



## OFFICE OF THE COUNTY EXECUTIVE

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JACKSON COUNTY COURTHOUSE

415 EAST 12TH STREET  
KANSAS CITY, MISSOURI 64106



RECEIVED

MAY 05 2015

MARY JO SPINO  
COUNTY CLERK

MS  
4:30

EXECUTIVE ORDER NO. 15-07

**TO: MEMBERS OF THE LEGISLATURE  
CLERK OF THE LEGISLATURE**

**FROM: MICHAEL D. SANDERS  
JACKSON COUNTY EXECUTIVE**

**DATE: MAY 5, 2015**

**RE: APPOINTMENT TO THE PENSION PLAN BOARD OF TRUSTEES**

Pursuant to section 1540., Jackson County Code I hereby make the following appointment to the Pension Plan Board of Trustees:

**B. Stephen Gillis** is appointed as an independent business executive member of the Pension Plan for a term to expire February 17, 2018. Mr. Gillis's appointment is occasioned by the resignation of Angelo Mancini. A copy of Mr. Gillis's resume is attached.

Michael D. Sanders, County Executive

Dated: 5-5-15



## **B. STEPHEN GILLIS**

638 W. 68<sup>TH</sup> TERRACE ▪ KANSAS CITY, MISSOURI 64113

RESIDENCE: 816-333-5183 ▪ WIRELESS: 816-898-1672

EMAIL: BSGLLS@AOL.COM

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### **STATEMENT OF QUALIFICATIONS**

Thirty years experience in planning, managing, and participating in trust examinations on a state-wide basis. Coordinate examinations, visitations, and investigation of consumer complaints with other state and federal agencies. Strong people skills, with an in-depth background in administration trust.

- ❖ **PERSONAL TRUST OFFICER:** Facilitate and foster relations, providing exemplary account administration and service to sophisticated high-net worth trust clients, their beneficiaries, and advisors. Administer fiduciary activities and compliance with laws, regulations, and established policies and procedures. Analyze and interpret legal instruments to determine responsibilities of the trust department.
  - ❖ **COMPLIANCE OFFICER:** Review State and Federal regulatory compliance-related operating policies and procedures. Assist regulatory agencies with the compliance oversight. Support the development of appropriate action plans.
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### **EMPLOYMENT HISTORY**

STATE OF MISSOURI, DIVISION OF FINANCE, KANSAS CITY, MO

1981 - PRESENT

#### **SENIOR TRUST EXAMINER**

Safeguard the soundness of state chartered banks with trust departments and independent trust companies in the State of Missouri. These institutions range in size and complexity from community banks with assets of \$2 Million to large institutions with multiple locations with assets in excess of \$90Billion. Supervise assigned staff and coordinate examination responsibilities with other federal regulatory institutions. Prepare written examination reports that are well-supported. Conduct meetings with senior management and board of directors to communicate examination findings and recommendations. Provide on-the-job training and performance feedback to less experienced examiners who assist on field assignments. Evaluate operational, legal, and reputational risks stemming from fiduciary activities as well as the corresponding risk management strategies to mitigate risks. Maintain current knowledge on the industry developments, changes in legislation, and changes in banking regulations.

- Led on-site review of charter applications and investigation for 11 free-standing trust companies with assets totaling \$7.5Billion.
- Coordinated one of the first multi-state examinations of a fiduciary institution with offices in five states.
- Planned and participated with the other state agencies, as well as the Federal Reserve Bank of Kansas City, Boston and New York in the examinations of the AG Edwards Trust Company, Mercantile Bank, Boatmen's Trust Company, State Street Bank and Trust, and the Bank of New York.
- Streamlined examination process by developing relationships with federal agencies for continuity and standardization. Developed coordinated examinations schedule with federal regulatory organizations which double doubled examination resources and provided commonality of regulatory oversight.
- Assisted Department of Labor and FBI to identify fraudulent practices.
- Developed spheres of influence with trust vendors, regulatory agencies, and practicing attorneys to establish a repository of knowledge and standardization throughout the industry.
- Recognized for expertise in Uniform Principal and Income Act, Uniform Prudent Investor Act, and Uniform Trust Code.

**TRUST EXAMINER** (1983-1989)

**ASSISTANT TRUST EXAMINER** (1981-1983)

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# B. STEPHEN GILLIS

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## EDUCATIONAL BACKGROUND

BACHELOR OF SCIENCE - FINANCE	UNIVERSITY OF MISSOURI, COLUMBIA, MO
BACHELOR OF SCIENCE - ECONOMICS	MISSOURI VALLEY COLLEGE, MARSHALL, MO
ASSOCIATE OF ARTS - BUSINESS	WENTWORTH MILITARY ACADEMY, LEXINGTON, MO

## CONTINUED PROFESSIONAL DEVELOPMENT:

MOKAN Trust Conference	1981 - Present
▪ Guest Speaker: Regulatory Issues	
FFIEC Asset Management Forum	1981 - Present
UMKC Employee Benefit Seminars	1990 - Present
National Graduate Trust School	1992 - 1995

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## OTHER SIGNIFICANT ENDEAVORS

GUEST SPEAKER	MOKAN Trust Conference	1993 - 1995
	▪ Regulatory Issues	
GUEST SPEAKER	Missouri Valley College, Marshall, MO	1995
	▪ Economics in the World Today	
GUEST SPEAKER	University of Missouri, Columbia, MO	1985
	▪ Security Analysis	

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## CIVIC/COMMUNITY INVOLVEMENT

Uplift (Volunteer)  
Knights of Columbus (Fourth Degree Member)  
Son's of the Revolution (Former Chapter President)  
Boy Scouts of America (Member Eagle Scout Board of Review Team)

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## PERSONAL DATA

Military [2 years]: United States Army. Air Defense