed bids method is the preferred method for procuring construction, if the conditions.

(i) In order for sealed bidding to be feasible, the following conditions should be present:

(A) A complete, adequate, and realistic specification or purchase description is available;

(B) Two or more responsible bidders are willing and able to compete effectively for the business; and

(C) The procurement lends itself to a firm fixed price contract and the selection of the successful bidder can be made principally on the basis of price.

(ii) If sealed bids are used, the following requirements apply:

(A) Bids must be solicited from an adequate number of qualified sources, providing them sufficient response time prior to the date set for opening the bids, for local, and tribal governments, the invitation for bids must be publicly advertised;

(B) The invitation for bids, which will include any specifications and pertinent attachments, must define the items or services in order for the bidder to properly respond;

(C) All bids will be opened at the time and place prescribed in the invitation for bids, and for local and tribal governments, the bids must be opened publicly;

(D) A firm fixed price contract award will be made in writing to the lowest responsive and responsible bidder. Where specified in bidding documents, factors such as discounts, transportation cost, and life cycle costs must be considered in determining which bid is lowest. Payment discounts will only be used to determine the low bid when prior experience indicates that such discounts are usually taken advantage of; and

(E) Any or all bids may be rejected if there is a sound documented reason.

(2) Proposals. A procurement method in which either a fixed price or cost-reimbursement type contract is awarded. Proposals are generally used when conditions are not appropriate for the use of sealed bids. They are awarded in accordance with the following requirements:

(i) Requests for proposals must be publicized and identify all evaluation factors and their relative importance. Proposals must be solicited from an adequate number of qualified offerors. Any response to publicized requests for proposals must be considered to the maximum extent practical;

(ii) The non-Federal entity must have a written method for conducting technical evaluations of the proposals received and making selections;

(iii) Contracts must be awarded to the responsible offeror whose proposal is most advantageous to the non-Federal entity, with price and other factors considered; and

(iv) The non-Federal entity may use competitive proposal procedures for qualifications-based procurement of architectural/engineering (A/E) professional services whereby offeror's qualifications are evaluated and the most qualified offeror is selected, subject to negotiation of fair and reasonable compensation. The method, where price is not used as a selection factor, can only be used in procurement of A/E professional services. It cannot be used to purchase other types of services through A/E firms that are a potential source to perform the proposed effort.

(c) Noncompetitive procurement. There are specific circumstances in which noncompetitive procurement can be used. Noncompetitive procurement can only be awarded if one or more of the following circumstances apply:

(1) The acquisition of property or services, the aggregate dollar amount of which does not exceed the micro-purchase threshold (see paragraph (a)(1) of this section);

(2) The item is available only from a single source;

(3) The public exigency or emergency for the requirement will not permit a delay resulting from publicizing a competitive solicitation;

(4) The Federal awarding agency or pass-through entity expressly authorizes a noncompetitive procurement in response to a written request from the non-Federal entity; or

(5) After solicitation of a number of sources, competition is determined inadequate.

SOURCE: Section also affected by: 69 FR 26280, May 11, 2004; 78 FR 78608, Dec. 26, 2013; Section also affected by: 78 FR 78608, Dec. 26, 2013; 85 FR 49543, Aug. 13, 2020, unless otherwise noted.

AUTHORITY: 31 U.S.C. 503

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Procurement Standards

2 C.F.R. § 200.321

§ 200.321 Contracting with small and minority businesses,
women's business enterprises, and labor surplus area firms.

Effective: November 12, 2020

Currentness

(a) The non-Federal entity must take all necessary affirmative steps to assure that minority businesses, women's business enterprises, and labor surplus area firms are used when possible.

(b) Affirmative steps must include:

(1) Placing qualified small and minority businesses and women's business enterprises on solicitation lists;

(2) Assuring that small and minority businesses, and women's business enterprises are solicited whenever they are potential sources;

(3) Dividing total requirements, when economically feasible, into smaller tasks or quantities to permit maximum participation by small and minority businesses, and women's business enterprises;

(4) Establishing delivery schedules, where the requirement permits, which encourage participation by small and minority businesses, and women's business enterprises;

(5) Using the services and assistance, as appropriate, of such organizations as the Small Business Administration and the Minority Business Development Agency of the Department of Commerce; and

(6) Requiring the prime contractor, if subcontracts are to be let, to take the affirmative steps listed in paragraphs (b)(1) through (5) of this section.

SOURCE: Section also affected by: 69 FR 26280, May 11, 2004; 78 FR 78608, Dec. 26, 2013; Section also affected by: 78 FR 78608, Dec. 26, 2013; 85 FR 49543, Aug. 13, 2020, unless otherwise noted.

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Procurement Standards

2 C.F.R. § 200.322

§ 200.322 Domestic preferences for procurements.

Effective: November 12, 2020

Currentness

(a) As appropriate and to the extent consistent with law, the non-Federal entity should, to the greatest extent practicable under a Federal award, provide a preference for the purchase, acquisition, or use of goods, products, or materials produced in the United States (including but not limited to iron, aluminum, steel, cement, and other manufactured products). The requirements of this section must be included in all subawards including all contracts and purchase orders for work or products under this award.

(b) For purposes of this section:

(1) "Produced in the United States" means, for iron and steel products, that all manufacturing processes, from the initial melting stage through the application of coatings, occurred in the United States.

(2) "Manufactured products" means items and construction materials composed in whole or in part of non-ferrous metals such as aluminum; plastics and polymer-based products such as polyvinyl chloride pipe; aggregates such as concrete; glass, including optical fiber; and lumber.

SOURCE: Section also affected by: 69 FR 26280, May 11, 2004; 78 FR 78608, Dec. 26, 2013; Section also affected by: 78 FR 78608, Dec. 26, 2013; 85 FR 49543, Aug. 13, 2020, unless otherwise noted.

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Procurement Standards

2 C.F.R. § 200.323

§ 200.323 Procurement of recovered materials.

Effective: November 12, 2020

Currentness

A non-Federal entity that is a state agency or agency of a political subdivision of a state and its contractors must comply with section 6002 of the Solid Waste Disposal Act, as amended by the Resource Conservation and Recovery Act. The requirements of Section 6002 include procuring only items designated in guidelines of the Environmental Protection Agency (EPA) at 40 CFR part 247 that contain the highest percentage of recovered materials practicable, consistent with maintaining a satisfactory level of competition, where the purchase price of the item exceeds \$10,000 or the value of the quantity acquired during the preceding fiscal year exceeded \$10,000; procuring solid waste management services in a manner that maximizes energy and resource recovery; and establishing an affirmative procurement program for procurement of recovered materials identified in the EPA guidelines.

SOURCE: Section also affected by: 69 FR 26280, May 11, 2004; 78 FR 78608, Dec. 26, 2013; Section also affected by: 78 FR 78608, Dec. 26, 2013; 85 FR 49543, Aug. 13, 2020, unless otherwise noted.

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Procurement Standards

2 C.F.R. § 200.324

§ 200.324 Contract cost and price.

Effective: November 12, 2020

Currentness

(a) The non-Federal entity must perform a cost or price analysis in connection with every procurement action in excess of the Simplified Acquisition Threshold including contract modifications. The method and degree of analysis is dependent on the facts surrounding the particular procurement situation, but as a starting point, the non-Federal entity must make independent estimates before receiving bids or proposals.

(b) The non-Federal entity must negotiate profit as a separate element of the price for each contract in which there is no price competition and in all cases where cost analysis is performed. To establish a fair and reasonable profit, consideration must be given to the complexity of the work to be performed, the risk borne by the contractor, the contractor's investment, the amount of subcontracting, the quality of its record of past performance, and industry profit rates in the surrounding geographical area for similar work.

(c) Costs or prices based on estimated costs for contracts under the Federal award are allowable only to the extent that costs incurred or cost estimates included in negotiated prices would be allowable for the non-Federal entity under subpart E of this part. The non-Federal entity may reference its own cost principles that comply with the Federal cost principles.

(d) The cost plus a percentage of cost and percentage of construction cost methods of contracting must not be used.

SOURCE: Section also affected by: 69 FR 26280, May 11, 2004; 78 FR 78608, Dec. 26, 2013; Section also affected by: 78 FR 78608, Dec. 26, 2013; 85 FR 49543, Aug. 13, 2020, unless otherwise noted.

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Procurement Standards

2 C.F.R. § 200.325

§ 200.325 Federal awarding agency or pass-through entity review.

Effective: November 12, 2020

Currentness

(a) The non-Federal entity must make available, upon request of the Federal awarding agency or pass-through entity, technical specifications on proposed procurements where the Federal awarding agency or pass-through entity believes such review is needed to ensure that the item or service specified is the one being proposed for acquisition. This review generally will take place prior to the time the specification is incorporated into a solicitation document. However, if the non-Federal entity desires to have the review accomplished after a solicitation has been developed, the Federal awarding agency or pass-through entity may still review the specifications, with such review usually limited to the technical aspects of the proposed purchase.

(b) The non-Federal entity must make available upon request, for the Federal awarding agency or pass-through entity pre-procurement review, procurement documents, such as requests for proposals or invitations for bids, or independent cost estimates, when:

(1) The non-Federal entity's procurement procedures or operation fails to comply with the procurement standards in this part;

(2) The procurement is expected to exceed the Simplified Acquisition Threshold and is to be awarded without competition or only one bid or offer is received in response to a solicitation;

(3) The procurement, which is expected to exceed the Simplified Acquisition Threshold, specifies a "brand name" product;

(4) The proposed contract is more than the Simplified Acquisition Threshold and is to be awarded to other than the apparent low bidder under a sealed bid procurement; or

(5) A proposed contract modification changes the scope of a contract or increases the contract amount by more than the Simplified Acquisition Threshold.

(c) The non-Federal entity is exempt from the pre-procurement review in paragraph (b) of this section if the Federal awarding agency or pass-through entity determines that its procurement systems comply with the standards of this part.

(1) The non-Federal entity may request that its procurement system be reviewed by the Federal awarding agency or pass-through entity to determine whether its system meets these standards in order for its system to be certified. Generally, these reviews must occur where there is continuous high-dollar funding, and third-party contracts are awarded on a regular basis;

(2) The non-Federal entity may self-certify its procurement system. Such self-certification must not limit the Federal awarding agency's right to survey the system. Under a self-certification procedure, the Federal awarding agency may rely on written assurances from the non-Federal entity that it is complying with these standards. The non-Federal entity must cite specific policies, procedures, regulations, or standards as being in compliance with these requirements and have its system available for review.

SOURCE: Section also affected by: 69 FR 26280, May 11, 2004; 78 FR 78608, Dec. 26, 2013; Section also affected by: 78 FR 78608, Dec. 26, 2013; 85 FR 49543, Aug. 13, 2020, unless otherwise noted.

AUTHORITY: 31 U.S.C. 503

Current through December 17, 2021; 86 FR 71792.

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Code of Federal Regulations

Title 2. Grants and Agreements (Refs & Annos)

Subtitle A. Office of Management and Budget Guidance for Grants and Agreements (Refs & Annos)

Chapter II. Office of Management and Budget Guidance (Refs & Annos)

Part 200. Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (Refs & Annos)

Subpart D. Post Federal Award Requirements (Refs & Annos)

Procurement Standards

2 C.F.R. § 200.326

§ 200.326 Bonding requirements.

Effective: November 12, 2020

Currentness

For construction or facility improvement contracts or subcontracts exceeding the Simplified Acquisition Threshold, the Federal awarding agency or pass-through entity may accept the bonding policy and requirements of the non-Federal entity provided that the Federal awarding agency or pass-through entity has made a determination that the Federal interest is adequately protected. If such a determination has not been made, the minimum requirements must be as follows:

(a) A bid guarantee from each bidder equivalent to five percent of the bid price. The "bid guarantee" must consist of a firm commitment such as a bid bond, certified check, or other negotiable instrument accompanying a bid as assurance that the bidder will, upon acceptance of the bid, execute such contractual documents as may be required within the time specified.

(b) A performance bond on the part of the contractor for 100 percent of the contract price. A "performance bond" is one executed in connection with a contract to secure fulfillment of all the contractor's requirements under such contract.

(c) A payment bond on the part of the contractor for 100 percent of the contract price. A "payment bond" is one executed in connection with a contract to assure payment as required by law of all persons supplying labor and material in the execution of the work provided for in the contract.

SOURCE: Section also affected by: 69 FR 26280, May 11, 2004; 78 FR 78608, Dec. 26, 2013; Section also affected by: 78 FR 78608, Dec. 26, 2013; 85 FR 49543, Aug. 13, 2020, unless otherwise noted.

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Subpart D. Post Federal Award Requirements (Refs & Annos)
Procurement Standards

2 C.F.R. § 200.327

§ 200.327 Contract provisions.

Effective: November 12, 2020
Currentness

The non-Federal entity's contracts must contain the applicable provisions described in appendix II to this part.

SOURCE: Section also affected by: 69 FR 26280, May 11, 2004; 78 FR 78608, Dec. 26, 2013; Section also affected by: 78 FR 78608, Dec. 26, 2013; 85 FR 49543, Aug. 13, 2020, unless otherwise noted.

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Part 200. Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (Refs & Annos)

2 C.F.R. Pt. 200, App. II

APPENDIX II TO PART 200—CONTRACT PROVISIONS FOR NON-
FEDERAL ENTITY CONTRACTS UNDER FEDERAL AWARDS

Effective: November 12, 2020

Currentness

In addition to other provisions required by the Federal agency or non-Federal entity, all contracts made by the non-Federal entity under the Federal award must contain provisions covering the following, as applicable.

(A) Contracts for more than the simplified acquisition threshold, which is the inflation adjusted amount determined by the Civilian Agency Acquisition Council and the Defense Acquisition Regulations Council (Councils) as authorized by 41 U.S.C. 1908, must address administrative, contractual, or legal remedies in instances where contractors violate or breach contract terms, and provide for such sanctions and penalties as appropriate.

(B) All contracts in excess of \$10,000 must address termination for cause and for convenience by the non-Federal entity including the manner by which it will be effected and the basis for settlement.

(C) Equal Employment Opportunity. Except as otherwise provided under 41 CFR Part 60, all contracts that meet the definition of "federally assisted construction contract" in 41 CFR Part 60-1.3 must include the equal opportunity clause provided under 41 CFR 60-1.4(b), in accordance with Executive Order 11246, "Equal Employment Opportunity" (30 FR 12319, 12935, 3 CFR Part, 1964-1965 Comp., p. 339), as amended by Executive Order 11375, "Amending Executive Order 11246 Relating to Equal Employment Opportunity," and implementing regulations at 41 CFR part 60, "Office of Federal Contract Compliance Programs, Equal Employment Opportunity, Department of Labor."

(D) Davis-Bacon Act, as amended (40 U.S.C. 3141-3148). When required by Federal program legislation, all prime construction contracts in excess of \$2,000 awarded by non-Federal entities must include a provision for compliance with the Davis-Bacon Act (40 U.S.C. 3141-3144, and 3146-3148) as supplemented by Department of Labor regulations (29 CFR Part 5, "Labor Standards Provisions Applicable to Contracts Covering Federally Financed and Assisted Construction"). In accordance with the statute, contractors must be required to pay wages to laborers and mechanics at a rate not less than the prevailing wages specified in a wage determination made by the Secretary of Labor. In addition, contractors must be required to pay wages not less than once a week. The non-Federal entity must place a copy of the current prevailing wage determination issued by the Department of Labor in each solicitation. The decision to award a contract or subcontract must be conditioned upon the acceptance of the wage determination. The non-Federal entity must report all suspected or reported violations to the Federal awarding agency. The contracts must also include a provision for compliance with the Copeland "Anti-Kickback" Act (40 U.S.C. 3145), as supplemented by Department of Labor regulations (29 CFR Part 3, "Contractors and Subcontractors on Public Building or Public Work Financed in Whole or in Part by Loans or Grants from the United States"). The Act provides that each contractor or subrecipient must be prohibited from inducing, by any means, any person employed in the construction,

completion, or repair of public work, to give up any part of the compensation to which he or she is otherwise entitled. The non-Federal entity must report all suspected or reported violations to the Federal awarding agency.

(E) Contract Work Hours and Safety Standards Act (40 U.S.C. 3701–3708). Where applicable, all contracts awarded by the non-Federal entity in excess of \$100,000 that involve the employment of mechanics or laborers must include a provision for compliance with 40 U.S.C. 3702 and 3704, as supplemented by Department of Labor regulations (29 CFR Part 5). Under 40 U.S.C. 3702 of the Act, each contractor must be required to compute the wages of every mechanic and laborer on the basis of a standard work week of 40 hours. Work in excess of the standard work week is permissible provided that the worker is compensated at a rate of not less than one and a half times the basic rate of pay for all hours worked in excess of 40 hours in the work week. The requirements of 40 U.S.C. 3704 are applicable to construction work and provide that no laborer or mechanic must be required to work in surroundings or under working conditions which are unsanitary, hazardous or dangerous. These requirements do not apply to the purchases of supplies or materials or articles ordinarily available on the open market, or contracts for transportation or transmission of intelligence.

(F) Rights to Inventions Made Under a Contract or Agreement. If the Federal award meets the definition of “funding agreement” under 37 CFR § 401.2 (a) and the recipient or subrecipient wishes to enter into a contract with a small business firm or nonprofit organization regarding the substitution of parties, assignment or performance of experimental, developmental, or research work under that “funding agreement,” the recipient or subrecipient must comply with the requirements of 37 CFR Part 401, “Rights to Inventions Made by Nonprofit Organizations and Small Business Firms Under Government Grants, Contracts and Cooperative Agreements,” and any implementing regulations issued by the awarding agency.

(G) Clean Air Act (42 U.S.C. 7401–7671q.) and the Federal Water Pollution Control Act (33 U.S.C. 1251–1387), as amended—Contracts and subgrants of amounts in excess of \$150,000 must contain a provision that requires the non-Federal award to agree to comply with all applicable standards, orders or regulations issued pursuant to the Clean Air Act (42 U.S.C. 7401–7671q) and the Federal Water Pollution Control Act as amended (33 U.S.C. 1251–1387). Violations must be reported to the Federal awarding agency and the Regional Office of the Environmental Protection Agency (EPA).

(H) Debarment and Suspension (Executive Orders 12549 and 12689)—A contract award (see 2 CFR 180.220) must not be made to parties listed on the governmentwide exclusions in the System for Award Management (SAM), in accordance with the OMB guidelines at 2 CFR 180 that implement Executive Orders 12549 (3 CFR part 1986 Comp., p. 189) and 12689 (3 CFR part 1989 Comp., p. 235), “Debarment and Suspension.” SAM Exclusions contains the names of parties debarred, suspended, or otherwise excluded by agencies, as well as parties declared ineligible under statutory or regulatory authority other than Executive Order 12549.

(I) Byrd Anti-Lobbying Amendment (31 U.S.C. 1352)—Contractors that apply or bid for an award exceeding \$100,000 must file the required certification. Each tier certifies to the tier above that it will not and has not used Federal appropriated funds to pay any person or organization for influencing or attempting to influence an officer or employee of any agency, a member of Congress, officer or employee of Congress, or an employee of a member of Congress in connection with obtaining any Federal contract, grant or any other award covered by 31 U.S.C. 1352. Each tier must also disclose any lobbying with non-Federal funds that takes place in connection with obtaining any Federal award. Such disclosures are forwarded from tier to tier up to the non-Federal award.

(J) See § 200.323.

(K) See § 200.216.

(L) See § 200.322.

Credits

[79 FR 75888, Dec. 19, 2014; 85 FR 49577, Aug. 13, 2020]

SOURCE: Section also affected by: 69 FR 26280, May 11, 2004; 78 FR 78608, Dec. 26, 2013; Section also affected by: 78 FR 78608, Dec. 26, 2013, unless otherwise noted.

AUTHORITY: 31 U.S.C. 503

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Appendix 2

OMB Approved No. 1505-0271

Expiration Date: November 30, 2021

ASSURANCES OF COMPLIANCE WITH CIVIL RIGHTS REQUIREMENTS

ASSURANCES OF COMPLIANCE WITH TITLE VI OF THE CIVIL RIGHTS ACT OF 1964

As a condition of receipt of federal financial assistance from the Department of the Treasury, the recipient named below (hereinafter referred to as the "Recipient") provides the assurances stated herein. The federal financial assistance may include federal grants, loans and contracts to provide assistance to the Recipient's beneficiaries, the use or rent of Federal land or property at below market value, Federal training, a loan of Federal personnel, subsidies, and other arrangements with the intention of providing assistance. Federal financial assistance does not encompass contracts of guarantee or insurance, regulated programs, licenses, procurement contracts by the Federal government at market value, or programs that provide direct benefits.

The assurances apply to all federal financial assistance from or funds made available through the Department of the Treasury, including any assistance that the Recipient may request in the future.

The Civil Rights Restoration Act of 1987 provides that the provisions of the assurances apply to all of the operations of the Recipient's program(s) and activity(ies), so long as any portion of the Recipient's program(s) or activity(ies) is federally assisted in the manner prescribed above.

1. Recipient ensures its current and future compliance with Title VI of the Civil Rights Act of 1964, as amended, which prohibits exclusion from participation, denial of the benefits of, or subjection to discrimination under programs and activities receiving federal financial assistance, of any person in the United States on the ground of race, color, or national origin (42 U.S.C. § 2000d *et seq.*), as implemented by the Department of the Treasury Title VI regulations at 31 CFR Part 22 and other pertinent executive orders such as Executive Order 13166, directives, circulars, policies, memoranda, and/or guidance documents.

2. Recipient acknowledges that Executive Order 13166, "Improving Access to Services for Persons with Limited English Proficiency," seeks to improve access to federally assisted programs and activities for individuals who, because of national origin, have Limited English proficiency (LEP). Recipient understands that denying a person access to its programs, services, and activities because of LEP is a form of national origin discrimination prohibited under Title VI of the Civil Rights Act of 1964 and the Department of the Treasury's implementing regulations. Accordingly, Recipient shall initiate reasonable steps, or

comply with the Department of the Treasury's directives, to ensure that LEP persons have meaningful access to its programs, services, and activities. Recipient understands and agrees that meaningful access may entail providing language assistance services, including oral interpretation and written translation where necessary, to ensure effective communication in the Recipient's programs, services, and activities.

3. Recipient agrees to consider the need for language services for LEP persons when Recipient develops applicable budgets and conducts programs, services, and activities. As a resource, the Department of the Treasury has published its LEP guidance at 70 FR 6067. For more information on taking reasonable steps to provide meaningful access for LEP persons, please visit <http://www.lep.gov>.

4. Recipient acknowledges and agrees that compliance with the assurances constitutes a condition of continued receipt of federal financial assistance and is binding upon Recipient and Recipient's successors, transferees, and assignees for the period in which such assistance is provided.

5. Recipient acknowledges and agrees that it must require any sub-grantees, contractors, subcontractors, successors, transferees, and assignees to comply with assurances 1-4 above, and agrees to incorporate the following language in every contract or agreement subject to Title VI and its regulations between the Recipient and the Recipient's sub-grantees, contractors, subcontractors, successors, transferees, and assignees:

The sub-grantee, contractor, subcontractor, successor, transferee, and assignee shall comply with Title VI of the Civil Rights Act of 1964, which prohibits recipients of federal financial assistance from excluding from a program or activity, denying benefits of, or otherwise discriminating against a person on the basis of race, color, or national origin (42 U.S.C. § 2000d et seq.), as implemented by the Department of the Treasury's Title VI regulations, 31 CFR Part 22, which are herein incorporated by reference and made a part of this contract (or agreement). Title VI also includes protection to persons with "Limited English Proficiency" in any program or activity receiving federal financial assistance, 42 U.S.C. § 2000d et seq., as implemented by the Department of the Treasury's Title VI regulations, 31 CFR Part 22, and herein incorporated by reference and made a part of this contract or agreement.

6. Recipient understands and agrees that if any real property or structure is provided or improved with the aid of federal financial assistance by the Department of the Treasury, this assurance obligates the Recipient, or in the case of a subsequent transfer, the transferee, for the period during which the real property or structure is used for a purpose for which the federal financial assistance is extended or for another purpose involving the provision of similar services or benefits. If any personal property is provided, this assurance obligates the Recipient for the period during which it retains ownership or possession of the property.

7. Recipient shall cooperate in any enforcement or compliance review activities by the Department of the Treasury of the aforementioned obligations. Enforcement may include investigation, arbitration, mediation, litigation, and monitoring of any settlement agreements that may result from these actions. The Recipient shall comply with information requests, on-

site compliance reviews and reporting requirements.

8. Recipient shall maintain a complaint log and inform the Department of the Treasury of any complaints of discrimination on the grounds of race, color, or national origin, and limited English proficiency covered by Title VI of the Civil Rights Act of 1964 and implementing regulations and provide, upon request, a list of all such reviews or proceedings based on the complaint, pending or completed, including outcome. Recipient also must inform the Department of the Treasury if Recipient has received no complaints under Title VI.

9. Recipient must provide documentation of an administrative agency's or court's findings of non-compliance of Title VI and efforts to address the non-compliance, including any voluntary compliance or other agreements between the Recipient and the administrative agency that made the finding. If the Recipient settles a case or matter alleging such discrimination, the Recipient must provide documentation of the settlement. If Recipient has not been the subject of any court or administrative agency finding of discrimination, please so state.


10. If the Recipient makes sub-awards to other agencies or other entities, the Recipient is responsible for ensuring that sub-recipients also comply with Title VI and other applicable authorities covered in this document. State agencies that make sub-awards must have in place standard grant assurances and review procedures to demonstrate that they are effectively monitoring the civil rights compliance of sub-recipients.

The United States of America has the right to seek judicial enforcement of the terms of this assurances document and nothing in this document alters or limits the federal enforcement measures that the United States may take in order to address violations of this document or applicable federal law.

Under penalty of perjury, the undersigned official(s) certifies that official(s) has read and understood the Recipient's obligations as herein described, that any information submitted in conjunction with this assurances document is accurate and complete, and that the Recipient is in compliance with the aforementioned nondiscrimination requirements.

University of Missouri-Kansas City
Recipient

Feb. 16, 2022
Date

 Yusheng Liu, Ph.D. Vice Chancellor for Research
Signature of Authorized Official

PAPERWORK REDUCTION ACT NOTICE

The information collected will be used for the U.S. Government to process requests for support. The estimated burden associated with this collection of information is 30 minutes per response. Comments concerning the accuracy of this burden estimate and suggestions for reducing this burden should be directed to the Office of Privacy, Transparency and Records, Department of the Treasury, 1500 Pennsylvania Ave., N.W., Washington, D.C. 20220. DO NOT send the form to this address. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a valid control number assigned by OMB.

**Our Healthy KC
Eastside
(OHKCE):**

**A Community-
wide COVID-19
Vaccination
and Health
Services
Project to
Address Health
Inequities**



Proposal submitted by the
University of Missouri-
Kansas City (UMKC) and
collaborating partners

University of Missouri - Kansas City

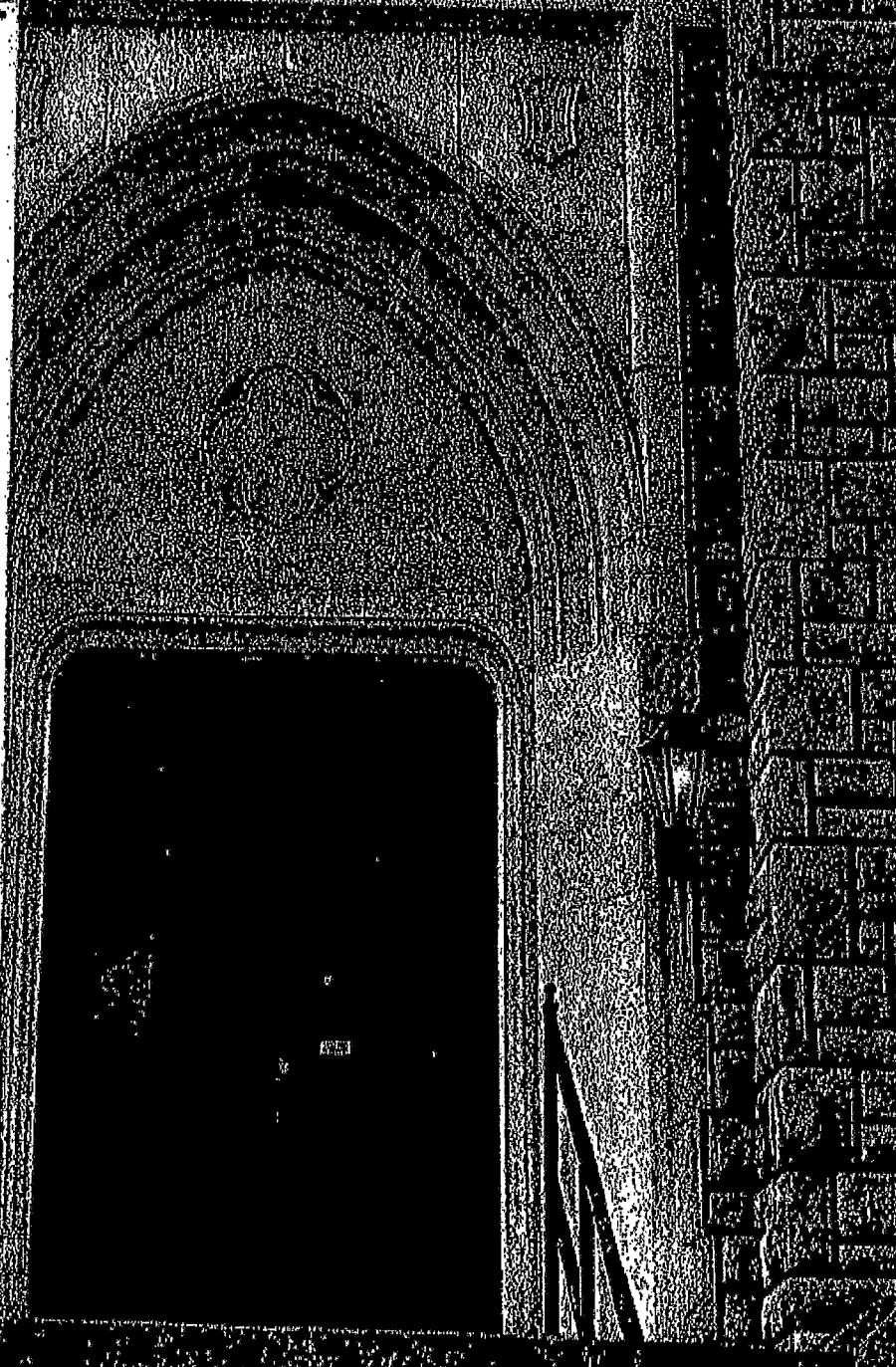


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- Budget Narrative

ACKNOWLEDGEMENTS

This proposal was developed through a community-engaged process that included input from ten meetings with community stakeholders across KCMO's Eastside. These stakeholders were primarily from neighborhood associations, businesses, faith-based organizations, and youth organizations. Input was also gathered throughout the University of Missouri-Kansas City's health professional schools' (Schools of Pharmacy, Medicine, Dentistry, Nursing and Health Sciences) deans and several department chairs who attended 3 meetings. Additional meetings were held with UMKC units that provided input which included the Center for Neighborhoods, Multicultural Student Services Center, Roos Advocating for Change, Communications, Athletics Department, and Health Services Research Administration. Additionally, input was gathered from collaborative research partners representing faculty from several UMKC departments including the Bloch School, Center for Economic Information, Community Counseling and Assessment Services, SourceLink, Psychology, and Biomedical and Health Informatics, and from faculty and community partners who serve on the UMKC Health Equity Institute Steering Committee. We also sought input from Children's Mercy Kansas City research faculty. Lastly, we sought extensive input from Truman Medical Centers, the Black Health Care Coalition and the Kansas City Missouri Health Department to help inform strategic synergies between this project and their current and future work in providing COVID-19 related services and programming.

Primary Contacts: Jannette Berkley-Patton, PhD, School of Medicine berkleypatton@umkc.edu; Lora Owens, Office of Research Services, owensl@umkc.edu, University of Missouri-Kansas City

Proposal Overview: Our Healthy KC Eastside

Our Healthy KC Eastside (OHKCE) is a community-wide project that aims to promote and deliver widespread COVID-19 vaccination and other health services with KCMO's most socially vulnerable community. Building on nearly two decades of large-scale collaborative community studies, we will fully engage community members in a multi-sectoral COVID-19 education, communication, and vaccination project. For the OHKCE project, we will also tap University of Missouri-Kansas City's, Truman Medical Center's, and the Black Health Care Coalition's extensive experience in providing health services in community and medical settings on KC's Eastside.

This project is important for several reasons. KC's Eastside has some of the highest socially vulnerable areas in Jackson County.¹ Eastside residents tend to be highly represented by minority populations, particularly African Americans, of lower-income and with less access to stable housing and transportation.^{1,2} Similar to other socially vulnerable communities across the country,^{3,4} the Eastside has experienced some of the highest rates of COVID-19 infections, hospitalizations, and deaths in Jackson County-KC.^{1,6} Also, low vaccination rates persist in KC's Eastside's socially vulnerable areas.¹ Nationwide, factors reported to be associated with vaccine hesitancy, particularly among minorities, include medical mistrust, inconsistent messaging, myths, limited vaccination access, and racism.⁵⁻⁷ Our community partners have also stated that these multi-faceted factors contribute to vaccine hesitancy and poor COVID-19 outcomes among Eastside residents. This proposal aims to address these issues.

COVID-19 has also contributed to a drastic reduction in use of prevention health services.⁸⁻¹¹ In socially vulnerable areas, prevention health services are critically needed considering these areas were already burdened by high rates of chronic health conditions. Our own large-scale studies conducted on the KC Eastside have found high rates of obesity, diabetes, cardiovascular disease, and mental health conditions.¹²⁻¹³

OUR APPROACH

The project will take place from June 1, 2021 – November 31, 2021. Our primary project outcomes, driven by Eastside community input, are to:

- Significantly increase vaccination uptake, and
- Significantly increase use of prevention health services

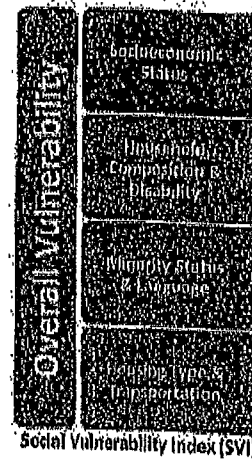
We will use a multifaceted approach to implement this project, which includes:

- Engaging ≥120 community leaders and liaisons across the Eastside in 4 community sectors:
 - Businesses, churches, neighborhood associations, and youth organizations
- Equipping community health liaisons with COVID-19 educational messaging communication tools
- Expanding vaccination and health service access with thousands of Eastside community members
- Conducting multiple innovative health science research projects with community partners to improve tailored prevention strategies and data collection procedures with Eastside communities
- Measuring community impact with a proven project implementation model (RE-AIM) widely used to design and examine large-scale NIH and CDC community health projects and studies

This project could provide the first community-wide model for delivering wide-reaching COVID-19 vaccination and other health services by increasing the capacity of organizations on KC's Eastside with training, tailored education/communications tools, and support from academic and health partners.

SOCIALLY VULNERABILITY AND LOW KC EASTSIDE COVID-19 VACCINATION RATES

Social vulnerability is determined by the degree a community exhibits four primary social determinants: socioeconomic status, household composition/ disability, minority status & language, and housing and transportation.¹⁴ These four determinants determine a community's social vulnerability index (SVI). SVI is assessed for census tracts based on ranked percentiles that range from 0 to 1, with higher values indicating greater vulnerability.



Eastside zip codes with some of the highest SVI rankings and inclusive of the most number of high-SVI census tracts are: 64106, 64109, 64127, 64128, 64129, and 64130 (see table below).¹

Not surprising, 4 of KOMO's six lowest life expectancy zip codes are on the Eastside and have some of the lowest life expectancy in KCMO. There is an 18.6-year difference from the lowest life expectancy zip codes to the highest in KCMO.

Priority SVI Zip Codes*	# of SVI Tracts in 75 th Percentile or Higher*	Average SVI for the Zip Code	Percent African American	Partially Vaccinated (At Least One Dose)		
				White	Black or African American	Hispanic or Latino
64106	4	.88	44%	22%	6%	17%
64109	4	.67	48%	23%	18%	15%
64127	6**	.95	61%	11%	15%	9%
64128	6**	.83	81%	9%	14%	19%
64129	3	.72	46%	12%	13%	9%
64130	4	.89	88%	13%	16%	28%

*Include ≤ 3 or more census tracts that are above the 75th percentile per Social Vulnerability Index (SVI)
 **Largest number of census tracts in the 75th percentile per the SVI

These Eastside zip codes are among some of the lowest partially vaccinated zip codes in Jackson County and are largely made up of African Americans.

Our studies with Eastside African American residents have also found high rates of underlying health conditions, such as hypertension, diabetes and obesity,^{12-13,15-16} which further exacerbate COVID-19 burdens. These along with other Eastsiders are persons who most likely need to leave home to work, live in a crowded often multi-generational housing, use public transportation, and enter crowded workplaces where social distancing and personal protective equipment (PPE) have not been widely available. Together, these factors place them at higher risk of COVID-19 exposure to the virus and with great need for COVID-19 vaccination and other health services.

ENGAGING COMMUNITY SECTORS ACROSS THE EASTSIDE

We will build on lessons learned over the past 15 years in conducting large-scale, community-engaged studies in collaboration with KC Eastside community partners. Our history of creating equitable partnerships to address health inequities is based on **Community-based Participatory Research** principles to ensure our projects reflect the values, knowledge-base, strength, and experience of the Eastside. We will share leadership and ownership of the project with our Eastside partners in jointly implementing the project. To prepare this proposal, we had ten meetings with leaders in these sectors – business owners, pastors, neighborhood association presidents, and youth organization leaders – who contributed to the project's design and are already recruiting their peer organizations.

Community-based Participatory Research (CBPR)¹⁷

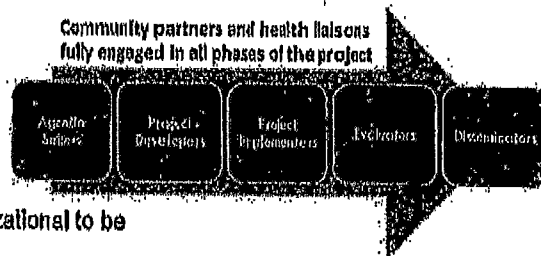
Collaborative approach to research that equitably involves all partners in the research process and recognizes the unique strengths that each brings.

CBPR begins with a research topic of importance to the community with the aim of combining knowledge and action for social change to improve community health and eliminate health disparities.

W.K. Kellogg Community Scholar's Program, 2001

Role of Community Partners (≥60 partners)
Community partners participating in the project (see letters of support from 30 community partners representing the sectors) will:

- Assist in identifying research questions
- Assist designing project materials/procedures
- Identify 2-3 community influencers in the organization to be trained as health liaisons
- Promote vaccination and health service events and other health programs in their venues
- Provide implementation evaluation data
- Assist with interpreting project findings, refining processes, and sharing findings



Role of Community Partner Influencers as Health Liaisons (≥120 health liaisons)

Community influencers serving as health liaisons in their organization will:

- Assist with coordinating/promoting vaccination and other health services with their constituents
- Be trained on COVID-19 topics and implement the project, which will include communicating the importance of COVID-19 vaccination and healthy behaviors using a project tool kit tailored for their sector
- Assist with recruitment of participants in a city-wide survey study focused on understanding factors related to receipt of COVID-19 vaccination and use of health services;
- provide information on how they are implementing the project with their organization and constituents

Memorandum of Agreements

Leaders of community partners (pastors, business owners, youth organization directors, neighborhood association presidents) will provide signed Memorandum of Agreements which will detail the role of: their organization, the community influencers who will serve as health liaisons, and UMKC and health partners.

Community Action Boards (CABs)

We will formalize an OHKCE Community Action Board (CAB) made of up sector leaders and will also engage our existing CABs (KC FAITH Initiative, Clergy Response Network, Building Bonds with Youth) to ensure full community engagement occurs across the project and to also plan for project maintenance.

EQUIPPING COMMUNITY INFLUENCERS WITH TRAINING AND COMMUNICATION TOOLS

Our successful approach to rapid design and delivery of large-scale health promotion projects that are tailored specifically for selected settings is enabled by actively engaging community members in the creation process. Among several tasks, the creative process includes identifying existing, naturalistic communication channels and appropriate materials/activities that can be readily delivered by community members. These materials/activities will be packaged in easy-to-use tool kits to support community influencers, who will serve as health liaisons, in using effective communication to motivate their constituents to make an informed decision to get COVID-19 vaccinated and use health services.

In preparation for this proposal, we met with representatives from each sector to begin gathering information to build tool kits for the four sectors. Their suggestions are shown in the table below. The list of tools will be refined and actual materials/activities will be created with our Community Action Boards.

Community leaders and health liaisons will be trained to talk about COVID-19 vaccination and health services messages with support of the tool kits, with guidance from CDC's Vaccinate with Confidence Initiative.¹⁰ Two trainings will be held: 1 training prior to launch and 1 booster training, and will include:

- Training on COVID-19 vaccination (e.g., COVID-19 disparities on the Eastside, how vaccines were made and approved, benefits of vaccination to individuals and the community, potential vaccine side effects) topics and prevention health service (importance of safe and healthy behaviors, resources)
- Enhanced communication skills to share information and motivate vaccination and health service use
- Delivery of OHKCE Tool Kit materials and activities
- Project implementation reporting (monthly) using an easy-to-use online tracking system

Community health liaisons will participate in brief monthly check-ins to ensure they have needed materials and ensure their questions and accomplishments are discussed. Additionally, each sector will have a sector community lead director, supported by the project, working alongside a sector UMKC director (see below).

Our Healthy KC Eastside Tool Kit Materials/Activities Per Sector and Across All Sectors

Sectors	Sector Community Lead	Sector UMKC Lead	Tool Kit Materials/Activities Tailored for Each Sector	Tool Kit Materials/Activities Across All Sectors
Businesses	Daniel Smith, The Porter House KC	Maria Myers, SourceLink	<ul style="list-style-type: none"> Table, counter, door signs QR code Sidewalk tents Coffee mugs, non-disposable straws Combs/brushes 	<ul style="list-style-type: none"> Business cards Fact sheets Testimonials Text messages Pens Banners and posters Promotional items (t-shirts, hats) COVID-19 self-test kits COVID-19 vaccination and health services events in small venues in each sector
Faith-based Organizations	Rev. Eric Williams, Calvary Community Outreach Network	Jannette Berkley-Patton, Community Health Research Group	<ul style="list-style-type: none"> Sermon guides Responsive readings Church bulletins Church fans 	
Neighborhood Associations	Gregg Wilson and Cashe Wilson, from Seven Oaks	Dina Newman, Center for Neighbor-hoods	<ul style="list-style-type: none"> Door knockers Home health kits Yard signs Buttons 	
Youth Organizations	Tessha Miller, Youth Ambassadors	Amanda Grimes & Joey Lightner, School of Nursing and Health Sciences	<ul style="list-style-type: none"> Peer-to-peer training in mental health Outdoor fun and fitness events Arts events (painting, skills, music) Contests Promotional items tailored for youth 	

EXPANDING HEALTH PREVENTION SERVICES AND PROGRAMS

To increase access to COVID-19 vaccinations and other health services (and programs) to address health inequities in KCMO's Eastside, community-based comprehensive health services will be delivered by several health organizations. These include: UMKC health professional schools (Schools of Medicine, Dentistry, Nursing and Health Sciences, and Pharmacy), UMKC's Community Counseling and Assessment Services, Truman Medical Center (TMC), and the Black Health Care Coalition. UMKC faculty, staff, students, community health agency partners, and community health workers. All will receive training in COVID-19 topics and will deliver services which will include, but are not limited to:

- a) COVID-19 vaccinations and testing. These services will be held at TMC's existing vaccination and testing sites on Hospital Hill and at a new site that TMC will open as a part of this project in Southeast KC. Smaller "pop-up" vaccination and health service events will be held in sector venues.
- b) Health screenings (e.g., COVID-19, blood glucose, hypertension, dental, mental health), other vaccinations (e.g., flu, HPV), and health education (e.g., health literacy, dental care, maternal and child health, disabilities) programs.
- c) Linkage to care services (e.g., health insurance including Medicaid, a medical home, medical appointment) and linkage to community resources (e.g., personal protective equipment, food, utility assistance) provided by community health workers.

Health Services and Programs Delivered in Community and Health Settings

Health Unit Delivery Services	Setting for Health Service Delivery	Health Services to be Delivered
School of Pharmacy (SOP)	Community	<ul style="list-style-type: none"> • COVID-19 vaccinations in community settings • Diabetes screenings and referrals • Education on various recommended vaccines (e.g., HPV, flu)
School of Dentistry (SOD)	Community and On-campus clinic	<ul style="list-style-type: none"> • Verbal dental screenings and referral to free dental exams at the School of Dentistry • Dental education, toothbrushes, toothpaste, and floss kits • Brush, Book, Bed program for parents and children • Lessons in a Lunchbox program for children
School of Nursing & Health Sciences (SONHS)	Community	<ul style="list-style-type: none"> • COVID-19 vaccinations • Diabetes, hypertension and glucose screening and referral
School of Medicine (SOM)	Community and Truman Medical Center	<ul style="list-style-type: none"> • COVID-19 vaccinations and testing in community settings • Hypertension, diabetes, sexually transmitted infections screenings • Dietician booth and referrals for food • Talk with a Doc and Walk with a Doc events
Community Counseling and Assessment Services	Community and On-campus clinic	<ul style="list-style-type: none"> • Mental health screening, feedback, and linkage to care • Counseling and assessment services
Truman Medical Center (TMC)	Community and at Truman Medical Center	<ul style="list-style-type: none"> • COVID-19 vaccination and testing at TMC-Hospital Hill with set hours of operation and creation of a vaccination and testing site in KC's Southeast Eastside area; pop-up vaccination events • Call center for persons with questions on scheduling COVID-19 vaccinations/testing and answering other health questions
Black Health Care Coalition (BHCC)	Community and medical settings	<ul style="list-style-type: none"> • Linkage to medical care and community resources by community health workers • Health literacy booths at vaccination and health service events

CONDUCTING INNOVATIVE RESEARCH

OHKCE is primarily a health service delivery project to expand vaccinations, and prevention services and programs in KC's Eastside. To understand the impact of the project on increasing vaccination rates and use of health services, we will collect project data at multiple levels with:

- Participating organizations in each sector to understand the adoption and reach of the project (N=80 organizations), via an online implementation tracking database
- Community health liaisons in participating organizations to examine their implementation of the project (N=150-180 youth and adults \geq aged 16) via an online implementation tracking database
- Eastside community members (N=2,000 youth and adults \geq aged 16) to understand their COVID-19 vaccination and health service use behaviors and other factors that contribute to these behaviors. They will complete 2 surveys during COVID-19 vaccination, health service, and other project events.

We will also use other methods of data collection to examine geographical impact, communication channels and social networks, and project feasibility (e.g., challenges, facilitators, accomplishments).

We conservatively anticipate that at least 10,000 people (inclusive of about 1,000 youth) will be directly exposed to this community-wide intervention (e.g., vaccinations, health screenings, exposure to information communicated or distributed by community health liaisons, prevention programs). Overall, we aim to vaccinate at least 5,000 people who may be directly or indirectly exposed to the project.

Additionally, 13 independent research studies will be conducted to more rigorously understand delivery and outcomes of prevention programs focused on several areas (e.g., physical activity, mental health, health literacy, vaccine hesitancy, use of linkage to care services, adolescent health). These studies will be led by faculty researchers located at UMKC and Children's Mercy Hospital Kansas City.

Overall, we anticipate over 8,000 Eastside residents will participate in one of these studies at some level. This will be a remarkable contribution in increasing participation in COVID-19 research with populations that have traditionally *not* participated in a research study and will aid in understanding COVID-19 vaccination and health service use along with outcomes of prevention services and programs. Findings from these studies can also be used to guide future county funding and studies to address health inequities on KC's Eastside. The table below provides an overview of these studies. More detailed information on the proposed studies will be provided upon request.

Independent COVID-19 Related Research Studies with Eastside Participants

Study Title and Researcher	Study Focus	Population; N	Primary and Secondary Outcomes	Plans for Sustainability	Primary Sector
Psychological First Aid and Skills for Psychological Recovery Programs Joah Williams, PhD Erin Hembrick, PhD UMKC	Prevention services (mental health trainings)	Community health liaisons trained as lay community mental health workers N=100	-Participation (attendance at trainings) -Satisfaction with the training format, knowledge, perceived preparedness, adoption/performance-based outcomes	Community-capacity building activities to enhance future disaster and trauma response and coordination efforts; Grant funding for community-organizations trained to deliver early interventions	All

Study Title and Researcher	Study Focus	Population; N	Primary and Secondary Outcomes	Plans for Sustainability	Primary Sector
Understanding Long-Haul COVID-19 Impact on Psychological and Other Health Outcomes Jared Bruce, PhD UMKC Biomedical & Health Informatics (BH)	Understanding cognitive, emotional and olfactory effects of long-haul COVID-19; reducing barriers to care.	Persons who report persistent COVID-19 symptoms N=60	-Patterns and risks for persisting cognitive, emotional, and olfactory COVID-19 effects identified -Quantitative and qualitative feedback to identify neurobehavioral treatment needs/barriers obtained.	Identification of persisting COVID-19 symptoms as well as barriers to care will inform the development/quality improvement of specialty clinics that provide assessment and triage within Truman Medical Center	All; Faith Organizations
Language and Nutrition Program for Toddlers; Baby Shower Program Kal Ling Kong, PhD Brenda Sallay, PhD Deanna Hanson-Abromelt, PhD Children's Mercy KC	Obesity prevention, language development, and maternal and child health	Caragiver-Infant dyads (parent and infant) N=40	-An increase in the total number of words spoken and conversational turns shared between the caregiver and infant -An Increase in the Infant in home observational score	We will teach and coach community volunteers the principles of Talk and Sing with Me program	All
Stay Active Jordan Carlson, PhD Children's Mercy KC	Game-based tools for supporting physical activity during the COVID-19 pandemic	Youth and adult individuals N=300-350	-Overall physical activity -Engagement with program	Program teaches participants skills and uses a transition period to help them carry the skills forward longer term	All
Understanding Use of Linkage to Care Services Helena Laroche, MD Children's Mercy KC	Focus groups and interviews to understand barriers to receiving resources after referral	Adults N=44	-Barrier to receiving resources -Intervention strategies to improve the linkage to care process	This data will be disseminated to community partners and used in future intervention to improve connection to resources	All
Vaccine Info and Access with People with Intellectual/developmental disabilities George Goito, PhD UMKC Institute of Human Development (IHD)	Vaccination and information dissemination	People with intellectual/developmental disabilities (IDD) N=100-200	-Vaccination of people with intellectual/developmental disabilities; -Development of cognitively accessible information about COVID-19 vaccination	Continued education through neighborhood associations that serve people with IDD	Neighborhood Associations; Persons with IDD.
Understanding Social Media and COVID-19 Communications Erin Willis, Yugyung Lee, Ye Wang UMKC Computing and Engineering (C&E)	Health communication	Community health liaisons trained in each sector N=100	-Visualization data on public opinion and personal experience w/ COVID19 vaccination; -Social media metrics to measure COVID19 vaccination campaigns and health services; -Health information app tailored to local health needs, especially during a public health crisis	The visualization model and the app can be reused. The insights can inform future similar events.	Neighborhood Associations; but will include all sectors

Study Title and Researcher	Study Focus	Population; N	Primary and Secondary Outcomes	Plans for Sustainability	Primary Sector
Youth and Physical Activity Amanda Grimes, PhD Joey Lightner, PhD UMKC School of Nursing & Health Sciences (SONHS)	Physical Activity & Nutrition Intervention; Youth tailored vaccine education	Urban middle school youth and families (Hogan Prep, Center School District, KC Public Schools) N=1,000	-Physical activity increased and mental health improved; -Youth vaccinated; -Family vaccinated	Carry-over funds to support the intervention for 2021-2022 academic year. Parks and Rec may be able support programming	Youth Organizations
Sexual and Mental Health Telemedicine and Mobile Health Access Melissa Miller, MD Emily Hurley, PhD Children's Mercy KC	Community-based intervention using social networks to build trust in the medical system and increase access to sexual and reproductive care and mental health care	Adolescents from Eastside communities, KCMO N=100	Feasibility constructs; acceptability, demand, implementation, practicality, integration, expansion, and limited-efficacy. Care sought for sexual health and mental health needs. Any telemedicine use and/or registration	The mobile unit is paid for and maintained by the Telemedicine team at Children's Mercy. CM community benefit programming is actively engaged in developing novel strategies to meet care needs, especially for families in zip codes 64123-4, 64126-33	Youth Organizations
SPARCS Skills Training and Mental Health Wood-Jaeger, PhD Entory University	Mental health promotion skills training with youth and adults	Teenage youth and adults from Operation Breakthrough and Boys and Girls Club N=160	-Improve mental health status -Reduced loneliness -Improved family relationships	Operation Breakthrough has piloted this project and plans to continue it after testing it in the proposed project	Youth Organizations
Baby Shower Program Kai Long Melissa Robinson, Black Health Care Coalition (BHCC)	Maternal and child health education program; health advocacy and services for new moms	New mom and babies N=500	-Improved knowledge on infant/toddler childcare -Health literacy	This has been an ongoing BHCC program and will continue to be expanded	All
COVID-19 Education for Health Providers Angie Myers, M.D. Andrea Bradley-Ewing, MPH, MA Children's Mercy KC	COVID-19 Vaccine education modules	Clinicians who care for children of color East of Troost (Pediatricians & Family Medicine) N=30	-Clinician knowledge about COVID-19 vaccines -Self-efficacy to answer questions from families and to address vaccine hesitancy	These modules will be available free of charge during and after the study and will be updated as new information emerges	All; Primarily hospital and Clinic healthcare providers
Community Mapping COVID-19 Impact using GIS Doug Bowles, Brent Never Taki Maniakos, Center for Economic Information (CEI)	Spatial Analysis	KCMO Eastside population N ≈ 50,000	Formative Outcomes Evaluation	IT platforms for data access, curation, storage, cataloging, mapping, and analysis all developed for KC Health CORE with leveraged funding from multiple past and ongoing projects.	All, inclusive of public health

MEASURING IMPACT

The RE-AIM model will be used to guide the evaluation. RE-AIM helps to understand Reach, Effectiveness, Adoption, Implementation, and Maintenance of large-scale projects designed to bring about behavior change.¹⁹ RE-AIM has been used extensively by NIH and CDC researchers to examine individual, organization, and community level impact on receipt of health services and health outcomes.

Measuring Impact Using the RE-AIM Model

RE-AIM Components	RE-AIM Measures
Reach	<ul style="list-style-type: none"> • Number and proportion on persons reached with project • Number of persons recruited to complete project surveys • Number of intervention components persons were exposed to within project • Extensiveness of social networks (communication) used by health liaisons
Effectiveness	<ul style="list-style-type: none"> • Number and trends over time of persons fully vaccinated and who received health services • Impact of intervention exposure on receipt of vaccination and health services • Comparisons of vaccinations and health services received on Eastside with other Jackson County geographical areas where the project wasn't implemented • Individual, social, and other factors related to receipt of vaccination and health services
Adoption	<ul style="list-style-type: none"> • Proportion of organizations approached that sign an agreement to implement the project • Strategies used that were most successful in organizations adopting the project • Number of community health liaisons trained to implement the project in each organization
Implementation	<ul style="list-style-type: none"> • Number of and type of tools delivered and how delivered • Facilitators, challenges, and successes in implementing the project • Number of vaccination and health service events completed in the community • Number of referrals and follow-ups completed • Number of University of Missouri-Kansas City and Truman Medical Center faculty, staff, and students providing health services at community events and in medical settings
Maintenance	<ul style="list-style-type: none"> • University of Missouri-Kansas City and Truman Medical Center plans for sustainability • Participating organizations plan for sustainability established • Ongoing Community Action Board meetings planned into the following years • CDC and NIH grant funding pursued with project partners; funding pursued in collaboration with other longtime partners (KCMO Health Department) and with Jackson County.

OHKOE project impact will be assessed overall and with each of the four sectors using:

- Implementation data on contacts made, persons reached, materials distributed, and other project related activities collected from community health liaisons using an online data tracking system;
- Implementation data on vaccinations, health screenings, and other services/programs delivered and received as collected from health service organizations using an online system;
- Survey data on vaccine and health service beliefs/behaviors collected with 2,000 consented participants aged ≥ 16 and older at 2 timepoints, with UMKC Institutional Review Board approval;
- Geographical information systems to capture density of receipt of vaccinations and health services across the Eastside and other Jackson County areas;
- Information on feasibility (facilitators, challenges, and successes) will be collected using focus groups and interviews with sector leaders and community health liaisons within each sector.

The OHKCE Logic Model to Guide the Work and Evaluation

INPUTS	ACTIVITIES	OUTPUTS	INITIAL OUTCOMES	INTERMEDIATE OUTCOMES	LONG-TERM OUTCOMES
<p><u>UMKC, CHRGE and HEI</u></p> <ul style="list-style-type: none"> • Extensive experience in conducting large-scale health screening and service delivery project studies in collaboration with Eastside community partners 	<p><u>UMKC and HEI</u></p> <ul style="list-style-type: none"> • Coordinate project across all partners • Lead the overall project evaluation <p><u>UMKC and TMC</u></p> <ul style="list-style-type: none"> • Prepare for vaccinations and health services in 2 stationary sites (existing TMC Hospital Hill site and new Southeast KC site) with established hours of operation • Plan "pop-up" vaccination and health service events in smaller sector venues 	<ul style="list-style-type: none"> • Study evaluation is taking place across sectors and with health partners • Vaccinations and health services delivered in stationary sites and across sectors • 2,000 participants are recruited to participate in citywide project surveys to understand factors that contribute to receipt of vaccinations and health services • Community health liaisons deliver COVID-19 information using toolkits • CHWs linking community members to health services and community resources • Community Action Boards review project progress and provide ongoing input • UMKC and UMKC researchers are collecting data with Eastside participants on COVID-19 related studies 	<ul style="list-style-type: none"> • UMKC and TMC implement COVID-19 vaccination health service delivery • Community health liaisons are expanding their sphere of communication and influence • Eastside community members are exposed to project materials/activities • Eastside community members are getting their first vaccination dose and using community-based health services • Community members are participating in health science prevention programs and research studies • CHRGE, UMKC, and CMKC are learning what they are learning with the community and with Jackson County officials 	<ul style="list-style-type: none"> • 5,000-7,000 persons fully vaccinated and using at least one health service • Engagement in follow-up with health services in medical settings has increased • Community members are highly satisfied with project • Community health liaisons and CHWs have reported implementation data • Community CAB members and partners provide ongoing feedback • Community partners plan for sustainability based in collaboration with UMKC and health agency partners • Factors understood that contribute to vaccination health service use • Studies with positive outcomes are planned for expansion and all findings are reported 	<ul style="list-style-type: none"> • Eastside on par with other KC communities with higher vaccination rates • Ongoing health services are available in KC's Eastside • The project design is refined for long-term maintenance • The project is established as a practice-based intervention with the opportunities to address other Eastside zip codes and inequities • Public health indicators are improved per data findings, including mapping data, in Eastside zip codes • A committed partnership with community leaders, health agencies, and health researchers maintaining the project and preparing other large-scale proposals to address other health inequities will grow.
<p><u>UMKC Health Professional Schools and TMC</u></p> <ul style="list-style-type: none"> • Extensive experience in delivering community-based vaccinations and health services 	<p><u>Black Health Care Coalition</u></p> <ul style="list-style-type: none"> • Extensive experience in providing health literacy and linkage to care services with community health workers from the community 	<p><u>Black Health Care Coalition</u></p> <ul style="list-style-type: none"> • Community health workers (CHWs) train on project study and data collection systems 	<p><u>Community Partners</u></p> <ul style="list-style-type: none"> • Train to communicate COVID-19 information and motivate vaccination and health service use with OHKCE Tool Kits 	<p><u>UMKC and CMKC Researchers</u></p> <ul style="list-style-type: none"> • Finalize IRB applications to be able to conduct studies <p><u>Community Action Boards</u></p> <ul style="list-style-type: none"> • Assist in refining project materials/activities and procedures 	

Our UMKC Community Health Research Team and the Health Equity Institute

The UMKC Community Health Research Group (CHRG) is located in the UMKC School of Medicine Biomedical and Health Informatics Department conducts health disparity research with underserved populations through collaborative partnerships. The UMKC Health Equity Institute (HEI) was founded as a Chancellor's Office special initiative in 2019. The HEI aims to expand collaboration, communication, and innovation with community partners and across campus to address inequities in KC's urban Eastside area.

Jannette Berkley-Patton, PhD is the project's principal investigator and the Director of the CHRG and HEI. She has an endowed professorship in the UMKC School of Medicine, Department of Biomedical Health Informatics. Her research team has led many large-scale health behavior change interventions in KC Eastside. Also of note, she was born and raised on KC's Eastside and graduated from Paseo High School. Other key personnel of the CHRG and HEI team includes Carole Bowe Thompson, CHRG and HEI Project Director. Together, she and Dr. Berkley-Patton have grown the work of the CHRG over the past 15 years. The team includes Jenifer Allsworth, PhD, a leading epidemiologist with extensive experience in examining large datasets. The team also includes Steve Simon as a biostatistician and expert on conducting statistical analysis using multiple software programs. The team includes research associates, doctoral students, and undergraduate students from multiple disciplines across campus who receive training in the CHRG. Additionally, CHRG and HEI studies have included thousands of participants, primarily underserved minorities from KC's Eastside, particularly African Americans – a population that has traditionally been difficult to engage in research.

With faith-community-health-academic partnerships, we have conducted many large-scale studies that have examined uptake of prevention, screening, and linkage to care with underserved populations (see a sample of our published works in References^{12-13,16-18,20-25}). These projects have focused on:

- COVID-19
- HIV and other sexually transmitted infections
- Hepatitis C virus
- Diabetes and cardiovascular disease
- Mental health
- Dementia

We have also conducted city-wide studies on resilience with youth exposed to community violence and a city-wide study on health impacts (physical activity, access to health services and livable wage jobs) of KCMO's one-of-a-kind free transit system with Eastside residents recruited from bus stops. We are currently conducting a religiously-tailored COVID-19 testing intervention study with 16 African American faith organizations on the Eastside (N=1,000 participants). We have collaborated with over 50 KCMO and KOKS churches, 10 churches in Alabama, and 6 churches in Jamaica, West Indies on our studies. Later this year, we will launch a culturally-tailored diabetes prevention program with prediabetic African American TMC patients (N=360) who mostly reside in KC's Eastside. Participants in these projects will all be encouraged to get their COVID-19 vaccination and use of health services.

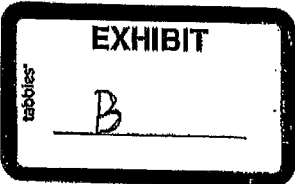
Our research team has conducted this work with extensive funding from the National Institutes of Health, Robert Wood Johnson Foundation, and Health Forward Foundation. We will continue to seek funding from these and other sources to maintain the project and build a lasting infrastructure to expand the work.

Dissemination of Project Findings. Lastly, our team along with our community, health, and academic partners will disseminate project findings through a KC citywide forum; within each Eastside community sector; at local, regional, and national conferences; and through peer-reviewed publications.

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27. Ash, M.J., Berkley-Patton, J., Christensen, K., Haardörfer, R., Livingston, M.D., Miller, T., Woods-Jaeger, B. (revise/resubmit). Predictors of Medical Mistrust among Urban Youth of Color during the COVID-19 Pandemic. *Translational Behavioral Medicine*.
28. Berkley-Patton, J., & Crawford, L. (2019). HIV testing in African American churches: a faith-health-academic partnership approach. Invited talk at the Strengthening the Impact of Community Health Workers on HIV Care and Viral Suppression in the U.S. Symposium sponsored by NIH/NINR, NIH Main Campus, Bethesda, MD.



Each of the UMKKC cost centers below are described in CHKCE proposal narrative tables which detail the health services, programming, and/or research studies that will be delivered.

Dr. Bertley-Fatten - Jackson County Budget CHKCE

Units	Salary	Fringe	Total Fringe	Contributions	Equipment	Supplies	Travel	Other	PL Care	Subcontract	Total
Administrative	22,000.00	21,684.00	43,684.00	1,000.00	1,000.00	2,500.00	4,000.00	4,000.00			59,668.00
CHKCE	51,586.00	10,894.00	62,480.00	20,000.00	10,000.00	10,000.00	60,000.00	60,000.00			182,480.00
PL Care	219,742.00	55,538.00	275,280.00								275,280.00
Subcontract	400,000.00	181,500.00	581,500.00	80,000.00	67,500.00	71,800.00	71,800.00	71,800.00			873,600.00
Other	57,310.00	21,481.00	78,791.00								78,791.00
PL Care	20,000.00	7,410.00	27,410.00	5,000.00							32,410.00
Subcontract	25,970.00	17,385.00	43,355.00								43,355.00
Other	44,830.00	28,910.00	73,740.00								73,740.00
Subcontract	64,300.00	28,910.00	93,210.00	20,000.00	40,000.00	40,000.00	40,000.00	40,000.00			173,210.00
Other	124,800.00	51,440.00	176,240.00	10,000.00	67,000.00	35,000.00	35,000.00	35,000.00			246,240.00
Subcontract	20,000.00	7,980.00	27,980.00								27,980.00
Other	17,520.00	2,880.00	20,400.00								20,400.00
Subcontract	65,240.00	8,580.00	73,820.00								73,820.00
Other	24,000.00	7,780.00	31,780.00								31,780.00
Subcontract	74,380.00	24,380.00	98,760.00								98,760.00
Other	57,800.00	30,620.00	88,420.00	5,000.00							93,420.00
Subcontract	30,970.00	14,870.00	45,840.00								45,840.00
Other	26,780.00	9,640.00	36,420.00								36,420.00
Subcontract	112,000.00	36,840.00	148,840.00								148,840.00
Other	1,900,000.00	355,760.00	2,255,760.00	20,000.00	74,500.00	68,185.00	25,280.00	1,900,000.00	315,000.00	629,000.00	4,992,640.00