

**IN THE COUNTY LEGISLATURE OF JACKSON COUNTY, MISSOURI**

**AN ORDINANCE** appropriating \$2,000.00 from the undesignated fund balance of the 2016 Anti-Drug Sales Tax Fund and authorizing the County Executive to execute an agreement in acceptance of funding provided by the Organized Crime Drug Enforcement Task Force for use by the Jackson County Drug Task Force for "Operation Wet Laundry."

**ORDINANCE NO. 4870**, August 1, 2016

**INTRODUCED BY** Dan Tarwater III, County Legislator

WHEREAS, the Jackson County Drug Task Force (JCETF) and the U.S. Department of Justice's Drug Enforcement Administration have formed the Organized Crime Drug Enforcement Task Force (OCDEF) to conduct a joint federal drug investigation, OCDEF Case No. WC-KS-193, Operation Wet Laundry, for the period of October 1, 2015, through September 30, 2016; and,

WHEREAS, the overtime costs incurred by the JCETF for this investigation will be reimbursed by the OCDEF, in an amount not to exceed \$2,000.00; and,

WHEREAS, an appropriation is necessary to place the projected funds in the proper spending account; now therefore,

BE IT ORDAINED by the County Legislature of Jackson County, Missouri, that the following appropriation from the undesignated fund balance of the 2016 Anti-Drug Sales Tax Fund be and hereby is made:

<u>DEPARTMENT/DIVISION</u>	<u>CHARACTER/DESCRIPTION</u>	<u>FROM</u>	<u>TO</u>
Anti-Drug Sales Tax Fund Organized Crime Drug Enforcement			
008-4133	45792 - Increase Revenue	\$2,000	
008-2810	Undesignated Fund Balance		\$2,000
008-2810	Undesignated Fund Balance	\$2,000	
008-4133	55030 - Overtime		\$2,000

BE IT FURTHER ORDAINED that the County Executive be and hereby is authorized to execute any documents necessary to give effect to this Ordinance.

Effective Date: This ordinance shall be effective immediately upon its signature by the County Executive.

APPROVED AS TO FORM:

  
\_\_\_\_\_  
Chief Deputy County Counselor

  
\_\_\_\_\_  
County Counselor

I hereby certify that the attached Ordinance, Ordinance No. 4870 introduced on August 1, 2016, was duly passed on \_\_\_\_\_, 2016 by the Jackson County Legislature. The votes thereon were as follows:

Yeas \_\_\_\_\_

Nays \_\_\_\_\_

Abstaining \_\_\_\_\_

Absent \_\_\_\_\_

This Ordinance is hereby transmitted to the County Executive for his signature.

\_\_\_\_\_  
Date

\_\_\_\_\_  
Mary Jo Spino, Clerk of Legislature

I hereby approve the attached Ordinance No. 4870.

\_\_\_\_\_  
Date

\_\_\_\_\_  
Frank White, Jr., County Executive

Funds sufficient for this appropriation are available from the source indicated below.

ACCOUNT NUMBER: 008 2810  
ACCOUNT TITLE: Anti-Drug Sales Tax Fund  
Undesignated Fund Balance  
NOT TO EXCEED: \$2,000.00

  
\_\_\_\_\_  
Date

  
\_\_\_\_\_  
Chief Financial Officer

# REQUEST FOR LEGISLATIVE ACTION

Completed by County Counselor's Office:

~~Res~~/Ord No.: 4870

Sponsor(s): Dan Tarwater III

Date: August 1, 2016

<p>SUBJECT</p>	<p>Action Requested  <input type="checkbox"/> Resolution  <input checked="" type="checkbox"/> Ordinance</p> <p>Project/Title: <u>Organized Crime Drug Enforcement Task Force</u></p>										
<p>BUDGET INFORMATION  <i>To be completed By Requesting Department and Finance</i></p>	<table border="1" data-bbox="316 525 1193 934"> <tr> <td>Amount authorized by this legislation this fiscal year:</td> <td>\$2,000</td> </tr> <tr> <td>Amount previously authorized this fiscal year:</td> <td>\$</td> </tr> <tr> <td>Total amount authorized after this legislative action:</td> <td>\$2,000</td> </tr> <tr> <td>Amount budgeted for this item * (including transfers):</td> <td>\$</td> </tr> <tr> <td>Source of funding (name of fund) and account code number; FROM 008-2810 – Anti-Drug Sales Tax Fund – Undesignated Fund Balance TO 008-4133-55030 – Anti-Drug Sales Tax Fund – OCDETF - Overtime</td> <td>FROM ACCT \$2,000  TO ACCT \$2,000</td> </tr> </table> <p>* If account includes additional funds for other expenses, total budgeted in the account is: \$</p> <p>OTHER FINANCIAL INFORMATION:</p> <p><input type="checkbox"/> No budget impact (no fiscal note required)  <input type="checkbox"/> Term and Supply Contract (funds approved in the annual budget); estimated value and use of contract:  Department: Estimated Use: \$</p> <p>Prior Year Budget (if applicable):  Prior Year Actual Amount Spent (if applicable):</p>	Amount authorized by this legislation this fiscal year:	\$2,000	Amount previously authorized this fiscal year:	\$	Total amount authorized after this legislative action:	\$2,000	Amount budgeted for this item * (including transfers):	\$	Source of funding (name of fund) and account code number; FROM 008-2810 – Anti-Drug Sales Tax Fund – Undesignated Fund Balance TO 008-4133-55030 – Anti-Drug Sales Tax Fund – OCDETF - Overtime	FROM ACCT \$2,000  TO ACCT \$2,000
Amount authorized by this legislation this fiscal year:	\$2,000										
Amount previously authorized this fiscal year:	\$										
Total amount authorized after this legislative action:	\$2,000										
Amount budgeted for this item * (including transfers):	\$										
Source of funding (name of fund) and account code number; FROM 008-2810 – Anti-Drug Sales Tax Fund – Undesignated Fund Balance TO 008-4133-55030 – Anti-Drug Sales Tax Fund – OCDETF - Overtime	FROM ACCT \$2,000  TO ACCT \$2,000										
<p>PRIOR LEGISLATION</p>	<p>Prior ordinances and (date): N/A</p> <p>Prior resolutions and (date): N/A</p>										
<p>CONTACT INFORMATION</p>	<p>RLA drafted by (name, title, &amp; phone): Dan Cummings OIC 816.503.4725</p>										
<p>REQUEST SUMMARY</p>	<p>The Jackson County Drug Task Force (JCDF) and the Federal Bureau of Investigation, are conducting a joint federal drug investigation. The agencies have formed an Organized Drug Enforcement Task Force (OCDETF), Operation Wet Laundry, for the period of October 1, 2015 through September 30, 2016. As a result, the JCDF will be reimbursed overtime costs by the OCDETF program associated with this investigation.</p> <p>Please appropriate \$2,000 from the undesignated fund balance of the Anti-Drug Sales Tax Fund into:  008-4133-55030</p>										
<p>CLEARANCE</p>	<p><input type="checkbox"/> Tax Clearance Completed (Purchasing &amp; Department)  <input type="checkbox"/> Business License Verified (Purchasing &amp; Department)  <input type="checkbox"/> Chapter 6 Compliance - Affirmative Action/Prevailing Wage (County Auditor's Office)</p>										

ATTACHMENTS	OCDETF Agreement	
REVIEW	Department Director:	Date: 7/14/16
	Finance (Budget Approval): <i>If applicable</i>	Date: 7/21/16
	Division Manager:	Date: 2/25/16
	County Counselor's Office:	Date:

Fiscal Information (to be verified by Budget Office in Finance Department)

- This expenditure was included in the annual budget.
- Funds for this were encumbered from the \_\_\_\_\_ Fund in \_\_\_\_\_.
- There is a balance otherwise unencumbered to the credit of the appropriation to which the expenditure is chargeable and there is a cash balance otherwise unencumbered in the treasury to the credit of the fund from which payment is to be made each sufficient to provide for the obligation herein authorized.
- Funds sufficient for this expenditure will be/were appropriated by Ordinance # \_\_\_\_\_
- Funds sufficient for this appropriation are available from the source indicated below.

Account Number:	Account Title:	Amount Not to Exceed:
008-2810	Anti-Drug Sales Tax Fund – Undesignated Fund Balance	\$2,000

- This award is made on a need basis and does not obligate Jackson County to pay any specific amount. The availability of funds for specific purchases will, of necessity, be determined as each using agency places its order.
- This legislative action does not impact the County financially and does not require Finance/Budget approval.

# Supplemental Appropriation Request

## Jackson County, Missouri

Funds sufficient for this appropriation are available from the source indicated below.

Date: July 21, 2016

ORD # 4870

<u>Department / Division</u>	<u>Character/Description</u>	<u>From</u>	<u>To</u>
<b>Anti-Drug Sales Tax Fund - 008</b>			
4133 - OCDETF	45792 - Increase Revenues	2,000	
2810	Undesignated Fund Balance		2,000
2810	Undesignated Fund Balance	2,000	
4133 - OCDETF	55030 - Overtime		2,000

*Saul M. D.* 7/21/16  
Budgeting

**ORGANIZED CRIME DRUG ENFORCEMENT TASK FORCES  
FY 2016 Agreement  
FOR THE USE OF THE STATE AND LOCAL  
OVERTIME AND AUTHORIZED EXPENSE/STRATEGIC INITIATIVE PROGRAM**

Federal Tax Identification #: 44-6000524

DC#: R-32-

Amount Requested:  
\$ 2000.00

Number of Officers Listed: 10

OCDETF Investigation / Strategic Initiative  
Number: WC KS 193

Operation  
Name: Wet Laundry

From: October 1, 2015  
Beginning Date of Agreement

To: September 30, 2016  
Ending Date of Agreement

Federal Agency Investigations:  
Number: 245C-KC-6366164

State or Local Agency  
Narcotics Supervisor: Dan Cummings

Telephone Number: (816) 935-4367

E-mail Address: dcummings@jcdtf.com

Fax # (if applicable): (816) 224-3360

State or Local Agency Name and Address:  
Jackson County Drug Task Force  
PO Box 392  
Blue Springs, MO 64015

Sponsoring Federal Agency(ies):  
Federal Bureau of Investigation

Sponsoring Federal Agency  
Group/Squad Supervisor: A/SSA Tim Swanson

Telephone Number: (816) 512-8685

E-mail Address: timothy.swanson@ic.fbi

**Please provide the name, telephone number, e-mail address, and fax number for the administrative or financial staff person at the State or Local Agency, who is directly responsible for the billing on the Reimbursement Request:**

Name: Sarah Matthes

Telephone Number: (816) 503-4713

E-mail Address: smatthes@jcdtf.com

Fax # (if applicable): (816) 224-3360

This Agreement is between the above named State or Local Law Enforcement Agency and the Organized Crime Drug Enforcement Task Forces (OCDETF) Program. This Agreement shall be effective when signed by an authorized State or Local Agency official, the sponsoring Federal Agency Special Agent-In-Charge, the sponsoring Agency Regional OCDETF Coordinator, the Assistant United States Attorney Regional OCDETF Director, and the OCDETF Executive Office.

1. It is agreed that the State or Local Law Enforcement officers named on this Agreement will assist in OCDETF Investigations, Strategic Initiatives and prosecutions as set forth in the Organized Crime Drug Enforcement Task Forces State and Local Overtime and Authorized Expense/Strategic Initiative Programs, Policies and Procedures Manual, Fiscal Year 2016.
2. No individual Agreement with a State or Local department may exceed \$25,000, and the cumulative amount of OCDETF State and Local overtime monies that may be expended on a single OCDETF Investigation or Strategic Initiative in a single fiscal year may not exceed \$50,000 without express prior approval from the OCDETF Executive Office. The OCDETF Executive Office will entertain requests to exceed these funding levels in particular cases. Please submit a written request including justification approved by the AUSA Regional Director to the OCDETF Budget Officer/Deputy Budget Officer when seeking to exceed the above stated funding levels.
3. Each Reimbursable Agreement will be allowed no more than six (6) modifications per year. In addition, if the funds for a particular Agreement are completely deobligated with the intention of closing that Agreement, it will not count as a modification for purposes of this policy. These amendments must be transmitted by a memorandum approved and signed by the AUSA Regional OCDETF Director or designee for the region and sent to the OCDETF Executive Office.
4. If an Agreement does not have any activity during the last ninety (90) days, the funds shall automatically be deobligated. The OCDETF Executive Office will assist with the monitoring of the aging Agreements. Further, if a State or Local Agency indicates that it is no longer performing work under a particular Agreement, the State and Local Overtime and Authorized Expense/Strategic Initiative Programs, Policies and Procedures Manual requires that a modification memorandum identifying the amount to be deobligated be submitted to the OCDETF Executive Office as soon as possible after determining that no work is being performed.
5. The State and Local Law Enforcement Agency must provide billing estimates or activity on a quarterly basis.



6. The State or Local Law Enforcement Agency agrees to provide experienced drug Law Enforcement officers who are identified in this Agreement to work on the specified OCDETF Investigation or Strategic Initiative. Any change in Law Enforcement officers assigned must be agreed to by all approving officials.
7. Officers who are not deputized shall possess no Law Enforcement authority other than that conferred by virtue of their position as a commissioned officer of their parent Agency.
8. Officers who are deputized may possess Federal Law Enforcement authority as specified by the Agency affording the deputation.
9. Any State or Local officers assigned to an OCDETF Investigation or Strategic Initiative in accordance with this Agreement are not considered Federal employees and do not take on the benefits of Federal employment by virtue of their participation in the Investigation or Strategic Initiative.
10. OCDETF and the sponsoring Federal Law Enforcement Agency(ies) for the approved OCDETF Investigation or Strategic Initiative will provide to the assigned State/Local officers the clerical, operational and administrative support that is mutually agreed to by the parties in this Agreement.
11. Officers assigned to OCDETF Investigations or Strategic Initiatives should work full-time on the Investigation(s) or Strategic Initiative(s) in order to be paid overtime. In order to satisfy the "full-time" expectation, a Law Enforcement officer should work forty (40) hours per week or eight (8) hours per day on a single or multiple OCDETF Investigation(s) or Strategic Initiative(s). Any established exceptions or waivers to this definition shall be requested by the Regional Coordination Group and attached as Addendum A to the Agreement. [The parent State or Local Agency must pay the base salary of its officers. In the event officers must work overtime on an OCDETF Investigation or Strategic Initiative, the OCDETF Program will reimburse the parent State or Local Law Enforcement Agency for a limited amount of those overtime costs.] The Agency is responsible for paying its Law Enforcement officer(s) for their overtime, travel and per diem expenses. To ensure proper and complete utilization of OCDETF overtime and expense allocations, reimbursement claims must be submitted monthly on the OCDETF Reimbursement Request Form. The OCDETF Executive Office may refuse payment on any reimbursement request that is not submitted to the OCDETF Regional Coordination Group within thirty (30) days of the close of the month in which the overtime was worked.
12. It is the responsibility of the State & Local Agency to retain and have available for inspection sufficient supporting documentation for all regular hours and overtime hours worked towards a specific OCDETF case. Officers' timesheets must reflect work towards a specific OCDETF case and must be reviewed and signed by an authorized State & Local official.

13. Analysis of reimbursement claims by the Regional Coordination Group may result in a modification of the obligation of funds contained within this Agreement as well as the time period covered. The Agency affected by any such modification will receive a memo notifying them of the changes.
14. Overtime payments, including all other non-OCDETF Federal sources (such as Safe Streets, HIDTA, IRS, ICE, FEMA, etc.) may not, on an annual per person basis, exceed 25% of the current approved Federal salary rate in effect at the time the overtime is performed. The State or Local Agency is responsible for ensuring that this annual payment is not exceeded. The Executive Assistant/OCDETF Program Specialist will monitor these payments via MIS and communicate to the Federal Agency Regional OCDETF Coordinators who provide status updates to any officer approaching the threshold.
15. The overtime log must be attached to the reimbursement request when submitting the monthly invoices. The Sponsoring Federal Agency Supervisory Special Agent and the State or Local official authorized to approve the Reimbursement Request must certify that only authorized expenses are claimed, the regular hours requirement is satisfied, and that overtime has not exceeded 25% of the current Federal salary rate in effect at the time the overtime was worked.
16. Under no circumstances will the State or Local Agency charge any indirect costs for the administration or implementation of this Agreement.
17. The State or Local Agency shall maintain complete and accurate records and accounts of all obligations and expenditures of funds under this Agreement for a period of six (6) years and in accordance with generally accepted accounting principles to facilitate inspection and auditing of such records and accounts.
18. The State or Local Agency shall permit examination and auditing by representatives of the OCDETF Program, the sponsoring Federal Agency(ies), the U.S. Department of Justice, the Comptroller General of the United States, and/or any of their duly-authorized agents and representatives, of any and all records, documents, accounts, invoices, receipts, or expenditures relating to this Agreement. Failure to provide proper documentation will limit State or Local Law Enforcement Agencies from receiving OCDETF funding in the future.
19. The State or Local Agency will comply with Title VI of the Civil Rights Act of 1964 and all requirements applicable to OCDETF Agreements pursuant to the regulations of the Department of Justice (*see, e.g.*, 28 C.F.R. Part 42, Subparts C and G; 28 C.F.R. 50.3 (1991)) relating to discrimination on the grounds of race, color, sex, age, national origin or handicap.

20. This Agreement may be terminated by any of the parties by written notice to the other parties ten (10) business days prior to termination. Billing for outstanding obligations shall be received by OCDETF within thirty (30) days of the notice of termination.
21. The Debt Collection Improvement Act of 1996 requires that most payments made by the Federal government, including vendor payments, must be made by electronic funds transfer (EFT). In accordance with the act, all OCDETF reimbursement payments will be issued via EFT. All participating State and Local Agencies must complete and submit the attached EFT form. The OCDETF Executive Office must receive one EFT form from each participating Agency or police department prior to processing their reimbursement payments. In certain circumstances the OCDETF Executive Office may make exceptions for Agencies that are unable to accept this form of payment, however, such Agencies must include written justification in the addendum of each new Agreement.
22. All changes made to the original Agreement must be approved by the OCDETF Executive Office and initialed by the Executive Assistant/OCDETF Program Specialist of the Regional Coordination Group making the revision. The AUSA Regional OCDETF Director or designee must initial all funding changes.
23. The Regional Coordination Group is responsible for identifying and implementing any additional policy requirements, as needed, for its specific region. Those regional policies will be documented in the Addendum B and attached to the approved Agreement. The Agencies are agreeing to adhere to these additional requirements and must have written approval by the Regional Coordination Group for any exceptions to the regional policies.

This Agreement is not a contract or obligation to commit Federal funds in the maximum amounts projected. Funding allocations for the time period set forth and agreed to herein represent projections only and are based upon consultation between the sponsoring Federal Agency and the State or Local Law Enforcement Agency. They are, therefore, subject to modification by OCDETF based upon the progress and needs of the OCDETF Investigation or Strategic Initiative. Additionally, resources are contingent upon the availability of funds per the approval and signature of the OCDETF Executive Office obligating authority. The OCDETF Executive Office will approve and certify that all the terms and conditions of the Agreement have been met.

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Each Agreement must be approved and signed by a State or Local Law Enforcement Agency official who has supervisory authority over, and is authorized to assign, the participating Law Enforcement officers to the OCDETF Investigation or Strategic Initiative.

Approved By: Deimings O.I.C. 10/21/15  
Authorized State or Local Official Title Date

Approved By: T. S. ... 10/23/15  
Sponsoring Federal Agency Special Agent in Charge or Designee Date

Approved By: W. L. ... 10/23/15  
Sponsoring Agency Regional OCDETF Coordinator Date

Approved By: for Jackie Catron 11-23-15  
Assistant United States Attorney Regional OCDETF Director Date

Funds are encumbered for the State/Local Agency overtime costs and authorized expense/Strategic Initiative Programs specified above. **Subject to availability of funds.**

Funds Certified: \_\_\_\_\_  
OCDETF Executive Office Date

Approving Official: \_\_\_\_\_  
OCDETF Executive Office Date

**ORGANIZED CRIME DRUG ENFORCEMENT TASK FORCES**

**STATE OR LOCAL LAW ENFORCEMENT OFFICERS  
ASSIGNED TO PARTICIPATE IN THE STATE AND  
LOCAL OVERTIME AND AUTHORIZED EXPENSE/STRATEGIC  
INITIATIVE PROGRAMS**

State or Local Agency: Jackson County Drug Task Force

OCDETF Investigation / Strategic Initiative Number: WC KS 193

The Law Enforcement officers listed below will assist with the above identified OCDETF Investigation or Strategic Initiative. Any modification of the list of Law Enforcement officers must be agreed to in writing by all of the parties to this Agreement, made a part of the Agreement, and forwarded to the OCDETF Executive Office.

	<u>NAME</u>	<u>TITLE/RANK</u>	<u>DOB</u>
1.	Robert Romey	Detective	10/12/74
2.	Joshua Gena	Detective	2/3/90
3.	Darren Rhoden	Detective	1/14/72
4.	Eric Burchfield	Detective	3/12/73
5.	David Gutierrez	Detective	5/7/80
6.	Michael Fowler	Detective	5/20/82
7.	Stephen King	Detective	6/21/72
8.	Gary Tucker	Detective Sgt.	9/6/58
9.	Henry Ellis	Detective Sgt.	8/18/59
10.	Logan Waterworth	Detective	3/15/84

## Addendum A

### Definition of "Full-Time Participation" Exemption

The "full-time" rule states:

Officers assigned to OCDETF investigations are expected to work full-time (e.g. eight hours per day, 40 hours per week) on the investigation and the parent State or local agency must pay the base salary of these officers.

There is an exception allowed for the "State or local officers unable to be assigned to an OCDETF investigation on a full-time basis" and an exception is granted by the OCDETF coordination group.

To be in compliance with these directives the State and local agencies must make a significant contribution of base salary (regular time) to the OCDETF investigation. The lead State and local officers should work 40 hours per week regular time.

However, given the size of narcotics units in most of the departments in this Region and the increasing demands being placed on these units, the West Central Regional Coordination Group has determined that some flexibility in application of the full-time rule is required. To that end the following policy best meets the demand of significant contribution by the State or local agency and the flexibility required to meet all the law enforcement demands:

All overtime reimbursement requests must indicate that the requesting department worked four times as much regular hours (base salary time) as overtime hours requested. In other works, there must be a 4:1 ratio between regular hours worked and overtime hours requested. Officers who are working 40 hours a week regular time on the OCDETF investigation may be excluded from the ratio calculation.

### **ORGANIZED CRIME DRUG ENFORCEMENT TASK FORCES West Central Region Policy on Overtime Reimbursement**

All overtime reimbursement requests must indicate that the requesting department worked four times as much regular hours (base salary time) as overtime hours requested. In other words, there must be a 4:1 ration between regular hours worked and overtime hours requested. Officers who are working 40 hours a week regular time on the OCDETF investigation may be excluded from the ratio calculation.

**ACH VENDOR/MISCELLANEOUS PAYMENT  
ENROLLMENT FORM**

**PAYEE/COMPANY INFORMATION**

Name: Jackson County Drug Task Force	
Address: 415 E. 12th St.	
Kansas City, MO 64106	
Taxpayer ID Number: 44-6000524	
Contact Person Name: Emily Favareh	Telephone Number: (816) 881-3454

**FINANCIAL INSTITUTION INFORMATION**

Bank Name: Bank of America
Nine-Digit ABA Routing Transit Number: 081000032
Depositor Account Number: 0120 6190 4220
Type of Account: (checking/savings) Checking

Please return with the Reimbursable Agreement

The Debt Collection Improvement Act of 1996 requires that most payments made by the Federal government, including vendor payments, must be made by electronic funds transfer (EFT). A benefit of receiving payments by EFT is that your funds are directly deposited to your account at a financial institution and are available to you on the date of payment.

If you have any question regarding the delivery of remittance information, please contact the financial institution (bank) where your account is held.

If you have any question on the completion of this form, please contact the OCDETF State and Local EFT Coordinator at 202-514-1860

To inquire about a bill please contact: <https://www.ipp.gov/>

**IN THE COUNTY LEGISLATURE OF JACKSON COUNTY, MISSOURI**

**A RESOLUTION** transferring \$29,034.00 within the 2016 Anti-Drug Sales Tax Fund to cover the cost of software licenses to support the KARPEL case management system for use by the Prosecuting Attorney's Office.

**RESOLUTION NO. 19221**, August 1, 2016

**INTRODUCED BY** Alfred Jordan, County Legislator

WHEREAS, the Prosecuting Attorney's Office has a need to replace the Microsoft 2012 Windows licenses and SQL server to support its KARPEL case management system; and,

WHEREAS, a transfer is necessary to place the funds needed for this upgrade in the proper spending account; and,

WHEREAS, the County Executive recommends this transfer; now therefore,

BE IT RESOLVED by the County Legislature of Jackson County, Missouri, that the following transfer be made within the 2016 Anti-Drug Sales Tax Fund:

<u>DEPARTMENT/DIVISION</u>	<u>CHARACTER DESCRIPTION</u>	<u>FROM</u>	<u>TO</u>
Anti-Drug Sales Tax Fund Prosecuting Attorney - Criminal Prosecution			
008-4152	56080 – Other Professional Svcs	\$29,034	
008-4152	56661 – Software Purchase		\$29,034



Effective Date: This Resolution shall be effective immediately upon its passage by a majority of the Legislature.

APPROVED AS TO FORM:

  
\_\_\_\_\_  
Chief Deputy County Counselor

  
\_\_\_\_\_  
County Counselor

Certificate of Passage

I hereby certify that the attached resolution, Resolution No. 19221 of August 1, 2016, as duly passed on \_\_\_\_\_, 2016 by the Jackson County Legislature. The votes thereon were as follows:

Yeas \_\_\_\_\_

Nays \_\_\_\_\_

Abstaining \_\_\_\_\_

Absent \_\_\_\_\_

\_\_\_\_\_  
Date

\_\_\_\_\_  
Mary Jo Spino, Clerk of Legislature

Funds sufficient for this transfer are available from the source indicated below.

ACCOUNT NUMBER: 008 4152 56080  
ACCOUNT TITLE: Anti-Drug Sales Tax Fund  
Prosecuting Attorney - Criminal Prosecution  
Other Professional Services  
NOT TO EXCEED: \$29,034.00

  
\_\_\_\_\_  
Date

  
\_\_\_\_\_  
Chief Financial Officer

# REQUEST FOR LEGISLATIVE ACTION

Completed by County Counselor's Office:  
 Res/~~Ord~~ No.: 19221  
 Sponsor(s): Alfred Jordan  
 Date: August 1, 2016

SUBJECT	Action Requested <input checked="" type="checkbox"/> Resolution <input type="checkbox"/> Ordinance  Project/Title: <u>A resolution transferring \$29,033.67 within the Prosecutor's Office 2016 Anti-Drug Sales Tax Fund to replace Microsoft 2012 Windows licenses and a SQL server to support the KARPEL case management system.</u>																		
	<table border="1" data-bbox="316 525 1193 1113"> <tr> <td>Amount authorized by this legislation this fiscal year:</td> <td>\$29,033.67</td> </tr> <tr> <td>Amount previously authorized this fiscal year:</td> <td>\$</td> </tr> <tr> <td>Total amount authorized after this legislative action:</td> <td>\$29,033.67</td> </tr> <tr> <td>Amount budgeted for this item * (including transfers):</td> <td>\$</td> </tr> <tr> <td colspan="2">Source of funding (name of fund) and account code number;</td> </tr> <tr> <td><b>FROM</b> Anti-Drug Sales Tax Fund</td> <td><b>FROM ACCT</b></td> </tr> <tr> <td>008-4152-56080 Other Professional Services</td> <td>\$29,033.67</td> </tr> <tr> <td><b>TO</b> Anti-Drug Sales Tax</td> <td></td> </tr> <tr> <td>008-4152-56661 Software PC</td> <td>\$29,033.67</td> </tr> </table> <p>* If account includes additional funds for other expenses, total budgeted in the account is: \$</p> <p>OTHER FINANCIAL INFORMATION:</p> <p><input type="checkbox"/> No budget impact (no fiscal note required)  <input type="checkbox"/> Term and Supply Contract (funds approved in the annual budget); estimated value and use of contract:    Department: Estimated Use: \$</p> <p>Prior Year Budget (if applicable):    Prior Year Actual Amount Spent (if applicable):</p>	Amount authorized by this legislation this fiscal year:	\$29,033.67	Amount previously authorized this fiscal year:	\$	Total amount authorized after this legislative action:	\$29,033.67	Amount budgeted for this item * (including transfers):	\$	Source of funding (name of fund) and account code number;		<b>FROM</b> Anti-Drug Sales Tax Fund	<b>FROM ACCT</b>	008-4152-56080 Other Professional Services	\$29,033.67	<b>TO</b> Anti-Drug Sales Tax		008-4152-56661 Software PC	\$29,033.67
Amount authorized by this legislation this fiscal year:	\$29,033.67																		
Amount previously authorized this fiscal year:	\$																		
Total amount authorized after this legislative action:	\$29,033.67																		
Amount budgeted for this item * (including transfers):	\$																		
Source of funding (name of fund) and account code number;																			
<b>FROM</b> Anti-Drug Sales Tax Fund	<b>FROM ACCT</b>																		
008-4152-56080 Other Professional Services	\$29,033.67																		
<b>TO</b> Anti-Drug Sales Tax																			
008-4152-56661 Software PC	\$29,033.67																		
PRIOR LEGISLATION	Prior ordinances and (date):  Prior resolutions and (date):																		
CONTACT INFORMATION	RLA drafted by (name, title, & phone): Gina Robinson, Chief of Operations 881-3369																		
REQUEST SUMMARY	This resolution requests to transfer funds from the Jackson Prosecutor's Office to replace Microsoft 2012 Windows licenses and a SQL Server to support the KARPEL case management system. In December 2015, due to an urgent upgrade requirement, the IT department provided Microsoft 2012 licenses and a SQL server t for the KARPEL case management system. The licenses and server provided were originally designated to be used for the GIS software upgrade which is slated to take place in August 2016. Therefore, these funds will be used to replace the licenses and SQL server assigned to support KARPEL.																		
CLEARANCE																			

	<input type="checkbox"/> Tax Clearance Completed (Purchasing & Department) <input type="checkbox"/> Business License Verified (Purchasing & Department) <input type="checkbox"/> Chapter 6 Compliance - Affirmative Action/Prevailing Wage (County Auditor's Office)	
ATTACHMENTS	Award of Contract, Budgets	
REVIEW	Department Director: <i>Juan Peters Baker</i>	Date: <i>7/21/16</i>
	Finance (Budget Approval): <i>If applicable</i> <i>[Signature]</i>	Date: <i>7/21/16</i>
	Division Manager: <i>Mary Jane Brown</i>	Date: <i>7/27/16</i>
	County Counselor's Office:	Date: _____

Fiscal Information (to be verified by Budget Office in Finance Department)

- This expenditure was included in the annual budget.
- Funds for this were encumbered from the \_\_\_\_\_ Fund in \_\_\_\_\_.
- There is a balance otherwise unencumbered to the credit of the appropriation to which the expenditure is chargeable and there is a cash balance otherwise unencumbered in the treasury to the credit of the fund from which payment is to be made each sufficient to provide for the obligation herein authorized.
- Funds sufficient for this expenditure will be/were appropriated by Ordinance # \_\_\_\_\_
- Funds sufficient for this appropriation are available from the source indicated below.

Account Number:	Account Title:	Amount Not to Exceed:

- This award is made on a need basis and does not obligate Jackson County to pay any specific amount. The availability of funds for specific purchases will, of necessity, be determined as each using agency places its order.
- This legislative action does not impact the County financially and does not require Finance/Budget approval.





Sales Quotation	Submitted By	Submitted To
<b>Quote Number:</b> 4227699.1 <b>Customer:</b> Jackson County, MO <b>Quote Date:</b> 07/15/2016 <b>Quote Name:</b> Microsoft products <b>Contract:</b> SOM - PVC - ST C211034001 Exp. Date: 09/30/2016	Gonzalez, Andrew M P: (314) 919-1435 Andrew.Gonzalez@wwt.com	Michael Lear P: 816-881-3153 mlear@jacksongov.org

Line	Mfr Part # Mfr Name	Lead Time	Qty	Cust Price	Ext Price
1	P73-06309 MICROSOFT CORPORATION	Call	1	\$584.31	\$584.31
Description: WinSvrStd 2012R2 SNGL MVL 2Proc					
2	7JQ-00353 MICROSOFT CORPORATION	Call	2	\$14,224.68	\$28,449.36
Description: SQLSvrEntCore SNGL LicSAPk MVL 2Lic CoreLic					

Totals	
<b>Product Total</b>	\$29,033.67
<b>Maintenance Total</b>	\$0.00
<b>Customer Total</b>	\$29,033.67
<b>Estimated Shipping</b>	\$0.00
<b>Estimated Tax</b>	\$0.00
<b>Total Price:</b>	<b>\$29,033.67</b>

This quote is WWT Confidential Information.  
 Seller provides all products and services to Buyer only in accordance with any applicable third party terms and conditions within the applicable end user license agreement, terms of service, or similar legal instrument.  
 Unless expressly stated herein, price quotes are valid for 30 days and are subject to change thereafter.  
 Unless expressly stated herein, prices do not include, and Buyer is responsible for, any and all taxes, handling, shipping, transportation, duties or other charges or fees relating to the sale and delivery of products.  
 Products may only be returned in accordance with the original manufacturer's RMA policy.  
 Items returned after 30 days of receipt may not be returnable due to vendor restrictions.  
 All delivery dates are approximate and not guaranteed.  
 Products will be shipped in accordance with FCA WWT's shipping point (Incoterms 2010), unless otherwise stated herein or agreed to by both parties in writing in a contract.  
 Title and risk of loss will transfer to Buyer at WWT's shipping point.  
 Payment terms are net 30, unless otherwise agreed to by both parties in writing.  
 All products and services are provided to Buyer in accordance with Seller's terms of sale:  
<https://www.wwt.com/TermsAndConditions/TermsAndConditions.doc>  
 Within the 30 day quote validity period WWT reserves the right to revise the quote due to exchange rate fluctuations.

**IN THE COUNTY LEGISLATURE OF JACKSON COUNTY, MISSOURI**

**A RESOLUTION** transferring \$22,062.00 within the 2016 Federal Forfeiture Fund for use by the Jackson County Drug Task Force.

**RESOLUTION NO. 19222**, August 1, 2016

**INTRODUCED BY** Alfred Jordan, County Legislator

WHEREAS, by Ordinance 4826, dated February 22, 2016, the Legislature did authorize the appropriation of funds from the undesignated fund balance of the 2016 Federal Forfeiture Fund for the purchase of furniture for use by the Jackson County Drug Task Force; and,

WHEREAS, it was determined that, after the purchase of the needed furniture, sufficient funds remained in the budget line to be used for the purchase of an additional vehicle for use by the Task Force, using an existing term and supply contract; and,

WHEREAS, a transfer is necessary to place these funds in the appropriate spending account; and,

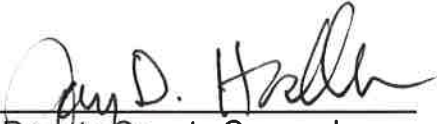
WHEREAS, the County Executive recommends this transfer; now therefore,

**BE IT RESOLVED** by the County Legislature of Jackson County, Missouri, that the following transfer be made within the 2016 Federal Forfeiture Fund:

<u>DEPARTMENT/DIVISION</u>	<u>CHARACTER DESCRIPTION</u>	<u>FROM</u>	<u>TO</u>
Federal Forfeiture Fund Jackson County Drug Task Force			
047-4151	58150 – Office Furniture	\$22,062	
047-4151	58120 – Autos		\$22,062

Effective Date: This Resolution shall be effective immediately upon its passage by a majority of the Legislature.

APPROVED AS TO FORM:

  
\_\_\_\_\_  
Chief Deputy County Counselor

  
\_\_\_\_\_  
County Counselor

Certificate of Passage

I hereby certify that the attached resolution, Resolution No. 19222 of August 1, 2016, as duly passed on \_\_\_\_\_, 2016 by the Jackson County Legislature. The votes thereon were as follows:

Yeas \_\_\_\_\_

Nays \_\_\_\_\_

Abstaining \_\_\_\_\_

Absent \_\_\_\_\_

\_\_\_\_\_  
Date

\_\_\_\_\_  
Mary Jo Spino, Clerk of Legislature

Funds sufficient for this transfer are available from the source indicated below.

ACCOUNT NUMBER: 047 4151 58150  
ACCOUNT TITLE: Federal Forfeiture Fund  
Jackson County Drug Task Force  
Office Furniture  
NOT TO EXCEED: \$22,062.00

  
\_\_\_\_\_  
Date

  
\_\_\_\_\_  
Chief Financial Officer



# REQUEST FOR LEGISLATIVE ACTION

Completed by County Counselor's Office:

Resolution No.: 19222

Sponsor(s): Alfred Jordan

Date: August 1 2016

SUBJECT	<p>Action Requested  <input checked="" type="checkbox"/> Resolution  <input type="checkbox"/> Ordinance</p> <p>Project/Title: <u>Resolution to transfer \$22,062.12 within the Federal Forfeiture Fund to purchase a vehicle.</u></p>														
<p>BUDGET INFORMATION  <i>To be completed By Requesting Department and Finance</i></p>	<table border="1" data-bbox="316 493 1201 997"> <tr> <td>Amount authorized by this legislation this fiscal year:</td> <td>\$22,062.12</td> </tr> <tr> <td>Amount previously authorized this fiscal year:</td> <td>\$</td> </tr> <tr> <td>Total amount authorized after this legislative action:</td> <td>\$22,062.12</td> </tr> <tr> <td>Amount budgeted for this item * (including transfers):</td> <td>\$22,062.12</td> </tr> <tr> <td colspan="2">Source of funding (name of fund) and account code number;</td> </tr> <tr> <td>FROM 047-4151-58150 – Federal Forfeiture Fund – JC Drug Task Force – Office Furniture</td> <td>FROM ACCT \$22,062.12</td> </tr> <tr> <td>TO 047-4151-58120 – Federal Forfeiture Fund – JC Drug Task Force – Autos</td> <td>TO ACCT \$22,062.12</td> </tr> </table> <p>* If account includes additional funds for other expenses, total budgeted in the account is: \$</p> <p>OTHER FINANCIAL INFORMATION:</p> <p><input type="checkbox"/> No budget impact (no fiscal note required)  <input type="checkbox"/> Term and Supply Contract (funds approved in the annual budget); estimated value and use of contract:  Department: Estimated Use: \$</p> <p>Prior Year Budget (if applicable):  Prior Year Actual Amount Spent (if applicable):</p>	Amount authorized by this legislation this fiscal year:	\$22,062.12	Amount previously authorized this fiscal year:	\$	Total amount authorized after this legislative action:	\$22,062.12	Amount budgeted for this item * (including transfers):	\$22,062.12	Source of funding (name of fund) and account code number;		FROM 047-4151-58150 – Federal Forfeiture Fund – JC Drug Task Force – Office Furniture	FROM ACCT \$22,062.12	TO 047-4151-58120 – Federal Forfeiture Fund – JC Drug Task Force – Autos	TO ACCT \$22,062.12
Amount authorized by this legislation this fiscal year:	\$22,062.12														
Amount previously authorized this fiscal year:	\$														
Total amount authorized after this legislative action:	\$22,062.12														
Amount budgeted for this item * (including transfers):	\$22,062.12														
Source of funding (name of fund) and account code number;															
FROM 047-4151-58150 – Federal Forfeiture Fund – JC Drug Task Force – Office Furniture	FROM ACCT \$22,062.12														
TO 047-4151-58120 – Federal Forfeiture Fund – JC Drug Task Force – Autos	TO ACCT \$22,062.12														
PRIOR LEGISLATION	<p>Prior ordinances and (date): Ord 4826 - 2/22/2016</p> <p>Prior resolutions and (date):</p>														
CONTACT INFORMATION	<p>RLA drafted by (name, title, &amp; phone): Danny Cummings, OIC, 816.503.4725</p>														
REQUEST SUMMARY	<p>The Jackson County Drug Task Force previously transferred funds for the purchase of furniture and vehicles. After the purchase of furniture, a balance remained. JCDF would like to have this balance transferred for the purchase of a new vehicle.</p> <p>Please transfer \$22,062.12 within the Federal Forfeiture Fund to and from the following accounts:  047-4151-58150  047-4151-58120</p>														
CLEARANCE	<p><input type="checkbox"/> Tax Clearance Completed (Purchasing &amp; Department)  <input type="checkbox"/> Business License Verified (Purchasing &amp; Department)</p>														

	<input type="checkbox"/> Chapter 6 Compliance - Affirmative Action/Prevailing Wage (County Auditor's Office)	
ATTACHMENTS	Tactical Technologies Inc. Quote	
REVIEW	Department Director: <i>[Signature]</i>	Date: 7/20/16
	Finance (Budget Approval): <i>If applicable</i> <i>[Signature]</i>	Date: 7/22/16
	Division Manager: <i>[Signature]</i>	Date: 7/27/16
	County Counselor's Office:	Date:

Fiscal Information (to be verified by Budget Office in Finance Department)

- This expenditure was included in the annual budget.
- Funds for this were encumbered from the \_\_\_\_\_ Fund in \_\_\_\_\_.
- There is a balance otherwise unencumbered to the credit of the appropriation to which the expenditure is chargeable and there is a cash balance otherwise unencumbered in the treasury to the credit of the fund from which payment is to be made each sufficient to provide for the obligation herein authorized.
- Funds sufficient for this expenditure will be/were appropriated by Ordinance # 4826
- Funds sufficient for this appropriation are available from the source indicated below.

Account Number:	Account Title:	Amount Not to Exceed:

- This award is made on a need basis and does not obligate Jackson County to pay any specific amount. The availability of funds for specific purchases will, of necessity, be determined as each using agency places its order.
- This legislative action does not impact the County financially and does not require Finance/Budget approval.

## Fiscal Note: Jackson County, Missouri

Funds sufficient for this transfer are available from the sources indicated below.

Date: <u>June 22, 2016</u>	PC# <u>                </u>	RES # <u>19222</u>	
Department / Division	Character/Description	From	To
<b>Federal Forfeiture Fund - 047</b>			
4151 - JC Drug Task Force	58150 - Office Furniture	22,062	
4151 - JC Drug Task Force	58120 - Autos		22,062
		22,062	22,062

Budgeting 7/22/16

**IN THE COUNTY LEGISLATURE OF JACKSON COUNTY, MISSOURI**

**A RESOLUTION** authorizing the County Executive to execute an Agreement with the University of Missouri – Kansas City to provide technical assistance for the No Violence Alliance (NoVA) program for use by the Prosecuting Attorney's Office, at a total cost to the County not to exceed \$19,720.00.

**RESOLUTION NO. 19223**, August 1, 2016

**INTRODUCED BY** Dan Tarwater III, County Legislator

WHEREAS, the Prosecuting Attorney's Office is in need of technical assistance related to the No Violence Alliance Focused Deterrence/Group Violence Intervention Program, to assist with data collection, analysis, and interpretation of data; and,

WHEREAS, section 1030.7 of the Jackson County Code, 1984, eliminates the requirement for competitive bidding when items or services required are proposed to be obtained from a tax-exempt organization; and,

WHEREAS, the University of Missouri – Kansas City (UMKC) has the experience and qualifications needed to provide this service; and,

WHEREAS, an Agreement with UMKC for the furnishing of this technical assistance is in the best interest of the health, welfare, and safety of the citizens of Jackson County; now therefore,

BE IT RESOLVED by the County Legislature of Jackson County, Missouri, that the County Executive be and hereby is authorized to execute an Agreement with the UMKC, in a form to

be approved by the County Counselor; and,

BE IT FURTHER RESOLVED that the Director of Finance and Purchasing be and hereby is authorized to make all payments, including final payment on the Agreement.

Effective Date: This Resolution shall be effective immediately upon its passage by a majority of the Legislature.

APPROVED AS TO FORM:

  
Chief Deputy County Counselor

  
County Counselor

Certificate of Passage

I hereby certify that the attached resolution, Resolution No. 19223 of August 1, 2016, was duly passed on \_\_\_\_\_, 2016 by the Jackson County Legislature. The votes thereon were as follows:

Yeas \_\_\_\_\_

Nays \_\_\_\_\_

Abstaining \_\_\_\_\_

Absent \_\_\_\_\_

\_\_\_\_\_  
Date

\_\_\_\_\_  
Mary Jo Spino, Clerk of Legislature

There is a balance otherwise unencumbered to the credit of the appropriation to which the expenditure is chargeable and there is a cash balance otherwise unencumbered in the treasury to the credit of the fund from which payment is to be made each sufficient to provide for the obligation herein authorized.

ACCOUNT NUMBER: 008 4106 56790  
ACCOUNT TITLE: Anti-Drug Sales Tax Fund  
Byrne Criminal Justice Innovation Grant  
Other Contractual Services  
NOT TO EXCEED: \$10,000.00

ACCOUNT NUMBER: 008 4152 56790  
ACCOUNT TITLE: Anti-Drug Sales Tax Fund  
Criminal Prosecution  
Other Professional Services  
NOT TO EXCEED: \$9,720.00

  
Date

  
Chief Financial Officer

# REQUEST FOR LEGISLATIVE ACTION

Completed by County Counselor's Office:

Res/Ord No.: 19223

Sponsor(s): Dan Tarwater III

Date: August 1, 2016

SUBJECT	<p>Action Requested  <input checked="" type="checkbox"/> Resolution  <input type="checkbox"/> Ordinance</p> <p>Project/Title: <u>Approving a Research Agreement for the furnishing of Technical Assistance for the NoVA Staff of the Prosecuting Attorney's Office with the University of Missouri - Kansas City in an amount not to exceed \$19,720.00</u></p>																
<p>BUDGET INFORMATION  <i>To be completed By Requesting Department and Finance</i></p>	<table border="1"> <tr> <td>Amount authorized by this legislation this fiscal year:</td> <td>\$19,720.00</td> </tr> <tr> <td>Amount previously authorized this fiscal year:</td> <td></td> </tr> <tr> <td>Total amount authorized after this legislative action:</td> <td>\$19,720.00</td> </tr> <tr> <td>Amount budgeted for this item * (including transfers):</td> <td>\$19,720.00</td> </tr> <tr> <td>Source of funding (name of fund) and account code number:</td> <td></td> </tr> <tr> <td>010-4106-56790 Grant Fund, Byrne Criminal Justice Innovation Grant, Other Contractual Services</td> <td>\$10,000.00</td> </tr> <tr> <td>008-4152-56080 Anti-Drug Fund, Criminal Prosecution, Other Professional Services</td> <td>\$ 9,720.00</td> </tr> <tr> <td>Total</td> <td>\$19,720.00</td> </tr> </table> <p>* If account includes additional funds for other expenses, total budgeted in the account is: \$</p> <p>OTHER FINANCIAL INFORMATION:  <input type="checkbox"/> No budget impact (no fiscal note required)  <input type="checkbox"/> Term and Supply Contract (funds approved in the annual budget); estimated value and use of contract:          Department: Estimated Use: \$          Prior Year Budget (if applicable):          Prior Year Actual Amount Spent (if applicable):</p>	Amount authorized by this legislation this fiscal year:	\$19,720.00	Amount previously authorized this fiscal year:		Total amount authorized after this legislative action:	\$19,720.00	Amount budgeted for this item * (including transfers):	\$19,720.00	Source of funding (name of fund) and account code number:		010-4106-56790 Grant Fund, Byrne Criminal Justice Innovation Grant, Other Contractual Services	\$10,000.00	008-4152-56080 Anti-Drug Fund, Criminal Prosecution, Other Professional Services	\$ 9,720.00	Total	\$19,720.00
Amount authorized by this legislation this fiscal year:	\$19,720.00																
Amount previously authorized this fiscal year:																	
Total amount authorized after this legislative action:	\$19,720.00																
Amount budgeted for this item * (including transfers):	\$19,720.00																
Source of funding (name of fund) and account code number:																	
010-4106-56790 Grant Fund, Byrne Criminal Justice Innovation Grant, Other Contractual Services	\$10,000.00																
008-4152-56080 Anti-Drug Fund, Criminal Prosecution, Other Professional Services	\$ 9,720.00																
Total	\$19,720.00																
PRIOR LEGISLATION	<p>Prior ordinances and (date):          Prior resolutions and (date):</p>																
CONTACT INFORMATION	<p>RLA drafted by (name, title, &amp; phone): Barbara Casamento, Purchasing Supervisor, 881-3253</p>																
REQUEST SUMMARY	<p>The NoVA Staff of the Prosecuting Attorney's Office requires Technical Assistance on a Focused Deterrence/Group Violence Intervention Program which will include data collection, analysis and interpretation of data. The University of Missouri - Kansas City has the experience and qualifications to provide this assistance.</p> <p>Pursuant to Section 1030.7 of the Jackson County Code, which eliminates the requirement for competitive bidding when services required are to be obtained from a Tax Exempt Organization, the Director of Finance and Purchasing recommends the approval of this Research Agreement with the University of Missouri - Kansas City.</p>																
CLEARANCE	<p><input type="checkbox"/> Tax Clearance Completed (Purchasing &amp; Department) N/A  <input type="checkbox"/> Business License Verified (Purchasing &amp; Department) N/A  <input type="checkbox"/> Chapter 6 Compliance - Affirmative Action/Prevailing Wage (County Auditor's Office) N/A</p>																
ATTACHMENTS	<p>Memorandum from Prosecuting Attorney's Office and the University of Missouri - Kansas City's Research Agreement</p>																
REVIEW	<table border="1"> <tr> <td>Department Director:</td> <td><i>Juan Peters Baker</i></td> <td>Date:</td> <td>7/18/16</td> </tr> <tr> <td>Finance (Budget Approval): <i>If applicable</i></td> <td><i>[Signature]</i></td> <td>Date:</td> <td>7/19/16</td> </tr> <tr> <td>Division Manager:</td> <td><i>Mandy Jones Brown</i></td> <td>Date:</td> <td>7/27/16</td> </tr> <tr> <td>County Counselor's Office:</td> <td></td> <td>Date:</td> <td></td> </tr> </table>	Department Director:	<i>Juan Peters Baker</i>	Date:	7/18/16	Finance (Budget Approval): <i>If applicable</i>	<i>[Signature]</i>	Date:	7/19/16	Division Manager:	<i>Mandy Jones Brown</i>	Date:	7/27/16	County Counselor's Office:		Date:	
Department Director:	<i>Juan Peters Baker</i>	Date:	7/18/16														
Finance (Budget Approval): <i>If applicable</i>	<i>[Signature]</i>	Date:	7/19/16														
Division Manager:	<i>Mandy Jones Brown</i>	Date:	7/27/16														
County Counselor's Office:		Date:															

Fiscal Information (to be verified by Budget Office in Finance Department)

- This expenditure was included in the annual budget.
- Funds for this were encumbered from the \_\_\_\_\_ Fund in \_\_\_\_\_.
- There is a balance otherwise unencumbered to the credit of the appropriation to which the expenditure is chargeable and there is a cash balance otherwise unencumbered in the treasury to the credit of the fund from which payment is to be made each sufficient to provide for the obligation herein authorized.
- Funds sufficient for this expenditure will be/were appropriated by Ordinance # \_\_\_\_\_
- Funds sufficient for this appropriation are available from the source indicated below.

Account Number:	Account Title:	Amount Not to Exceed:

- This award is made on a need basis and does not obligate Jackson County to pay any specific amount. The availability of funds for specific purchases will, of necessity, be determined as each using agency places its order.
- This legislative action does not impact the County financially and does not require Finance/Budget approval.



**Fiscal Note:**

This expenditure was included in the Annual Budget.

PC# \_\_\_\_\_

Date: July 19, 2016

RES # 19223

<u>Department / Division</u>	<u>Character/Description</u>	<u>Not to Exceed</u>
<b>Grant Fund - 010</b>		
4106 - Byrne Criminal Justice Innovation Grant	56790 - Other Contractual Services	10,000
<b>Anti-Drug Sales Tax Fund - 008</b>		
4152 - Criminal Prosecution	56080 - Other Professional Services	9,720
		19,720

 Budgeting 

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**JACKSON COUNTY PROSECUTOR'S INTEROFFICE MEMORANDUM**

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**TO:** MS. BARBARA CASAMENTO  
**FROM:** GINA ROBINSON, CHIEF OF OPERATIONS  
**SUBJECT:** REQUEST FOR UMKC CONTRACT FOR KC NOVA INITIATIVE  
**DATE:** JULY 11, 2016  
**CC:** MICHAEL MANSUR, DIRECTOR OF COMMUNICATIONS

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Ms. Casamento:

Our office would like consideration of the following vendor as a sole source provider to provide assistance with the following project for the Jackson County Prosecutor's Office and its collaborative role in the Kansas City No Violence Alliance (KC NoVA). Below you will see a bio detailing the knowledge and expertise of this person in the area of focus deterrence, the basic strategy employed by the KC NoVA effort.

Kenneth J. Novak's (Ph.D.; Criminal Justice, University of Cincinnati, 1999) scholarship has revolved around issues related to policing in America, with particular focus on community policing, problem solving, officer decision making, citizens' attitudes toward the police and effectiveness of crime prevention strategies. His research involves facilitating close partnerships with local criminal justice agencies, and has had an ongoing partnership with the KCPD since 1999. He has expertise in research partnerships including serving as the PSN Research Partner for the Western District of Missouri (2004-2006), Smart Policing Initiative (2011-2014) and the Byrne Criminal Justice Innovation (2013-present). Novak serves on the KC NoVA Implementation Committee and regularly sits on the NoVA Governing Board for Chancellor Leo Morton.

Novak's engagement in the NoVA project, as detailed in the proposal earlier forwarded to your office, will run through Jan. 31, 2017. After careful consideration, our office has determined that it is in the best interest of our office and the County to engage in a contract discussions and execution based on their direct experience and qualifications.

Funding for this proposal is budgeted in the following accounts:

**010-4106-56790 \$10,000**

**008-4152-56080 \$9,720**

If you need any further information please let me know.

Sincerely,

/s/ Gina Robinson  
Chief of Operations  
Jackson County Prosecutor's Office

**University of Missouri-Kansas City  
Standard Research Agreement**

This research agreement is entered into on Aug. 1, 2016, between the Curators of the University of Missouri on behalf of the University of Missouri-Kansas City, a public educational institution of the State of Missouri, hereinafter referred to as "the University," and Jackson County, MO., a county government existing under the laws of the State of Missouri, hereinafter referred to as "the Sponsor."

Whereas the Research program contemplated by this agreement is of mutual interest and benefit to the University and to the Sponsor, and will further the University's instructional and research objectives in a manner consistent with its status as a non-profit, tax-exempt, educational institution.

Now therefore, the parties hereto agree as follows:

**1. Statement of Work**

The Sponsor desires to have the University undertake a research project entitled "KC NoVA Joint Operation" in accordance with the scope of work described in Exhibit A. The University agrees to use reasonable effort to perform the research project described in Exhibit A ("the Research"). The Sponsor acknowledges that the University makes no expressed or implied warranties for results of the research.

**2. Principal Investigator**

The research will be supervised by Dr. Ken Novak ("Principal Investigator"). If for any reason he/she is unable to continue to serve as principal investigator and a successor, acceptable to both the University and the Sponsor, is not available, this agreement shall be terminated as provided in Article 6.

**3. Period of Performance**

This Research will be conducted during the period Aug. 1, 2016 through Jan. 31, 2017 and may be extended by mutual agreement of the parties.

**4. Reimbursement of Costs**

The University shall be reimbursed a total of \$19,720 by the Sponsor for all costs incurred in connection with the Research. While it is estimated that this amount is sufficient to conduct the Research, the University may submit to the Sponsor a budget requesting additional funds. The Sponsor is not liable for any cost in excess of the amount specified herein without written authorization from the Sponsor.

**5. Payment Schedule**

This is a fixed-price agreement. Upon execution of this Agreement, the University will submit invoices for payment due within thirty (30) days from receipt of the invoice in accordance with the following schedule:

30% is due upon execution of the agreement,  
30% is due 90 days from the start date of the project, and

40% is due 30 days after the period of performance ends.

Checks shall be made payable to the University of Missouri-Kansas City (ID #436003859) and sent to:

University of Missouri-Kansas City  
Office of Research Services  
5100 Rockhill Road  
Kansas City, MO 64110-2499

For identification purposes, each payment shall include the invoice number and account number as referenced on the invoice.

#### **6. Termination**

Performance under this Agreement may be terminated by the Sponsor upon sixty (60) days written notice; performance may be terminated by the University if circumstances beyond its control preclude continuation of the Research. Upon termination, the University will be reimbursed for all costs and non-cancelable commitments incurred in the performance of the Research and not yet paid for, such reimbursement together with other payments not to exceed the total estimated project cost specified in Article 4.

In the event that either party hereto shall commit any breach of or default in any of the terms or conditions of this Agreement, and also shall fail to remedy such default or breach within thirty (30) days after receipt of written notice thereof from the other party hereto, the party giving notice may, at its option and in addition to any other remedies which it may have at law or in equity, terminate this Agreement by sending notice of termination in writing to the other party to such effect, and such termination shall be effective as of the date of the receipt of such notice.

#### **7. Intellectual Property Rights**

The University will notify the Sponsor promptly of all inventions conceived or reduced to practice under this Agreement. Rights to inventions resulting from the performance made solely by the University's employees and students under this Agreement will be solely owned by the University. Inventions made jointly by employees and students of both the University and the Sponsor will be owned jointly by the University and the Sponsor, who agree to jointly determine patent filing and licensing subject to existing patent law. Inventions made solely by the Sponsor's employees will be solely owned by the Sponsor. All persons who perform any part of the work under this Agreement and who may be reasonably expected to make inventions, including screening compounds synthesized, must be covered by this Agreement.

#### **8. Publication**

The University and its employees shall have the right, at their discretion, to release information or to publish any data, writings, or material resulting from the Research or to use such in any way for its educational and research purposes. The University shall furnish the Sponsor with a copy of any proposed publication in advance of the proposed publication date and grant the Sponsor thirty (30) days for review and comment. Such delay shall not, however, be imposed on the filing of any student thesis or dissertation.

**9. Consultation**

Selected personnel of the Sponsor, designated by the Sponsor to the University, shall have the right to confer with the Principal Investigator and his/her associates for such reasonable periods and at such times as are mutually convenient.

**10. Publicity**

The Sponsor shall not use the name of the University, nor any member of the University's staff in connection with any products, promotion, or advertising without the prior written approval of the University.

**11. Reports**

The University shall furnish to the Sponsor periodic letter reports during the term of this Agreement summarizing the research being conducted. A final report setting forth the accomplishments and significant research findings shall be prepared by the University and submitted to the Sponsor within ninety (90) days after the expiration of this Agreement.

**12. Proprietary Data**

Unless otherwise required by law, the University will exercise reasonable effort to maintain in confidence proprietary or trade-secret information disclosed or submitted to the University by the Sponsor that is designated in writing as confidential information at the time of disclosure ("Confidential Information"). Confidential Information does not include information which:

- is generally available in the public domain or becomes available to the public through no act of the University; or
- is independently known prior to receipt thereof or is discovered independently by an employee of the University who had no access to the information supplied by the Sponsor under this Agreement; or
- is made available to the University as a matter of lawful right by a third party.

The University retains the right to refuse to accept any such information which is not considered to be essential to the completion of the Research. The obligations of the University under this paragraph shall survive and continue for one (1) year after this Agreement ends.

**13. Human Subjects Protections**

In the event that the Scope of Work involves the use of humans as research subjects, the University will conduct such research in accordance with the written protocol approved by the appropriate Institutional Review Board, applicable law, and the University's ethical standards.

**14. Liability**

The Sponsor agrees to hold the University, its officers, employees, or agents, harmless from any loss, claim, damage, or liability of any kind involving an officer, employee, or agent of the Sponsor arising out of or in connection with this Agreement, except to the extent that such loss, claim, damage, or liability is founded upon or grows out of the acts or omissions of any of the officers, employees, or agents of the University while acting within the scope of their employment.

**15. Warranties**

**THE UNIVERSITY MAKES NO WARRANTIES, EXPRESSED OR IMPLIED, AS TO ANY MATTER WHATSOEVER, INCLUDING, WITHOUT LIMITATION, THE CONDITION OF THE RESEARCH OR ANY INVENTION(S) OR PRODUCT(S), WHETHER TANGIBLE OR INTANGIBLE, CONCEIVED, DISCOVERED, OR DEVELOPED UNDER THIS AGREEMENT; OR THE OWNERSHIP, MERCHANTABILITY, OR FITNESS FOR A PARTICULAR PURPOSE OF THE RESEARCH OR ANY SUCH INVENTION OR PRODUCT.** The University shall not be liable for any direct, indirect, consequential, special or other damages suffered by any licensee or any others resulting from the use of the Research or any such invention or product.

**16. Equipment**

Title to any equipment or supplies purchased or manufactured in the performance of the work funded under this Agreement shall vest in the University upon acquisition.

**17. Assignment**

Neither party shall assign this Agreement to another without the prior written consent of the other party; however, the Sponsor may assign this Agreement to a successor in ownership of all or substantially all its business assets, provided that such successor shall expressly assume in writing the obligation to perform in accordance with the terms and conditions of this Agreement. Any other purported assignment shall be void.

**18. Independent Inquiry**

Nothing in this Agreement shall be construed to limit the freedom of researchers who are participants in this Agreement, whether paid under this Agreement or not, from engaging in similar research inquiries made independently under other grants, contracts or agreements with parties other than the Sponsor.

**19. Independent Contractor**

In the performances of all services under this Agreement:

- Each party and its personnel shall be deemed to be and shall be an independent contractor and, as such, shall not be entitled to any benefits applicable to employees of the other party;
- Neither party is authorized or empowered to act as agent for the other for any purpose and shall not on behalf of the other enter into any contract, warranty, or representation as to any matter. Neither party shall be bound by the acts or conduct of the other.

20. Insurance

- The University warrants and represents that it has adequate liability coverage, such protection being applicable to officers, employees, and agents while acting within the scope of their employment by the University. The University has no liability insurance policy as such that can extend protection to any other person.
- Each party hereby assumes any and all risks of personal injury and property damage attributable to the negligent acts or omissions of that party and the officers, employees, and agents thereof.

21. Notices

Notices and communications hereunder shall be deemed made if given by registered or certified envelope, postage prepaid, and addressed to the party to receive such notice, invoice, or communication at the address given below, or such other addresses as may hereafter be designated by notice in writing.

If to Sponsor:

Technical Matters:

Name: \_\_\_\_\_  
 Title: \_\_\_\_\_  
 Address: \_\_\_\_\_  
 City/State/Zip: \_\_\_\_\_  
 Phone: \_\_\_\_\_  
 Fax: \_\_\_\_\_  
 Email: \_\_\_\_\_

Administrative Matters:

Name: \_\_\_\_\_  
 Title: \_\_\_\_\_  
 Address: \_\_\_\_\_  
 City/State/Zip: \_\_\_\_\_  
 Phone: \_\_\_\_\_  
 Fax: \_\_\_\_\_  
 Email: \_\_\_\_\_

If to the University:

Technical Matters:

Name: \_\_\_\_\_  
 Title: \_\_\_\_\_  
 Address: \_\_\_\_\_  
 City/State/Zip: \_\_\_\_\_  
 Phone: \_\_\_\_\_  
 Fax: \_\_\_\_\_  
 Email: \_\_\_\_\_

Administrative Matters:

Name: **Lawrence A. Dreyfus, PhD**  
 Title: **Vice Chancellor for Research and Economic Development**  
 Address: **5100 Rockhill Road**  
 City/State/Zip: **Kansas City, MO 64110-2499**  
 Phone: **816-235-6302**  
 Fax: **816-235-2847**  
 Email: **ORS@umkc.edu**

22. Governing Law

This Agreement shall be governed by the laws of the State of Missouri.

**23. Entire Agreement**

Unless otherwise specifically provided, this Agreement embodies the entire understanding between the University and the Sponsor for this project, and any prior or contemporaneous representations, either oral or written, are superseded. No amendments or changes to this Agreement, including without limitation, changes in the statement of work, total estimated cost, and period of performance, shall be effective unless made in writing and signed by authorized representatives of the parties.

IN WITNESS WHEREOF, the parties hereto have executed this Agreement in duplicate by proper persons duly authorized.

**Sponsor**

**Curators, University of Missouri-  
on behalf of UMKC**

\_\_\_\_\_  
Name:

Title:

Date:

\_\_\_\_\_  
Lawrence A. Dreyfus, PhD

Vice Chancellor for Research and  
Economic Development

Date:



**Exhibit A**  
**The Research**

## Scope of work

This scope of work is between University of Missouri – Kansas City (UMKC) and the Jackson County (JACO) Prosecutor’s Office.

The overarching goal of this work is for UMKC to provide technical assistance to NoVA staff or their designees on focused deterrence / group violence intervention approaches in an effort to ensure program implementation fidelity.

This includes:

- Working in direct and active partnership with NoVA intelligence squad on data collection, analysis and interpretation, with particular attention on identifying group members,
- Communicate directly and regularly with Jean Peters Baker or her designees regarding progress of the above,
- Continue to participate on the NoVA Governing Board and Implementation Committee, and participate in dialog with the NNSC,
- Conduct trainings or orientations, and provide technical support to NoVA staff (e.g., newly transferred personnel within KCPD to NoVA), and provide presentations on focused deterrence or NoVA to other groups at the Prosecutor’s direction.

This effort will begin at the execution of the contract, and will run through January 31, 2017, but may be extended with agreement of both UMKC and JACO.

UMKC’s effort will be coordinated by Dr. Kenneth Novak, with the assistance of a Graduate Research Assistant (GRA) within the Department of Criminal Justice and Criminology.

**IN THE COUNTY LEGISLATURE OF JACKSON COUNTY, MISSOURI**

**A RESOLUTION** transferring \$30,000.00 within the 2016 Anti-Drug Sales Tax Fund and authorizing the County Executive to execute addenda to the Cooperative Agreements with Arts Tech and Deana Bellamaganya, both of Kansas City, MO, for the Sentenced to the Arts Program, at an additional aggregate cost to the County in the amount of \$30,000.00.

**RESOLUTION NO. 19224**, August 1, 2016

**INTRODUCED BY** Dan Tarwater III, County Legislator

WHEREAS, by Ordinance 4781, dated September 28, 2015, the Legislature did authorize the execution of Cooperative Agreements with Arts Tech and Deana Bellamaganya, both of Kansas City, Missouri, for the Sentenced to the Arts Program for use by the Prosecuting Attorney's Office, at an aggregate cost to the County not to exceed \$236,692.00; and,

WHEREAS, the Sentenced to the Arts Program provides expressive therapy programs and services to adjudicated youth and is funded through a grant received from the Missouri Department of Public Safety, for the period of July 1, 2015, through September 30, 2016; and,

WHEREAS, the Prosecuting Attorney's Office recommends addenda to the Cooperative Agreements with Arts Tech and Deana Bellamaganya for the extension of this program through December 31, 2016; and,

WHEREAS, a transfer is necessary to place the funds needed for this project in the appropriate spending account; and,

WHEREAS, the County Executive recommends this transfer; now therefore,

BE IT RESOLVED by the County Legislature of Jackson County, Missouri, that the following transfer within the 2016 Anti-Drug Sales Tax Fund be and hereby is made:

<u>DEPARTMENT/DIVISION</u>	<u>CHARACTER/DESCRIPTION</u>	<u>FROM</u>	<u>TO</u>
Anti-Drug Sales Tax Fund Community Crime and Drug Prevention			
008-4156	56798 – Grant Match		\$30,000
Anti-Drug Sales Tax Fund Sentenced to the Arts			
008-4177	56080 – Other Professional Services		\$12,720
008-4177	56790 – Other Contractual Services		\$17,280

and,

BE IT FURTHER RESOLVED, by the County Legislature of Jackson County, Missouri, that the County Executive be and hereby is authorized to execute addenda to the Cooperative Agreements with Arts Tech and Deana Bellamaganya, in a form to be approved by the County Counselor, at an additional cost to the County in the aggregate amount of \$30,000.00; and,

BE IT FURTHER RESOLVED, that the Director of Finance and Purchasing be and hereby is authorized to make all payments including final payment on the agreements and addenda thereto.

Effective Date: This Resolution shall be effective immediately upon its passage by a majority of the Legislature.

APPROVED AS TO FORM:

  
\_\_\_\_\_  
Chief Deputy County Counselor

  
\_\_\_\_\_  
County Counselor

Certificate of Passage

I hereby certify that the attached resolution, Resolution No. 19224 of August 1, 2016, was duly passed on \_\_\_\_\_, 2016 by the Jackson County Legislature. The votes thereon were as follows:

Yeas \_\_\_\_\_

Nays \_\_\_\_\_

Abstaining \_\_\_\_\_

Absent \_\_\_\_\_

\_\_\_\_\_  
Date

\_\_\_\_\_  
Mary Jo Spino, Clerk of Legislature

Funds sufficient for this transfer are available from the source indicated below.

ACCOUNT NUMBER: 008 4156 56798  
ACCOUNT TITLE: Anti-Drug Sales Tax Fund  
Pros Attorney Community Crime/Drug Prevention  
Grant Match  
NOT TO EXCEED: \$30,000.00

There is a balance otherwise unencumbered to the credit of the appropriation to which the expenditure is chargeable and there is a cash balance otherwise unencumbered in the treasury to the credit of the fund from which payment is to be made each sufficient to provide for the obligation herein authorized.

ACCOUNT NUMBER: 008 4177 56080  
ACCOUNT TITLE: Anti-Drug Sales Tax Fund  
Sentenced to the Arts  
Other Professional Services  
NOT TO EXCEED: \$12,720.00

ACCOUNT NUMBER: 008 4177 56790  
ACCOUNT TITLE: Anti-Drug Sales Tax Fund  
Sentenced to the Arts  
Other Contractual Services  
NOT TO EXCEED: \$17,280.00

July 23, 2016  
Date

  
Chief Financial Officer

**REQUEST FOR LEGISLATIVE ACTION**

Completed by County Counselor's Office:

Res/~~Ord~~ No.: 19224

Sponsor(s): Dan Tarwater III

Date: August 1, 2016

SUBJECT	<p>Action Requested  <input checked="" type="checkbox"/> Resolution  <input type="checkbox"/> Ordinance</p> <p>Project/Title: <u>Resolution transferring funds for a three (3) month Extension of the Sentenced to the Arts program (Grant award 2013-JABG-LG-001) and authorizing the County Executive to enter in to Agreements with Arts Tech and Deana Bellamaganya</u></p>																				
	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">Amount authorized by this legislation this fiscal year:</td> <td style="text-align: right;">\$30,000.00</td> </tr> <tr> <td>Amount previously authorized this fiscal year:</td> <td style="text-align: right;">\$</td> </tr> <tr> <td>Total amount authorized after this legislative action:</td> <td style="text-align: right;">\$30,000.00</td> </tr> <tr> <td>Amount budgeted for this item * (including transfers):</td> <td style="text-align: right;">\$</td> </tr> <tr> <td colspan="2">Source of funding (name of fund) and account code number;</td> </tr> <tr> <td><b>FROM</b></td> <td style="text-align: right;"><b>FROM ACCT</b></td> </tr> <tr> <td>008 – Anti Drug Sales Tax Fund; 4156 – Pros Comm/Crime/Drug Prevention; 56798 – Grant Match</td> <td style="text-align: right;">\$30,000.00</td> </tr> <tr> <td><b>TO</b></td> <td style="text-align: right;"><b>TO ACCT</b></td> </tr> <tr> <td>008- Anti Dugs Sales Tax Fund; 4177 Sentenced to the Arts 56080- Other Professional Services</td> <td style="text-align: right;">\$ 12,720.00</td> </tr> <tr> <td>008- Anti Dugs Sales Tax Fund; 4177 Sentenced to the Arts 56790- Other Contractual Services</td> <td style="text-align: right;">\$ 17,280.00</td> </tr> </table> <p>* If account includes additional funds for other expenses, total budgeted in the account is: \$</p> <p>OTHER FINANCIAL INFORMATION:</p> <p><input type="checkbox"/> No budget impact (no fiscal note required)  <input type="checkbox"/> Term and Supply Contract (funds approved in the annual budget); estimated value and use of contract:          Department: _____ Estimated Use: \$ _____</p> <p>Prior Year Budget (if applicable): _____          Prior Year Actual Amount Spent (if applicable): _____</p>	Amount authorized by this legislation this fiscal year:	\$30,000.00	Amount previously authorized this fiscal year:	\$	Total amount authorized after this legislative action:	\$30,000.00	Amount budgeted for this item * (including transfers):	\$	Source of funding (name of fund) and account code number;		<b>FROM</b>	<b>FROM ACCT</b>	008 – Anti Drug Sales Tax Fund; 4156 – Pros Comm/Crime/Drug Prevention; 56798 – Grant Match	\$30,000.00	<b>TO</b>	<b>TO ACCT</b>	008- Anti Dugs Sales Tax Fund; 4177 Sentenced to the Arts 56080- Other Professional Services	\$ 12,720.00	008- Anti Dugs Sales Tax Fund; 4177 Sentenced to the Arts 56790- Other Contractual Services	\$ 17,280.00
Amount authorized by this legislation this fiscal year:	\$30,000.00																				
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Amount budgeted for this item * (including transfers):	\$																				
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008 – Anti Drug Sales Tax Fund; 4156 – Pros Comm/Crime/Drug Prevention; 56798 – Grant Match	\$30,000.00																				
<b>TO</b>	<b>TO ACCT</b>																				
008- Anti Dugs Sales Tax Fund; 4177 Sentenced to the Arts 56080- Other Professional Services	\$ 12,720.00																				
008- Anti Dugs Sales Tax Fund; 4177 Sentenced to the Arts 56790- Other Contractual Services	\$ 17,280.00																				
PRIOR LEGISLATION	<p>Prior ordinances and (date): 4781 (9/28/15)</p> <p>Prior resolutions and (date):</p>																				
CONTACT INFORMATION	<p>RLA drafted by (name, title, &amp; phone): Gina Robinson, Chief of Operations 881-3369</p>																				

REQUEST SUMMARY	<p>Request transferring funds for a 3 month extension of the Sentenced to the Arts Grant (Award 2013-JABG-LG-001) awarded to Jackson County by the Department of Public Safety. Funding supports continuation of the Sentenced to the Arts Program from October 1, 2016-December 31, 2016.</p> <p>Requesting first amendment to contract with Deana Bellamaganya, 536 Olive Street, Kansas City, Missouri 64124, in the amount of \$12,720 with monthly payments. Ms. Bellamaganya will manage and direct the program. The term of this agreement is October 1, 2016-December 31, 2016. The funding source for this portion is included and reflected in the allocation 56080.</p> <p>Requesting a first amendment to contract with Arts Tech in the amount of \$ 17,280. The contact person is Dave Sullivan, 1522 Holmes, Kansas City, Missouri 64106. Arts Tech is a non-for profit organization that will oversee and implement in cooperation with the Jackson County Prosecutor's Office, the Sentenced to the Arts Program. They will contract the entrepreneurs, community art organizations, and will be the intermediate between the Jackson County Prosecutor's Office and the artists. Term of the agreement is 10/1/2016-12/31/2016. Payment of \$17,280 will be due upon execution of the agreement. The funding for this portion is included and reflected in the allocation 56790.</p> <p>Please transfer \$30,000 from 008-4156-56798 and appropriate into the accounts listed below:</p> <p>008-4177-56080 \$12,720.00 008-4177-56790 \$17,280.00</p>	
CLEARANCE	<input type="checkbox"/> Tax Clearance Completed (Purchasing & Department) <input type="checkbox"/> Business License Verified (Purchasing & Department) <input type="checkbox"/> Chapter 6 Compliance - Affirmative Action/Prevailing Wage (County Auditor's Office)	
ATTACHMENTS	Award of Contract, Budgets	
REVIEW	Department Director: <i>Jean Peters Baker</i> Finance (Budget Approval): <i>[Signature]</i> <i>If applicable</i> Division Manager: <i>Mary Lou Brown</i> County Counselor's Office:	Date: <i>7/21/16</i> Date: <i>7/21/16</i> Date: <i>7/21/16</i> Date:

Fiscal Information (to be verified by Budget Office in Finance Department)

- This expenditure was included in the annual budget.
- Funds for this were encumbered from the \_\_\_\_\_ Fund in \_\_\_\_\_.
- There is a balance otherwise unencumbered to the credit of the appropriation to which the expenditure is chargeable and there is a cash balance otherwise unencumbered in the treasury to the credit of the fund from which payment is to be made each sufficient to provide for the obligation herein authorized.
- Funds sufficient for this expenditure will be/were appropriated by Ordinance # \_\_\_\_\_
- Funds sufficient for this appropriation are available from the source indicated below.

Account Number:	Account Title:	Amount Not to Exceed:

- This award is made on a need basis and does not obligate Jackson County to pay any specific amount. The availability of funds for specific purchases will, of necessity, be determined as each using agency places its order.



This legislative action does not impact the County financially and does not require Finance/Budget approval.



## STTAP Year XVIII Proposed 3-Month Extended Budget

REVENUE	Amount	# of Youth	Notes
COMBAT			
<b>Total</b>	<b>\$ 30,000.00</b>		
<b>EXPENSES</b>			
<b>Program Manager</b>	<b>\$ 12,720.00</b>		Deana Bellamaganya
<b>ArtsTech</b>	<b>\$ 3,000.00</b>		Year XVIII Extended Administrative, Coordination, and Development
Ceramics Artist/Mentor - Downtown	\$ 2,160.00	8	Machiko Erhard - 108 Hours @ \$20 = \$2,160
Photography Artist/Mentor - MyARTS East	\$ 2,160.00	8	Mathew Garcia - 108 Hours @ \$20 = \$2,160
Graphic Design Artist/Mentor - MyARTS East	\$ 3,000.00	8	150 Hours @ \$20 = \$2,160
Ceramics Art Artist/Mentor - MyARTS East	\$ 2,160.00	8	108 Hours @ \$20 = \$2,160
<b>JCFC Group Homes</b>			
ASSET/Sanctions Area	\$ 1,150.00	10	One (1) EQUIP Sessions - 10-week sessions - Thursdays; Lydia @ ArtsTech
<b>Areas II &amp; III</b>			
Storytellers - Visual Art	\$ 1,500.00	25	Mondays and Thursdays, 4:30PM - 7:00PM @ ArtsTech
Donaldson Area Youth	\$ 500.00	8	Ceramics @ ArtsTech - Tuesdays - Noon to 1:00PM - Day Reporting
ASSET/Sanctions - MyARTS East	\$ 1,150.00	10	One (1) EQUIP Sessions - 10-week sessions - Thursdays;

## STTAP Year XVIII Proposed 3-Month Extended Budget

Girls Group - ArtsTech	\$	500.00	9	Tuesdays @ ArtsTech - 6:30PM - 7:30PM
Equipment and Supplies	\$	-		
<b>Totals</b>	<b>\$</b>	<b>30,000.00</b>	<b>94</b>	Total number of youth served
Over/Under Funds	<b>\$</b>	<b>-</b>		

**IN THE COUNTY LEGISLATURE OF JACKSON COUNTY, MISSOURI**

**A RESOLUTION** authorizing the Director of Finance and Purchasing to issue a check in the amount of \$1,500.00 to Kansas City Public School District for sponsorship of its Summerfest Back to School event to be held August 6, 2016.

**RESOLUTION NO. 19225**, August 1, 2016

**INTRODUCED BY** Alfred Jordan, County Legislator

WHEREAS, the Kansas City Public School District is hosting Summerfest - Back to School Festival, Saturday August 6, 2016, to promote education and positive youth development for the upcoming 2016 - 2017 school year; and,

WHEREAS, this program will include a backpack and school uniform giveaway and other supplies to assist student in preparing for the upcoming school year, as well as fun activities for the whole family; and,

WHEREAS, the Prosecuting Attorney recommends sponsorship of this program to provide backpacks with its office logo as an opportunity to interact within the community and stress the importance of anti-violent crime initiatives; and,

WHEREAS, said sponsorship of the Summerfest event is in the best interest of the health, safety, and welfare of the citizens of Jackson County; now therefore,

**BE IT RESOLVED** by the County Legislature of Jackson County, Missouri, that the Director of Finance and Purchasing be and hereby is authorized issue a check to the

Kansas City Public School District in the amount of \$1,500.00 for sponsorship of its 2016 Summerfest - Back to School event.

Effective Date: This Resolution shall be effective immediately upon its passage by a majority of the Legislature.

APPROVED AS TO FORM:

  
\_\_\_\_\_  
Chief Deputy County Counselor

  
\_\_\_\_\_  
County Counselor

Certificate of Passage

I hereby certify that the attached resolution, Resolution No. 19225 of August 1, 2016, was duly passed on \_\_\_\_\_, 2016 by the Jackson County Legislature. The votes thereon were as follows:

Yeas \_\_\_\_\_

Nays \_\_\_\_\_

Abstaining \_\_\_\_\_

Absent \_\_\_\_\_

\_\_\_\_\_  
Date

\_\_\_\_\_  
Mary Jo Spino, Clerk of Legislature

There is a balance otherwise unencumbered to the credit of the appropriation to which the expenditure is chargeable and there is a cash balance otherwise unencumbered in the treasury to the credit of the fund from which payment is to be made each sufficient to provide for the obligation herein authorized.

ACCOUNT NUMBER: 001 4102 56210  
ACCOUNT TITLE: General Fund  
Prosecuting Attorney  
Advertising  
NOT TO EXCEED: \$1,500.00

  
Date

  
\_\_\_\_\_  
Chief Financial Officer

# REQUEST FOR LEGISLATIVE ACTION

Completed by County Counselor's Office:

Res/Ord No.: 19225

Sponsor(s): Alfred Jordan

Date: August 1, 2016

SUBJECT	<p>Action Requested  <input checked="" type="checkbox"/> Resolution  <input type="checkbox"/> Ordinance</p> <p>Project/Title: <u>A resolution transferring \$1,500 and authorizing the Director of Finance and Purchasing to make a payment for the Prosecutor's Office sponsorship to Kansas City Public Schools "Summerfest" Back to School Rally, for the purpose of promoting education and positive youth development.</u></p>														
	<table border="1" data-bbox="313 562 1195 1241"> <tr> <td>Amount authorized by this legislation this fiscal year:</td> <td>\$1,500.00</td> </tr> <tr> <td>Amount previously authorized this fiscal year:</td> <td>\$</td> </tr> <tr> <td>Total amount authorized after this legislative action:</td> <td>\$1,500.00</td> </tr> <tr> <td>Amount budgeted for this item * (including transfers):</td> <td>\$</td> </tr> <tr> <td>Source of funding (name of fund) and account code number;</td> <td></td> </tr> <tr> <td><b>FROM</b> General Fund 001-4101-56790 Other Contractual Services</td> <td><b>FROM ACCT</b> \$1,500.00</td> </tr> <tr> <td><b>TO</b> General Fund 001-4101-56210 Advertising</td> <td>\$1,500.00</td> </tr> </table> <p>* If account includes additional funds for other expenses, total budgeted in the account is: \$</p> <p>OTHER FINANCIAL INFORMATION:</p> <p><input type="checkbox"/> No budget impact (no fiscal note required)  <input type="checkbox"/> Term and Supply Contract (funds approved in the annual budget); estimated value and use of contract:  Department: Estimated Use: \$</p> <p>Prior Year Budget (if applicable):  Prior Year Actual Amount Spent (if applicable):</p>	Amount authorized by this legislation this fiscal year:	\$1,500.00	Amount previously authorized this fiscal year:	\$	Total amount authorized after this legislative action:	\$1,500.00	Amount budgeted for this item * (including transfers):	\$	Source of funding (name of fund) and account code number;		<b>FROM</b> General Fund 001-4101-56790 Other Contractual Services	<b>FROM ACCT</b> \$1,500.00	<b>TO</b> General Fund 001-4101-56210 Advertising	\$1,500.00
Amount authorized by this legislation this fiscal year:	\$1,500.00														
Amount previously authorized this fiscal year:	\$														
Total amount authorized after this legislative action:	\$1,500.00														
Amount budgeted for this item * (including transfers):	\$														
Source of funding (name of fund) and account code number;															
<b>FROM</b> General Fund 001-4101-56790 Other Contractual Services	<b>FROM ACCT</b> \$1,500.00														
<b>TO</b> General Fund 001-4101-56210 Advertising	\$1,500.00														
PRIOR LEGISLATION	<p>Prior ordinances and (date):</p> <p>Prior resolutions and (date):</p>														
CONTACT INFORMATION	<p>RLA drafted by (name, title, &amp; phone): Gina Robinson, Chief of Operations 881-3369</p>														
REQUEST SUMMARY	<p>A resolution transferring \$1,500 and authorizing the Director of Finance and Purchasing to make payment for a Prosecutor's Office sponsorship to Kansas City Public Schools (KCPS) for the purpose of promoting education and positive youth development for the upcoming FY16-17 school year. The sponsorship will assist in</p>														



	purchasing back to school backpacks including the Jackson County Prosecutor's Office logo. Additionally, this is an opportunity to interact with the community and stress the importance of anti-violence to this population of youth and parents.	
CLEARANCE	<input type="checkbox"/> Tax Clearance Completed (Purchasing & Department) <input type="checkbox"/> Business License Verified (Purchasing & Department) <input type="checkbox"/> Chapter 6 Compliance - Affirmative Action/Prevailing Wage (County Auditor's Office)	
ATTACHMENTS	Award of Contract, Budgets	
REVIEW	Department Director: <i>Jean Peters Baker</i>	Date: <i>7/19/16</i>
	Finance (Budget Approval): <i>If applicable</i> <i>[Signature]</i>	Date: <i>7/21/16</i>
	Division Manager: <i>Mary Lou Brown</i>	Date: <i>7/28/16</i>
	County Counselor's Office:	Date:

Fiscal Information (to be verified by Budget Office in Finance Department)

- This expenditure was included in the annual budget.
- Funds for this were encumbered from the \_\_\_\_\_ Fund in \_\_\_\_\_.
- There is a balance otherwise unencumbered to the credit of the appropriation to which the expenditure is chargeable and there is a cash balance otherwise unencumbered in the treasury to the credit of the fund from which payment is to be made each sufficient to provide for the obligation herein authorized.
- Funds sufficient for this expenditure will be/were appropriated by Ordinance # \_\_\_\_\_
- Funds sufficient for this appropriation are available from the source indicated below.

Account Number:	Account Title:	Amount Not to Exceed:

- This award is made on a need basis and does not obligate Jackson County to pay any specific amount. The availability of funds for specific purchases will, of necessity, be determined as each using agency places its order.
- This legislative action does not impact the County financially and does not require Finance/Budget approval.

**Fiscal Note:  
Jackson County, Missouri**

Funds sufficient for this transfer are available from the sources indicated below.

Date: June 21, 2016 PC# \_\_\_\_\_

RES # 19225

Department / Division	Character/Description	From	To
<b>General Fund - 001</b>			
4102 - Prosecuting Attorney	56790 - Other Contractual Services	1,500	
4102 - Prosecuting Attorney	56210 - Advertising		1,500
		1,500	1,500

*[Signature]* 7/21/16  
Budgeting

KANSAS CITY  
PUBLIC SCHOOLS



# SUMMERFEST

## Back to School Festival

Sponsored by



AETNA BETTER HEALTH® OF MISSOURI



Find this event on social media!

### SATURDAY, AUGUST 6<sup>th</sup>

10:00 A.M. - 2:00 P.M., 2901 Troost Ave, KCMO



## MEET OUR NEW SUPERINTENDENT Dr. Mark Bedell

Have Fun!

Free Food  
Live Music  
Choirs  
Dance Groups  
DJ

Punt, Pass, Kick  
Soccer  
Kickball  
Disc Golf  
Basketball

Kids' Art Area  
Minecraft  
Book Nook  
Fun run/walk  
Air Volleyball

**PRE-REGISTER to guarantee your \*KCPS student(s) receive a backpack!**

Prepare for School!

\*Backpack Giveaway  
\*Uniform Giveaway  
Enrollment  
Before/After School  
Title I

Pre-K  
Immunizations  
Transportation  
Language Services  
Dual Language



Pre-register at [www.kcpublicschools.org/Summerfest](http://www.kcpublicschools.org/Summerfest)

\*For KCPS Students only and while supplies last. For more event information visit [www.kcpublicschools.org/summerfest](http://www.kcpublicschools.org/summerfest).



**IN THE COUNTY LEGISLATURE OF JACKSON COUNTY, MISSOURI**

**A RESOLUTION** awarding a contract with two twelve-month options to extend on Request for Qualifications 75-15 for the furnishing of financial advisory services for use by the Finance and Purchasing Department to Ameritas Investment Corporation of Kansas City, Missouri, at a cost to the County in 2016 not to exceed \$25,000.00.

**RESOLUTION NO. 19226**, August 1, 2016

**INTRODUCED BY** Dennis Waits, County Legislator

WHEREAS, by Request for Qualifications (RFQ) No. 75-15, the Director of Finance and Purchasing did solicit the submission of qualifications from firms interested in providing financial advisory services to the Finance and Purchasing Department; and,

WHEREAS, the Director distributed eighteen notifications and received three responses to the RFQ, as follows:

<u>RESPONDER</u>	<u>POINTS</u>
Ameritas Kansas City, MO	100
Springsted Kansas City, MO	90
Columbia Capital Overland Park, KS	80

and,

WHEREAS, the proposals submitted were evaluated on the basis of responsiveness to the scope of services, qualifications, experience, approach, and references; and,

WHEREAS, following evaluation of the proposals, the Director of Finance and Purchasing has recommended the award of a contract with two twelve-month options to extend for these services to Ameritas Investment Corporation of Kansas City, Missouri; and,

WHEREAS, the Director of Finance and Purchasing recommends that the award be made pursuant to section 1054.6 of the Jackson County Code, 1984, to Ameritas Investment Corporation of Kansas City, MO, at a cost to the County not to exceed \$25,000.00; now therefore,

BE IT RESOLVED by the County Legislature of Jackson County, Missouri, that the County Executive be and hereby is authorized to execute for the County an Agreement with Ameritas Investment Corporation, in a form to be approved by the County Counselor; and,

BE IT FURTHER RESOLVED that the Director of Finance and Purchasing be and hereby is authorized to make all payments, including final payment on the contract.

Effective Date: This Resolution shall be effective immediately upon its passage by a majority of the Legislature.

APPROVED AS TO FORM:

  
\_\_\_\_\_  
Chief Deputy County Counselor

  
\_\_\_\_\_  
County Counselor

Certificate of Passage

I hereby certify that the attached resolution, Resolution No. 19226 of August 1, 2016, was duly passed on \_\_\_\_\_, 2016 by the Jackson County Legislature. The votes thereon were as follows:

Yeas \_\_\_\_\_

Nays \_\_\_\_\_

Abstaining \_\_\_\_\_


Absent \_\_\_\_\_

\_\_\_\_\_  
Date

\_\_\_\_\_  
Mary Jo Spino, Clerk of Legislature

There is a balance otherwise unencumbered to the credit of the appropriation to which the expenditure is chargeable and there is a cash balance otherwise unencumbered in the treasury to the credit of the fund from which payment is to be made each sufficient to provide for the obligation herein authorized.

ACCOUNT NUMBER: 003 5103 56080  
ACCOUNT TITLE: Park Fund  
Non-Departmental  
Other Professional Services  
NOT TO EXCEED: \$25,000.00

  
\_\_\_\_\_  
Date

  
\_\_\_\_\_  
Chief Financial Officer

**REQUEST FOR LEGISLATIVE ACTION**

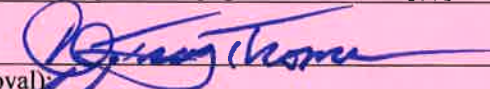

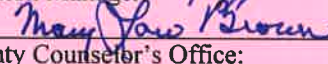
Completed by County Counselor's Office:

Res/~~Ord~~ No.: 19226

Sponsor(s): Dennis Waits

Date: August 1, 2016

<p><b>SUBJECT</b></p>	<p>Action Requested  <input checked="" type="checkbox"/> Resolution  <input type="checkbox"/> Ordinance</p> <p>Project/Title: <u>Awarding a Contract for Financial Advisory Services for the Finance and Purchasing Department to Ameritas Investment Corporation of Kansas City, Missouri under the terms and conditions of Request for Qualifications No. 75-15.</u></p>																								
<p><b>BUDGET INFORMATION</b>  <i>To be completed By Requesting Department and Finance</i></p>	<table border="1"> <tr> <td>Amount authorized by this legislation this fiscal year:</td> <td>\$25,000.00</td> </tr> <tr> <td>Amount previously authorized this fiscal year:</td> <td></td> </tr> <tr> <td>Total amount authorized after this legislative action:</td> <td>\$25,000.00</td> </tr> <tr> <td>Amount budgeted for this item * (including transfers):</td> <td>\$25,000.00</td> </tr> <tr> <td>Source of funding (name of fund) and account code number: 003-5103-56080 Park Fund, Non-Departmental, Other Professional Services</td> <td>\$25,000.00</td> </tr> </table> <p>* If account includes additional funds for other expenses, total budgeted in the account is: \$</p> <p><b>OTHER FINANCIAL INFORMATION:</b></p> <p><input type="checkbox"/> No budget impact (no fiscal note required)  <input type="checkbox"/> Term and Supply Contract (funds approved in the annual budget); estimated value and use of contract:  Department: Estimated Use: \$</p> <p>Prior Year Budget (if applicable):  Prior Year Actual Amount Spent (if applicable):</p>	Amount authorized by this legislation this fiscal year:	\$25,000.00	Amount previously authorized this fiscal year:		Total amount authorized after this legislative action:	\$25,000.00	Amount budgeted for this item * (including transfers):	\$25,000.00	Source of funding (name of fund) and account code number: 003-5103-56080 Park Fund, Non-Departmental, Other Professional Services	\$25,000.00														
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Source of funding (name of fund) and account code number: 003-5103-56080 Park Fund, Non-Departmental, Other Professional Services	\$25,000.00																								
<p><b>PRIOR LEGISLATION</b></p>	<p>Prior ordinances and (date):  Prior resolutions and (date):</p>																								
<p><b>CONTACT INFORMATION</b></p>	<p>RLA drafted by (name, title, &amp; phone): Barbara Casamento, Purchasing Supervisor, 881-3253</p>																								
<p><b>REQUEST SUMMARY</b></p>	<p>The Finance and Purchasing Department requires a qualified firm to provide Financial Advisory Services for the Truman Sports Complex. The financial advisor for the Sports Complex is required to perform ongoing calculations and analyses as it pertains to the Series 2014 bond issuance, specifically making semi-annual calculations of the amounts which can be transferred to the authority and teams' repairs and maintenance funds, monitoring pledged revenues and processing requisitions. The Purchasing Department issued Request for Qualifications No. 75-15 to meet those requirements.</p> <p>A total of eighteen notifications were distributed and three responses were received and evaluated as follows:</p> <table border="1"> <thead> <tr> <th>Respondent and Location</th> <th>Responsiveness 5%</th> <th>Qualifications 30%</th> <th>Experience 55%</th> <th>References 10%</th> <th>Total</th> </tr> </thead> <tbody> <tr> <td>Ameritas Kansas City, MO</td> <td>5</td> <td>30</td> <td>55</td> <td>10</td> <td>100</td> </tr> <tr> <td>Springsted Kansas City, MO</td> <td>5</td> <td>30</td> <td>45</td> <td>10</td> <td>90</td> </tr> <tr> <td>Columbia Capital Overland Park, KS</td> <td>5</td> <td>30</td> <td>35</td> <td>10</td> <td>80</td> </tr> </tbody> </table> <p>Pursuant to Section 1054.6 of the Jackson County Code, the Director of Finance and Purchasing recommends the award of a contract for Financial Advisory Services for the Finance and Purchasing Department to Ameritas Investment Corporation of Kansas City, MO as the best proposal received. After the selection of the best proposal, pricing was negotiated and is included in the Award Recommendation.</p>	Respondent and Location	Responsiveness 5%	Qualifications 30%	Experience 55%	References 10%	Total	Ameritas Kansas City, MO	5	30	55	10	100	Springsted Kansas City, MO	5	30	45	10	90	Columbia Capital Overland Park, KS	5	30	35	10	80
Respondent and Location	Responsiveness 5%	Qualifications 30%	Experience 55%	References 10%	Total																				
Ameritas Kansas City, MO	5	30	55	10	100																				
Springsted Kansas City, MO	5	30	45	10	90																				
Columbia Capital Overland Park, KS	5	30	35	10	80																				

CLEARANCE	<input checked="" type="checkbox"/> Tax Clearance Completed (Purchasing & Department) <input checked="" type="checkbox"/> Business License Verified (Purchasing & Department) <input checked="" type="checkbox"/> Chapter 6 Compliance - Affirmative Action/Prevailing Wage (County Auditor's Office)	
ATTACHMENTS	Abstract of Bids Received, Award Recommendations Memorandum from Mr. Marc de Rome of the Finance and Purchasing Department and the pertinent pages of Ameritas' proposal.	
REVIEW	Department Director: 	Date: 7/20/2016
	Finance (Budget Approval): If applicable 	Date: 7/20/16
	Division Manager: 	Date: 7/25/16
	County Counselor's Office:	Date:



Fiscal Information (to be verified by Budget Office in Finance Department)

- This expenditure was included in the annual budget.
- Funds for this were encumbered from the \_\_\_\_\_ Fund in \_\_\_\_\_.
- There is a balance otherwise unencumbered to the credit of the appropriation to which the expenditure is chargeable and there is a cash balance otherwise unencumbered in the treasury to the credit of the fund from which payment is to be made each sufficient to provide for the obligation herein authorized.
- Funds sufficient for this expenditure will be/were appropriated by Ordinance # \_\_\_\_\_
- Funds sufficient for this appropriation are available from the source indicated below.

Account Number:	Account Title:	Amount Not to Exceed:

- This award is made on a need basis and does not obligate Jackson County to pay any specific amount. The availability of funds for specific purchases will, of necessity, be determined as each using agency places its order.
- This legislative action does not impact the County financially and does not require Finance/Budget approval.



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**INTEROFFICE MEMORANDUM**

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**TO:** Barbara Casamento  
**FROM:** Marc de Rome  
**SUBJECT:** Bid Evaluation on RFQ 75-15: Financial Advisory Services for Sports Complex  
**DATE:** July 14, 2016

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**Summary**

The County issued an RFQ to seek a qualified firm to provide financial advisory services for the Jackson County Sports Complex. On an ongoing basis, there are financial transactions that are made which relate to the Sports Complex, in particular, cash flows relating to the debt service on the Series 2014 bonds, RMMO funding and revenues that are pledged to fund the debt service. These transactions require special attention by a qualified financial advisor who understands the complexity of these financial transactions.

**Evaluation of Respondent Firms****Respondent #1: Columbia Capital****Responsiveness to General Conditions, Scope of Services and other provisions of this RFQ**

Responded to all general conditions and majority of scope of services. Did not comment on attending meetings as necessary, but likely would provide this service given their overall scope of services.

Score: 5/5

**Respondent's Qualifications**

Very experienced firm with an impressive portfolio of projects in the State of Missouri. All of the key personnel have adequate and impressive qualifications as advisors including providing advice on various sports complexes in Missouri and surrounding states.

Score: 30/30

**Respondent's Experience**

While the respondent does have experience within the State of Missouri, other local jurisdictions and other Sports Authority bond issues, it does not have direct experience with Jackson County issues. The respondent has experience with the St. Louis Sports Complex Authority and similar sports authority related bond issuances. Deducting 20 points for lack of experience with Jackson County.

Score: 35/55

### **Respondent #3: Ameritas**

#### Responsiveness to General Conditions, Scope of Services and other provisions of this RFQ

Responded to all general conditions and scope of services.

Score: 5/5

#### Respondent's Qualifications

Highly qualified respondent with the bench strength and capital of a national firm. Parent company is well capitalized at over \$2.6 billion. Kansas City office would have well qualified individuals managing the financial advisory function for the County. Matthew Webster and Jack Holland have impressive resumes with good breadth of experience in public markets, raising capital for many local projects including the Truman Sports Complex, other Jackson County bond transactions, KCI airport, Sprint Arena, among others. This makes them well qualified to carry on financial advisory services for the Sports Authority.

Score: 30/30

#### Respondent's Experience

The respondent has significant experience in Missouri bond transactions. They have worked on 248 bond issues exceeding \$1.0 billion in the past 12 months and 19 Missouri bond issues exceeding \$650 million in the past 24 months. The respondent has ample experience with the County on its recent transactions including the refunding of the 2006 Truman Sports Complex bonds which was a very complex transaction as they described in their submission. The respondent continues to follow the transaction very closely as it relates to payments to the teams, RMMO funds and incoming revenues. They are intimately familiar with every aspect of the Sports Complex bonds. This experience cannot be matched elsewhere.

Score: 55/55

#### Respondent's References

The respondent's references are all local and reputable jurisdictions: Platte County, City of Kansas City and Little Blue Valley Sewer District.

Score: 10/10

Total Score: 100/100

RFQ 75-15 Financial Advisory Services		1/19/2016				Public Financial Management		Columbia Capital Management		Springsted		Ameritas	
NO	DESCRIPTION	UNIT	QTY	AMOUNT	AMOUNT	AMOUNT	AMOUNT	AMOUNT	AMOUNT	AMOUNT	AMOUNT	AMOUNT	AMOUNT
				No bid	See bid	See bid	See bid	See bid	See bid	See bid	See bid	See bid	see bid

CERTIFICATION OF BID OPENING  
 BIDS WERE PUBLICLY  
 OPENED AND RECORDED  
 ON: JULY 19, 2016, BY  
[Signature]  
 CLERK OF THE LEGISLATURE  
[Signature]

Public Finance  
800 West 47<sup>th</sup> Street, Suite 600  
Kansas City, Missouri 64112  
Bus: 816-213-7582

January 19, 2016

Mr. Q Troy Thomas, Director of Finance and Purchasing  
Jackson County, Missouri  
415 East 12<sup>th</sup> Street  
Kansas City, Missouri 64106

**Re: Request for Qualifications to provide Financial Advisory Services for the Finance  
and Purchasing Department**

Dear Mr. Thomas:

Ameritas Investment Corporation (“AIC”) appreciates this opportunity to present our qualifications to provide investment banking services to the Jackson County, Missouri Finance and Purchasing Department (the “County”), for the analysis, oversight and administration of the lease agreements and management contracts between the County, the Jackson County Sports Complex Authority, the Kansas City Royals Baseball Corporation and the Kansas City Chiefs Football Club related to the management and operation of the Harry S. Truman Sports Complex.

We believe that AIC is uniquely qualified to provide the County with exceptional services related to this RFQ. As you know, the Kansas City-based Ameritas professionals are all former employees of Oppenheimer & Co. and our team has decades of experience working with the County. We are excited about the added capabilities and resources that AIC’s new Kansas City presence will bring to our clients. We have a complete understanding of the scope of services to be provided and we have demonstrated a long-standing commitment to successfully perform these services to the County.

***AIC’s Commitment to Scope of Services***

The Scope of Services outlined by the RFQ is consistent with our past experience and we are well qualified to meet the requirements of the RFQ.

- We have advised and assisted the County in discussions with the Royals, the Chiefs and the Sports Complex Authority and we have successfully implemented numerous plans of finance that have always been consistent with County’s policy goals. We have a demonstrated record of recommending financing structures which resulted in cost-effective financial solutions. In addition, we helped the County to meet the financing challenges brought on by the Great Recession of 2008 and 2009.

- We have a proven capability in performing analysis, oversight and administration of the financial aspects of the agreements and contracts between the County and the Jackson County Sports Complex Authority, the Royals and the Chiefs related to the renovation, management and operation of the Harry S. Truman Sports Complex.
- We have successfully worked with the County staff and leadership to coordinate all financing activities and assisted in the preparation and review of financing documentation, attended meetings, and worked closely with the Sports Complex Authority and other stakeholders.
- The Kansas City-based Ameritas professionals have decades of experience and unmatched credibility and professional knowledge to assist the County.

### ***AIC's Qualifications***

**Local Public Finance Staff** – Ameritas Investment Corp. has a fully staffed Public Finance Department located in Kansas City. Professionals are available to attend necessary working group meetings without having to travel from remote locations. AIC's Public Finance professionals have a long history of providing investment banking services to Jackson County and other metropolitan area communities. Among projects structured and marketed by the Ameritas team are: the KCI Corridor TIF, Sprint Center Arena, Truman Sports Complex, Kauffman Performing Arts Center Garage, Brush Creek Improvement Project, Downtown Entertainment District, Plaza Library, American Royal Center, The President Hotel, Zona Rosa, Country Club Plaza TIF, Internal Revenue Service Center, National Nuclear Security Administration (Honeywell Facility) in South Kansas City. Our familiarity with Jackson County and Kansas City, Missouri's history in the capital markets is an important asset. AIC has a well established presence in the municipal capital markets, because we are active in the markets with primary and secondary offerings nearly every day.

**Jackson County Finance Experience** – The Kansas City Ameritas team have structured, provided financial advice, and underwritten more than 25 County bond issues since 1987. Most significantly, we structured and subsequently refinanced the County bonds that paid for the Truman Complex renovation. The most recent refinancing in November 2014 resulted in savings of more than \$34 million to the County and provided an equivalent amount for stadium maintenance over the remaining term of the leases.

AIC is pleased to submit this proposal to the County. I and the rest of the AIC team stand ready to meet with you if you should have any questions. As a Vice President and Director, I am authorized to bind AIC for provision of services under this RFQ. I and the rest of the AIC team are looking forward to working with you in the future.

Sincerely,

AMERITAS INVESTMENT CORPORATION



Matthew Webster  
Vice President and Director

Required Contact Information:

Matthew Webster  
Ameritas Investment Corp.  
800 West 47th Street, Suite 600  
Kansas City, Missouri 64112  
816-213-7582  
matt.webster@ameritas.com

When acting as an underwriter, AIC is not acting as a Municipal Advisor. The information contained herein is not a recommendation; not intended as advice; and not subject to a fiduciary duty pursuant to Section 15B of the Exchange Act. As an underwriter, AIC and its representatives are acting in AIC's interest. Please consult your own advisors or experts, as you deem appropriate, before acting on this information or material.



## FORMS

All required forms in the Request for Qualifications are filled out, signed, and notarized, where necessary. Please refer to Appendix C.

## FIRM PROFILE

AIC is a wholly owned subsidiary of Ameritas Life Insurance Corp., with its headquarters office located at 5900 O Street in Lincoln, Nebraska (hereinafter “Ameritas”). Established in 1984, AIC is registered with the Securities and Exchange Commission (SEC), a member of the Financial Industry Regulatory Authority (FINRA) and the Securities Investor Protection Corporation (SIPC). In addition to serving as underwriter and investment banker for numerous municipal jurisdictions, AIC serves as the underwriter and distributor of variable products issued by Ameritas Life Insurance Corp.

Ameritas Mutual Holding Company is the parent of Ameritas Life Insurance Corp. of which AIC is a subsidiary. Also included in this ownership structure are Ameritas Investment Partners, Inc. and Calvert Investment Distributors, Inc. As of our 2014 Annual Financial report, Ameritas Mutual Holding Company has **\$18.7 billion** in consolidated assets and equity of **\$2.5 billion**. Ameritas Mutual Holding Company’s ratings by Standard and Poor’s and A.M. Best Company include Ameritas Life Insurance Corp. and Ameritas Life Insurance Corp. of New York. These ratings agencies provide an independent opinion of each insurer’s financial strength and ability to meet ongoing insurance policy and contract obligations. Standard & Poor’ A+ (Strong) for insurer financial strength is the fifth highest rating of S&P’s 21 ratings. A.M. Best Company rating of A (Excellent) for financial strength and operating performance is the third highest of A.M. Best’s 15 ratings.

Since the last audited financial statement, there have been no material events that would have an adverse impact on the firm’s capital position.

**Commitment to Public Finance.** AIC’s local Public Finance Department is in Kansas City, Missouri. The Kansas City office will be responsible for providing services for this engagement. Our Kansas City office has five public finance professionals, a municipal underwriter liason, and various supporting staff positions.

**The primary objective of the Public Finance Department is to deliver state-of-the-art financing alternatives accompanied by a quality of service and strong transaction execution unavailable from either the smaller, less well capitalized firms or the financial service conglomerates which are generally unfamiliar with local clients.** AIC’s professionals provide a hands-on approach to the delivery of public finance services – the same professionals that have the initial contact with the client will work with the client through the completion of the project. In contrast to most other firms, AIC professionals work on a broad range of projects and financings both in terms of size and complexity, and thus afford each of our clients the benefit of this more wide-ranging experience. AIC demonstrates a total public finance expertise covering all types of projects and forms of debt, and applying all available concepts in the public finance arena. Our clients benefit not only from the wide range of experience AIC brings to the transaction team, but also the firm’s special focus on issuers in the Kansas City region.

**Municipal Underwriting and Trading.** The firm currently maintains a municipal bond trading desk in Lincoln, Nebraska with 6 underwriting and trading professionals.

The following table illustrates the capital assigned to AIC and the total capital of Ameritas. Capital available to Ameritas is more than sufficient to handle an issue of any size that our clients would sell, now and in the future.

<b>Three-Year Financial History</b>			
	<b>2012</b>	<b>2013</b>	<b>2014</b>
<b>Total Capital</b>	\$12,100,000	\$13,000,000	\$17,800,000
	\$2,548,000,000	\$2,480,000,000	\$2,637,000,000
<b>Total Equity</b>	\$12,100,000	\$13,000,000	\$17,800,000
	\$2,498,000,000	\$2,430,000,000	\$2,587,000,000
<b>Net Capital</b>	\$4,396,117	\$3,066,330	\$6,660,567
	*	*	*
<b>Excess Net Capital</b>	\$3,874,792	\$2,101,569	\$5,637,243
	*	*	*
<b>Limitation on Municipal Underwriting</b>	\$440,941,755	\$420,994,950	\$474,908,505
	n/a	n/a	n/a
<b>Average Daily Position of Fixed Income Securities</b>	Securities held by ALIC	Securities held by ALIC	Securities held by ALIC
	\$7,667,694,000	\$6,872,391,000	\$7,406,965,000
<b>Average Daily Position of Municipal Securities</b>	Securities held by ALIC	Securities held by ALIC	Securities held by ALIC
	\$38,650,000	\$32,793,000	\$33,411,000
<b>Average Daily Uncommitted Capital</b>	\$27,800,000	\$27,400,000	\$26,500,000
	*	*	*
*These are not terms applicable to ALIC, please see answers to capital/equity and Question no. 10			
Yellow represents capital assigned to AIC as a subsidiary of ALIC.			
Green represents financial data for parent ALIC.			

At a time when firms are cutting back on their exposure to the municipal markets, AIC is fully committed to Public Finance and providing quality investment banking services to our clients. In June, AIC established a Public Finance office in Kansas City, Missouri staffed by a seasoned group of investment bankers from Oppenheimer & Co. These bankers have a group of Kansas and Missouri local governmental clientele developed over a period of 35 years, including a long-standing relationship with Jackson County, Missouri.

**Local Office Contact and Designated Day-to-day Contact Person.** Our Kansas City, Missouri Public Finance office will be responsible for the provision of all the Firm's professional services under this proposal for the duration of the engagement. Our Public Finance office is located as follows:

Ameritas Investment Corp.  
800 W. 47th Street, Suite 600  
Kansas City, Missouri 64112

Firm Contact: Matthew Webster, Vice President and Director  
matt.webster@ameritas.com  
816-213-7582

Matthew Webster will serve as the day-to-day contact person for engagements related to this RFQ. As currently contemplated by this RFQ, he and Ameritas have no conflicts of interest with regard to services to be provided to the County. Mr. Webster has a long history of working with County staff on all aspects of the County's finances; with a special emphasis on the County's operating relationship with the Jackson County Sports Complex Authority, the Royals and the Chiefs.

**Municipal Capital Market Experience.** During the last 12 months, the Public Finance professionals AIC has worked on (underwritten or acted as financial advisor) 248 bond issues in excess of \$1 billion.

**Missouri Capital Market Experience.** In the last 24 months, the Public Finance professionals AIC has worked on (underwritten or acted as financial advisor) 19 Missouri bond issues in excess of \$652 million.

**PRINCIPAL CONTACT/PERSONNEL**



**MATTHEW WEBSTER**  
*Director*

Mr. Webster has a B.A. from The George Washington University and has been involved in public finance and financial analysis since 1985. Mr. Webster has long experience in structuring and analyzing tax increment financing offerings, special district financings and assessment-backed and other revenue backed municipal bonds. He has extensive experience working with computer models to design and analyze revenue and asset backed bond structures, using both commercial application software and proprietary financial structuring software. Mr. Webster was the lead banker on numerous unrated and complex tax increment financing and economic development engagements including recent tax increment transactions in Kansas and Missouri. **Mr. Webster will be the lead banker for our Finance Team.**



**JACK HOLLAND**  
*Managing Director*

Jack Holland is a Managing Director and Co-Manager of the AIC - Kansas City Public Finance Division. He entered the investment banking business in 1976 after receiving his B.A. from the University of Missouri and J.D. from UMKC. Mr. Holland is a member of the Missouri Bar, Kansas City Bar Association and has been active in the Section of Local Government Law of the American Bar Association. His experience includes a broad range of public finance activities with a special emphasis in the development and implementation of non-traditional financing methods. Mr. Holland has been involved in more than \$20 billion in public financings for issuers throughout the Midwest and Southwest and has participated in many of Kansas City's most significant financings over the last 20 years including: the Brush Creek Improvement Plan, Sprint Arena, KCI Airport, Truman Sports Complex and the Kansas Speedway. Among other civic activities, Mr. Holland serves on the KC Chamber Business Leadership Council, the Board of Directors of Studio's Inc. and Theater League, was a member of the Board of the Kansas REACH Healthcare Foundation and is past President of Kansas City Consensus. **Mr. Holland will assist Mr. Webster with the day-to-day services for our Project Team.**

## COMPLEX FINANCING CASE STUDY

### **Refinancing of the \$447,240,000 Special Obligation Bonds, Series 2006 (Harry S. Truman Sports Complex Project)**

In November of 2014 AIC Public Finance professionals worked with the County to refinance the outstanding Series 2006 Bonds. This was a complex undertaking because of the County guarantee and past cash flow challenges related to the Series 2006 Bonds. As part of this successfully undertaking, the financing team, led by Mr. Holland and Mr. Webster structured a new financing that advance refunded the Series 2006 Bonds. Among the challenges were the removal of a pre-existing bond insurance policy that no longer provided credit support for the Bonds. In addition, the AIC professionals worked with County staff and credit rating agencies to remove the requirement for a cash-funded reserve account, which resulted in millions of dollars in savings by eliminating negative arbitrage on the investment accounts.

The AIC professionals worked with the Sports Complex Authority to gain their approval of the necessary financing documents and explained the transaction to the Chiefs and Royals. As marketing began we worked to create a “road-show” presentation that generated substantial bond-purchaser interest. As a result of the sophisticated marketing efforts, the County’s strong credit rating and the simplified debt structure, the cash savings (originally estimated at approximately \$20 million dollars) were increased to \$34 million by the time the final debt structure was in place and all the Bonds were sold.

The AIC team’s intimate knowledge of the County, the complex lease and administration agreements and the City of Kansas City and State of Missouri credit arrangements allowed for a highly successful marketing effort that reduced annual debt service by more than two million dollars for the remaining term of the lease.

The cash savings will be made available for fund future RMMO requests made by the teams and approved by the County.

The financing was challenging and complex because of volatile capital market conditions and the complex nature of the cash flow used to support Bond debt service payments.

## ADDITIONAL INFORMATION

**Commitment to Affirmative Action.** AIC is engaged on a daily basis in trading and underwriting securities with numerous firms participating in municipal market. As such, we maintain business relationships with various minority and women owned securities firms. We have successfully partnered with firms to provide investment banking services to our clients nationwide and routinely bid on transactions with MBE and WBE firms. AIC is willing to utilize these strategic alliances, joint ventures, and other business relationships with experienced certified M/WBE firms on any Agency financings.

AIC has a long history of commitment to fostering female and minority employment opportunities. We intend to comply with all applicable federal, state or local affirmative action requirements. This commitment is evidenced by a number of actions taken by the firm over the years, including the following:

- The President/CEO of Ameritas Investment Corp. is Ms. Salene Hitchcock-Gear (see biography below)
- The President/CEO of Ameritas Life Insurance Co. is a woman
- A woman is the manager of the Municipal Underwriting and Trading Department of AIC
- Several women serve on the Board of Directors of Ameritas
- AIC regularly enters into partnerships with MBE firms in providing underwriting and financial advisory services. These partnerships have involved most MBE firms currently engaged in public finance
- AIC includes MBE and WBE firms in underwriting and selling groups formed for syndicates managed by AIC



**SALENE HITCHCOCK-GEAR**  
*President and  
Chief Executive Officer*  
Ameritas Investment Corp.

Ms. Gear was elected president/CEO of AIC in 2004. Prior to this affiliation with AIC, she was President of Carillon Investments (broker-dealer affiliate with Union Central Life Insurance prior to merger with AIC), served as Vice President for Strategic Directions and Financial Planning at Prudential Insurance Company, and worked in private law practice. She was a member of FINRA National Adjudicatory Council from 2007-1010, serving as Council Chair in 2010. She received a B.A. degree in Communication from the University of Michigan and a Jurist Doctorate from New York University School of law.

**IN THE COUNTY LEGISLATURE OF JACKSON COUNTY, MISSOURI**

**A RESOLUTION** assenting to the issuance of revenue bonds payable from revenues to be derived from the operation of the Little Blue Valley Sewer System in an amount not to exceed \$20,000,000.00 for the purpose of financing the Phase III Improvements Program for the wastewater collection and treatment system, including the provision of Advanced Air Emissions Controls for the Atherton Wastewater Treatment Facilities in accordance with section 204.370, RSMo.

**RESOLUTION NO. 19227**, August 1, 2016

**INTRODUCED BY** Tony Miller, County Legislator

WHEREAS, the Little Blue Valley Sewer District (the "District") operates a sewer system (the "System") pursuant to sections 204.250 et seq., RSMo, for the primary benefit of the customers within the District; and,

WHEREAS, the District has undertaken a review of the existing operation of the sewer system and has approved a plan for the improvement, extension, and rehabilitation of the system, including the provision of Advanced Air Emissions Controls for the Atherton Wastewater Treatment Facilities (the "Phase III Improvements") with an estimated project costs of \$20,000,000.00; and,

WHEREAS, the District has determined that it is in the best interests of the District to finance the Phase III Improvements through the issuance of revenue bonds payable from the revenues to be derived from the operation of the System; and,

WHEREAS, pursuant to section 204.370, RSMo, the District has submitted to its

customers, as defined therein, the question of whether the District shall issue revenue bonds in one or more series payable from the revenues to be derived from the operation of the System in the amount not to exceed \$20,000,000.00, for the purpose of the Phase III Improvements; now therefore,

BE IT RESOLVED by the County Legislature of Jackson County, Missouri, as follows:

Section 1. The County Executive and the Clerk of the Legislature are authorized to give the written affirmative assent to the following question submitted by the Little Blue Valley Sewer District:

Shall the Little Blue Valley Sewer District issue its revenue bonds in one or more series, payable from the revenues to be derived from the operation of the System in an amount not to exceed \$20,000,000.00 for the purpose of improving, extending or rehabilitating the Little Blue Valley Sewer District System including, but no limited to, advanced air emissions controls for the Atherton Wastewater Treatment Facilities?

Section 2. In accordance with section 204.370, RSMo, approval of the proposition shall require the written assent of three-quarters of the District's customers.

Section 3. The County Executive and the Clerk of the Legislature, officers, agents, consultants or employees of Jackson County, Missouri, are hereby authorized to take such further action, and to execute such other documents, certificates, and instruments as may be necessary or desirable to carry out and comply with the intent of this Resolution.



Effective Date: This Resolution shall be effective immediately upon its passage by a majority of the Legislature.

APPROVED AS TO FORM:

  
\_\_\_\_\_  
Chief Deputy County Counselor

  
\_\_\_\_\_  
County Counselor

Certificate of Passage

I hereby certify that the attached resolution, Resolution No. 19227 of August 1, 2016, was duly passed on \_\_\_\_\_, 2016 by the Jackson County Legislature. The votes thereon were as follows:

Yeas \_\_\_\_\_

Nays \_\_\_\_\_

Abstaining \_\_\_\_\_

Absent \_\_\_\_\_

\_\_\_\_\_  
Date

\_\_\_\_\_  
Mary Jo Spino, Clerk of Legislature



## LITTLE BLUE VALLEY SEWER DISTRICT



# ADVANCED AIR EMISSIONS CONTROLS BOND ISSUE

### OVERVIEW

The Little Blue Valley Sewer District has always been in the business of protecting public health and the environment. Since 2010, we have made considerable improvements to our existing facilities and processes in order to seek optimization, **greater efficiency**, and **effectiveness**. These evaluations resulted in:

- savings on energy costs
- savings on reheat costs
- savings on labor costs
- reduction of mercury emissions by 50%

Working under a consent order, the Little Blue Valley Sewer District is required to meet new state and federal regulatory requirements that are created by the Environmental Protection Agency (EPA) and enforced by the Missouri Air Pollution Control Program.

Due to a changing regulatory climate, we must continue to invest in our Atherton facility by upgrading our sewage sludge incinerator to include **advanced air emissions controls**.

Under the new air emissions regulations, we are violating the air quality standards for mercury



## APPROVE \$20 MILLION IN REVENUE BONDS

*Boost community benefits at the  
same cost to the customer*

and nitrogen oxides. While we have reduced our mercury emissions by 50% in recent years, the new regulations call for a further 99% reduction. Operational improvements and source controls will not correct this problem. Our only solution is to install advanced air emissions controls.

Although this significant improvement comes with a price, your **financial impact is essentially zero** due to the various efficiencies and cost savings that were put into place during the Phase II Program.

By approving the issuance of \$20 million additional revenue bonds, **there will be no appreciable change to the current financial forecast**. The bonds will not extend the duration of current service agreements as the 2016 bonds will be retired in 2036, four years before the 2010 bonds are paid off in 2040.

## FUND OR LOSE

Unless we achieve air quality standards in a 4-year time frame, we will be required to cease operation of the incinerator. Without a working incinerator, sewage sludge will be hauled to the landfill at an **added cost of \$3 million per year.**

It is fiscally responsible to invest in this essential upgrade rather than spending millions of dollars on hauling costs that lack long-term value to our customers.



The advanced air emissions controls upgrade is identified within the Phase III Improvements Program at the Atherton Plant. Phase III bonds will be paid off with the same operating budgets approved in 2010 for the Phase II bonds. Unanticipated operational efficiencies offset the \$20 million bond repayment costs!

## COMMUNITY BENEFITS

By upgrading our sewage sludge incinerator, we will be able to continue the benefits to the community from Phase II and add benefits from Phase III at the same cost to our customer.

1

### Improving air quality

- Reduced mercury emissions
- Reduced nitrogen oxide emissions
- Reduced other pollutants including: lead, cadmium, dioxins and furans, sulfur dioxide

2

### Using less resources

- Reduced electricity use
- Reduced need for natural gas
- Reduced need for polymer

3

### Saving money

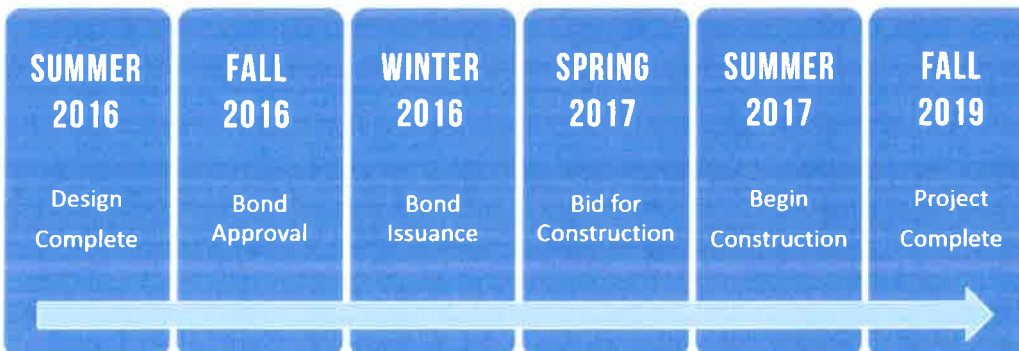
- Reduced labor costs
- Increased energy efficiency
- Reduced operations costs

4

### Contain Service Costs to 2010 Forecast

- No Budgetary Impacts-Financial forecasts for the 2010 Phase II Program are unchanged, as the costs of Phase III are negated by better-than-anticipated cost controls

## ADVANCED AIR EMISSIONS CONTROLS SCHEDULE



RESOLUTION NO. 3424

A RESOLUTION AUTHORIZING THE SUBMISSION TO CUSTOMERS A QUESTION IN ACCORDANCE WITH SECTION 204.370 OF THE REVISED MISSOURI STATUTES, OF WHETHER THE LITTLE BLUE VALLEY SEWER DISTRICT SHOULD ISSUE REVENUE BONDS PAYABLE FROM REVENUES TO BE DERIVED FROM THE OPERATION OF THE SYSTEM IN AN AMOUNT NOT TO EXCEED \$20,000,000 FOR THE PURPOSE OF IMPROVING, EXTENDING OR REHABILITATING THE LITTLE BLUE VALLEY SEWER DISTRICT SYSTEM INCLUDING, BUT NOT LIMITED TO ADVANCED AIR EMISSIONS CONTROLS FOR THE ATHERTON WASTEWATER TREATMENT FACILITIES.

WHEREAS, the Little Blue Valley Sewer District operates a wastewater collection and treatment system (the "System") pursuant to Section 204.250 et seq., Revised Statutes of Missouri ("RSMo") for the primary benefit of the customers within the District (the "Customers"); and,

WHEREAS, all customers have entered into service contracts with the District, providing, among other things, for the conveyance and treatment by the District of wastewater contributed to it by the Customer in consideration of payment of a charge to the District by the Customer; and,

WHEREAS, the District's Phase II Improvements Program included the construction of a new sewage sludge incinerator with the anticipation that such facilities may later require advanced air emissions controls; and,

WHEREAS, more stringent air emissions limits for sewage sludge incinerators have been enacted by the Environmental Protection Agency sooner than expected necessitating the accelerated installation of such advanced air emissions controls since the sewage sludge incinerator was neither designed to nor anticipated to meet such higher standards; and,

WHEREAS, the initial performance testing of the new sewage sludge incinerator has confirmed its inability to meet the more stringent limits prompting the Missouri Air Pollution Control Program and the District to enter an Administrative Order on Consent (APCP-2015-077) requiring compliance within four years; and,

WHEREAS, the District has defined the scope of work, an acceptable completion schedule, a minimal impact financing strategy for the Phase III Improvements Program and an achievable schedule for the required improvements; and,

WHEREAS, District has undertaken a review of the existing wastewater facilities of the System and has approved a Phase III Improvements Program for the improvement, extension and

rehabilitation of the Little Blue Valley Sewer District System, including the provision of Advanced Air Emissions Controls for the Atherton Wastewater Treatment Facilities with an estimated project cost of \$20,000,000; and,

WHEREAS, the Board of Trustees of the District does hereby find and determine that it is in the best interests of the District to finance the Phase III Improvements Program's \$20 million cost through the issuance of revenue bonds payable from the revenues to be derived from the operation of the System; and,

WHEREAS, in accordance with Section 204.370, RSMo, the District hereby authorizes the submission to Customers, as defined in Section 204.370, the question of whether the District shall issue revenue bonds payable from the revenues to be derived from the operation of the System in the amount not to exceed \$20,000,000 for the purpose of improving, extending or rehabilitating the Little Blue Valley Sewer District System including the provision of advanced air emissions controls at the Atherton Wastewater Treatment Facilities.

BE IT RESOLVED BY THE BOARD OF TRUSTEES OF THE DISTRICT:

Section 1. The Chairman, any Vice Chairman, and the Secretary of the Board of Trustees of the District are

authorized to submit a proposition to the Customers of the District as follows:

Shall the Little Blue Valley Sewer District issue its revenue bonds in one or more series, payable from the revenues to be derived from the operation of the System in an amount not to exceed \$20,000,000 for the purpose of improving, extending or rehabilitating the System including, but not limited to, the provision of advanced air emissions controls at the Atherton Wastewater Treatment Facilities?

Section 2. In accordance with Section 204.370, approval of the proposition shall require the written assent of three-quarters of the Customers. Customers are defined as the following:

<b>City of Belton</b>	<b>City of Blue Springs</b>	<b>Fort Osage School District</b>
<b>City of Grandview</b>	<b>City of Independence</b>	<b>County of Jackson</b>
<b>City of Kansas City</b>	<b>City of Lake Tapawingo</b>	<b>City of Lee's Summit</b>
<b>Middle Big Creek Sewer Subdistrict</b>		<b>City of Raymore</b>
<b>City of Raytown</b>	<b>City of Sugar Creek</b>	

Section 3. The Chairman, any Vice Chairman, and Secretary and any other officers of the District, and other officers, agents, consultants or designated employees of the District are hereby authorized and directed to take such further action, and to execute such other documents, certificates and instruments as

may be necessary or desirable to carry out and comply with the intent of this Resolution.

Section 4. This Resolution is adopted under the authority of section 204.250 et seq., RSMo.

Section 5. This Resolution shall be in full force and effect from and after its adoption by the Board of Trustees of the District.

APPROVED THIS 8<sup>th</sup> DAY OF JUNE, 2016, BY THE BOARD OF TRUSTEES OF THE LITTLE BLUE VALLEY SEWER DISTRICT.



Chair, Board of Trustees

ATTEST:

  
Secretary

APPROVED BY:

  
Executive Director



**IN THE COUNTY LEGISLATURE OF JACKSON COUNTY, MISSOURI**

**A RESOLUTION** awarding a contract on Request for Qualifications 47-16 for the furnishing of professional surveying services for use by the Public Works Department to Bartlett & West of Lee's Summit, MO, at an actual cost to the County in the amount of \$575,000.00.

**RESOLUTION NO. 19228**, August 1, 2016

**INTRODUCED BY** Greg Grounds, County Legislator

WHEREAS, by Request for Qualifications (RFQ) No. 47-16, the Director of Finance and Purchasing did solicit the submission of qualifications from firms interested in providing professional surveying services related to the Rock Island Rail Corridor, for use by the Public Works Department; and,

WHEREAS, the Director distributed thirty-two notifications and received seven responses to the RFQ, two of which were rejected as non-responsive, with the top three responses evaluated as follows:

<b><u>RESPONDER</u></b>	<b><u>POINTS</u></b> (10-point scale)
Bartlett & West Lee's Summit, MO	8.4
Olsson Associates Overland Park, KS	8.3
Wilson & Company Kansas City (Jackson County), MO	7.6

and,

WHEREAS, the proposals submitted were evaluated on the basis of responsiveness to the scope of services, qualifications, experience, approach, references, and knowledge of rail corridors; and,

WHEREAS, following evaluation of the proposals, the evaluation committee has recommended the award of a contract for these services to Bartlett & West of Lee's Summit, Missouri; and,

WHEREAS, the Director of Finance and Purchasing recommends that the award be made pursuant to section 1054.6 of the Jackson County Code, 1984, to Bartlett & West, at an actual cost to the County in the amount of \$575,000.00; now therefore,

BE IT RESOLVED by the County Legislature of Jackson County, Missouri, that the County Executive be and hereby is authorized to execute for the County an Agreement with Bartlett & West, in a form to be approved by the County Counselor; and,

BE IT FURTHER RESOLVED that the Director of Finance and Purchasing be and hereby is authorized to make all payments, including final payment on the contract.

Effective Date: This Resolution shall be effective immediately upon its passage by a majority of the Legislature.

APPROVED AS TO FORM:

  
Chief Deputy County Counselor

  
County Counselor

Certificate of Passage

I hereby certify that the attached resolution, Resolution No. 19228 of August 1, 2016, was duly passed on \_\_\_\_\_, 2016 by the Jackson County Legislature. The votes thereon were as follows:

Yeas \_\_\_\_\_

Nays \_\_\_\_\_

Abstaining \_\_\_\_\_

Absent \_\_\_\_\_

\_\_\_\_\_  
Date

\_\_\_\_\_  
Mary Jo Spino, Clerk of Legislature

There is a balance otherwise unencumbered to the credit of the appropriation to which the expenditure is chargeable and there is a cash balance otherwise unencumbered in the treasury to the credit of the fund from which payment is to be made each sufficient to provide for the obligation herein authorized.

ACCOUNT NUMBER: 014 3601 56790  
ACCOUNT TITLE: Special Obligation Bond Capital Project Fund  
Rock Island Rail Corridor  
Other Contractual Services  
NOT TO EXCEED: \$575,000.00

  
Date

  
Chief Financial Officer

# REQUEST FOR LEGISLATIVE ACTION



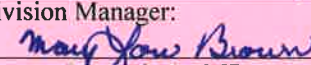
Completed by County Counselor's Office:

Res/Ord No.: 19228

Sponsor(s): Greg Grounds

Date: August 1, 2016

SUBJECT	<p>Action Requested  <input checked="" type="checkbox"/> Resolution  <input type="checkbox"/> Ordinance</p> <p>Project/Title: <u>Awarding a Contract for Professional Survey Services for Rock island Rail Corridor for the Public Works Department to Bartlett &amp; West of Lee's Summit, Missouri under the terms and conditions of Request for Qualifications No. 47-16.</u></p>																																				
BUDGET INFORMATION <i>To be completed By Requesting Department and Finance</i>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>Amount authorized by this legislation this fiscal year:</td> <td></td> </tr> <tr> <td>Amount previously authorized this fiscal year:</td> <td style="text-align: right;">\$575,000.00</td> </tr> <tr> <td>Total amount authorized after this legislative action:</td> <td style="text-align: right;">\$575,000.00</td> </tr> <tr> <td>Amount budgeted for this item * (including transfers):</td> <td style="text-align: right;">\$575,000.00</td> </tr> <tr> <td>Source of funding (name of fund) and account code number: 014-3601-56790 Special Obligation Bond Capital Project Fund; Rock Island Rail Corridor Authority; Other Contractual Services</td> <td style="text-align: right; vertical-align: bottom;">\$575,000.00</td> </tr> </table> <p>* If account includes additional funds for other expenses, total budgeted in the account is: \$</p> <p>OTHER FINANCIAL INFORMATION:</p> <p><input type="checkbox"/> No budget impact (no fiscal note required)  <input type="checkbox"/> Term and Supply Contract (funds approved in the annual budget); estimated value and use of contract:          Department: _____ Estimated Use: \$ _____</p> <p>Prior Year Budget (if applicable): _____          Prior Year Actual Amount Spent (if applicable): _____</p>	Amount authorized by this legislation this fiscal year:		Amount previously authorized this fiscal year:	\$575,000.00	Total amount authorized after this legislative action:	\$575,000.00	Amount budgeted for this item * (including transfers):	\$575,000.00	Source of funding (name of fund) and account code number: 014-3601-56790 Special Obligation Bond Capital Project Fund; Rock Island Rail Corridor Authority; Other Contractual Services	\$575,000.00																										
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PRIOR LEGISLATION	<p>Prior ordinances and (date): 4854, June 6, 2016          Prior resolutions and (date): _____</p>																																				
CONTACT INFORMATION	<p>RLA drafted by (name, title, &amp; phone): Jessica Johnson, Senior Buyer, 881-3465</p>																																				
REQUEST SUMMARY	<p>The Public Works Department requires a qualified firm to provide Professional Survey Services for the Rock Island Rail Corridor. The survey company for the Rock Island Rail Corridor is required to perform a Boundary Survey and Topographical Survey in order to prepare for future engineering and design related activities. The Purchasing Department issued Request for Qualifications No. 47-16 to meet those requirements.</p> <p>A total of thirty-two (32) notifications were distributed and seven (7) responses were received, two (2) responses rejected and five (5) responses evaluated as follows:</p> <table border="1" style="width: 100%; border-collapse: collapse; text-align: center;"> <thead> <tr> <th style="width: 25%;">Respondent and Location</th> <th style="width: 15%;">Qualifications &amp; Experience 40%</th> <th style="width: 15%;">Knowledge &amp; Understanding of Rail Corridors 25%</th> <th style="width: 10%;">References 10%</th> <th style="width: 15%;">Schedule Responsiveness 25%</th> <th style="width: 10%;">Weighted Total (1-10)</th> </tr> </thead> <tbody> <tr> <td>Anderson Survey Co Lee's Summit, MO</td> <td>7</td> <td>6.3</td> <td>6</td> <td>6</td> <td>6.5</td> </tr> <tr> <td>Bartlett &amp; West Lee's Summit, MO</td> <td>8.3</td> <td>8.7</td> <td>8</td> <td>8.3</td> <td>8.4</td> </tr> <tr> <td>Olsson Associates Overland Park, KS</td> <td>8.3</td> <td>8.3</td> <td>7.6</td> <td>8.3</td> <td>8.3</td> </tr> <tr> <td>Surveying &amp; Mapping LLC Overland Park, KS</td> <td>6.3</td> <td>7.3</td> <td>5.7</td> <td>5</td> <td>6.2</td> </tr> <tr> <td>Wilson &amp; Company Kansas City, MO</td> <td>7.3</td> <td>7</td> <td>6.7</td> <td>9</td> <td>7.6</td> </tr> </tbody> </table>	Respondent and Location	Qualifications & Experience 40%	Knowledge & Understanding of Rail Corridors 25%	References 10%	Schedule Responsiveness 25%	Weighted Total (1-10)	Anderson Survey Co Lee's Summit, MO	7	6.3	6	6	6.5	Bartlett & West Lee's Summit, MO	8.3	8.7	8	8.3	8.4	Olsson Associates Overland Park, KS	8.3	8.3	7.6	8.3	8.3	Surveying & Mapping LLC Overland Park, KS	6.3	7.3	5.7	5	6.2	Wilson & Company Kansas City, MO	7.3	7	6.7	9	7.6
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	Pursuant to Section 1054.6 of the Jackson County Code, the Director of Finance and Purchasing recommends the award of a contract for Professional Survey Services for Rock Island Rail Corridor for the Public Works Department to Bartlett & West of Lee's Summit, MO as the best proposal received. After the selection of the best proposal, pricing was negotiated and is included in the Award Recommendation.	
CLEARANCE	<input checked="" type="checkbox"/> Tax Clearance Completed (Purchasing & Department) <input type="checkbox"/> Business License Verified (Purchasing & Department) N/A <input checked="" type="checkbox"/> Chapter 6 Compliance - Affirmative Action/Prevailing Wage (County Auditor's Office)	
ATTACHMENTS	Abstract of Bids Received, Award Recommendations Memorandum from Mr. Brian Gaddie, Director of Public Works Department and the pertinent pages of Bartlett & West's proposal.	
REVIEW	Department Director: 	Date: 7.26.2016
	Finance (Budget Approval): If applicable 	Date: 7/26/16
	Division Manager: 	Date: 7/28/16
	County Counselor's Office:	Date:

Fiscal Information (to be verified by Budget Office in Finance Department)

- This expenditure was included in the annual budget.
- Funds for this were encumbered from the \_\_\_\_\_ Fund in \_\_\_\_\_.
- There is a balance otherwise unencumbered to the credit of the appropriation to which the expenditure is chargeable and there is a cash balance otherwise unencumbered in the treasury to the credit of the fund from which payment is to be made each sufficient to provide for the obligation herein authorized.
- Funds sufficient for this expenditure will be/were appropriated by Ordinance # \_\_\_\_\_
- Funds sufficient for this appropriation are available from the source indicated below.

Account Number:	Account Title:	Amount Not to Exceed:

- This award is made on a need basis and does not obligate Jackson County to pay any specific amount. The availability of funds for specific purchases will, of necessity, be determined as each using agency places its order.
- This legislative action does not impact the County financially and does not require Finance/Budget approval.



ABSTRACT OF BIDS

RFQ: 47-16  
 DATE: 07/12/16  
 COMMODITY: Prof. Survey Services - Rock  
 Island Rail Corridor Project

NO	DESCRIPTION	UNIT	QTY	Anderson Survey Company	Surveying & Mapping LLC	Schmitz, King & Associates	Wilson & Company	TranSystems
				AMOUNT	AMOUNT	AMOUNT	AMOUNT	AMOUNT
	See Bid			see bid	see bid	see bid	see bid	see bid

Res. 19228

CERTIFICATION OF BID OPENING  
 BIDS WERE PUBLICLY  
 OPENED AND RECORDED

ON: July 12, 2016 BY

*Mary Sping*  
 CLERK OF THE LEGISLATURE  
*Grace M. K...*  
 PURCHASING



ABSTRACT OF BIDS

Res. 19228

NO	DESCRIPTION	UNIT	QTY	Bartlett & West	Olsson Associates	AMOUNT	AMOUNT	AMOUNT
				AMOUNT	AMOUNT			
	See Bid			<i>see bid</i>	<i>see bid</i>			

CERTIFICATION OF BID OPENING  
 BIDS WERE PUBLICLY  
 OPENED AND RECORDED  
 ON: July 13, 2016 BY  
[Signature]  
 CLERK OF THE LEGISLATURE  
[Signature]  
 PURCHASING



## JACKSON COUNTY Public Works Department

Res. 19228

Jackson County Technical Center  
303 West Walnut Street  
Independence, Missouri 64050  
jacksongov.org

(816) 881-4530  
Fax: (816) 881-4448

### Memorandum

To: Jessica Johnson, Senior Buyer, Purchasing  
From: Brian Gaddie, PE, Director of Public Works  
Date: July 25<sup>th</sup>, 2016  
RE: Evaluation of Qualifications: 47-16 Rock Island Survey Services

The Department of Public Works, under the direction of the Rock Island Rail Corridor Authority, determined the need for extensive survey services on the Rock Island Rail Corridor. With the assistance of the Purchasing Department, the Request for Qualifications 47-16 was issued. Seven responses to the advertisement were received and deliberated in accordance with Executive Orders #94-24 and #07-16.

The qualification selection committee, comprised of County associates including individuals from County Administration, Public Works and the Rock Island Rail Corridor Authority, gathered immediately after the packages were opened and recorded on the afternoon of July 12<sup>th</sup>, 2016. After carefully examining the qualified respondents we each prepared our scoring matrix per the request of the Purchasing Department in confidence. The scoring matrix was comprised of four qualifying categories which included: qualification and experience, knowledge of similar projects, portfolio history and schedule responsiveness.

Five of the seven respondents had qualified and compliant responses. The five respondents were scored according to the above categories and ranked appropriately, as indicated below.

- 1 – Bartlett and West
- 2 – Olsson and Associates
- 3 – Wilson and Company
- 4 – Anderson Surveying
- 5 – Survey and Mapping

Rejected: TranSystems and Schmitz, King and Associates

Negotiations began on Tuesday, July 19<sup>th</sup>, 2016 with Bartlett and West to secure a reasonable fee and schedule based on the approach stated in the qualification. After several days of deliberation, it was determined that Bartlett and West would be able to satisfy the necessary scope items within the schedule requested. The fee for these services was negotiated at \$575,000.

It is the recommendation for the Department of Public Works to award this work to Bartlett and West for the above fee. It is our belief that they will best serve the County with their extensive knowledge of the Rock Island corridor and similar type projects and their historical work product and performance.

Thank you for your consideration with this selection process.

*Frank White, Jr., County Executive*

JULY | 12 | 2016

Res. 19228

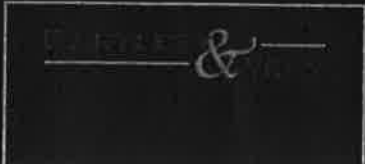


# STATEMENT OF QUALIFICATIONS

PROFESSIONAL SURVEY SERVICES FOR ROCK ISLAND RAILROAD CORRIDOR

JACKSON COUNTY, MO, DEPARTMENT OF PUBLIC WORKS

AN EMPLOYEE-OWNED COMPANY





228 NW Executive Way  
Lee's Summit, MO 64063  
816.525.3562  
www.bartwest.com

July 12, 2016

Jackson County Purchasing Department  
Room G-1, Ground Floor  
Jackson County Court House  
415 East 12th Street  
Kansas City, MO 64106

Re: Request for Qualifications for Professional Surveying Services No. 47-16

Dear Selection Committee:

Bartlett & West is proud to be Jackson County's on-call surveyor, and we have enjoyed the opportunity to serve you. We have been fortunate to work for the County on several past projects, and multiple Lee's Summit employee-owners live within your borders. By selecting Bartlett & West, you can be assured that you have a firm that is experienced in performing this work, will perform it efficiently, deliver it on schedule and will take pride in your project resulting in an accurate final product.

Bartlett & West has an unparalleled, intimate knowledge of the Rock Island Corridor within Jackson County. Our crews are quite possibly the only ones to have walked the entire length of this project from start to finish as part of our previous work for you. As your On-Call surveyors, Bartlett & West has completed two very recent and relevant surveys directly associated with the subject corridor. The first was an encroachment survey performed in 2015. Nine areas were surveyed and drawings delivered on time and under the proposed budget. This survey not only resulted in our crews being the railroad right-of-way, but also traversing the roadways and private properties to gain access to the right-of-way and the private structures necessary to understand the encroachments or nearby improvements.

The second survey, just recently in 2016, was to identify the centerline of the old railroad tracks through the corridor for property conveyance. This was a large survey for which Bartlett & West prepared 25 plat and legal descriptions of the centerline of the entire corridor. Dozens of the Public Land Survey corners were recovered and surveyed in establishing this centerline. Portions of the corridor boundary were analyzed to properly reestablish the railroad centerline. We know that this very recent experience will allow the Bartlett & West team of surveyors to complete the full boundary and topographic survey in the most efficient manner and on time when you need it so your project can proceed. We have also engaged the assistance of Taliaferro & Browne, Inc. as our MBE partner to add a survey crew with our team to advance the field work even faster than we had planned for earlier when we started on this work as your on-call surveyor.

The unparalleled familiarity with the land survey corners, nearby access issues, alignment, and vegetation or even bridge structure challenges will ensure that our team will have no learning curve and can get started immediately. In fact, we have already collected much of the boundary corner information in our previous work and your selection of Bartlett & West to complete this project will make the most of your prior investments.



We know to successfully complete this project, it is imperative that we understand the issues you face. We believe we have captured them in four themes:

1. **Accurate and complete boundary corners.**
2. **Accurate and complete topographic survey suitable for the design and construction of the final project.**
3. **Accurate depiction of encroachments onto the County's property so these encroachments can be addressed promptly.**
4. **Deliverable provided on time and on budget so the final project can proceed as planned.**

These themes are more fully explained in our Project Approach / Understanding.

### **PARTNERSHIP THROUGH SERVICE**

Bartlett & West's mission, mindset, and values make us an excellent partner for the County. The reason our company exists is to lead our communities to a better tomorrow. We believe in this project and the opportunity it presents for Jackson County. We will be proud to have played a part in making the Rock Island Trail a reality for users in the County. Our guiding principles are provided in our Focus 5 statement. Our goal is to collaborate with our partners to deliver outstanding surveying and engineering projects that put people first, minimize operation and maintenance costs, minimize risks to the county and provide multiple benefits for every dollar invested.

When we collaborate with clients, our primary focus is always to listen. This is how we get to know the individuals involved with the projects, and develop a clear, accurate, and complete project to meet their needs.

The County can be confident that Bartlett & West will deliver on our promises. We have a documented track record with Jackson County and other clients of our successful history of adhering to budgets and schedules, while delivering excellent results to our Land Survey clients. We encourage you to reach out to our references.

Bartlett & West has the latest in land surveying tools, experience, and mindset to work with the County to deliver projects that will serve the County for years to come and improve the lives of its residents.

We look forward to continue working with you on this exciting and interesting project. If you have any questions concerning our proposal, or would like to discuss our approach in further detail, please call Dustin Kaiser (573-659-6724).

Sincerely,

Handwritten signature of Dustin Kaiser in black ink.

Dustin Kaiser, PLS  
Project Manager

Handwritten signature of Kelly Sunderland in black ink.

Kelly Sunderland, PE  
Principle In Charge

Handwritten signature of Steve Langlie in black ink.

Steve Langlie  
Client Service Manager

# METHOD AND APPROACH



## OUR UNDERSTANDING/APPROACH

### ACCURATE AND COMPLETE BOUNDARY CORNERS

Bartlett & West prides ourselves in having the latest technology of land surveying equipment. Our field crews are outfitted with Trimble R10 GPS units along with Trimble S6 robotic total stations to do the boundary survey work. Crews also have the availability to use LiDAR scanning technology either with a mobile or terrestrial unit for the topographic survey. With LiDAR scanning technology, field crews can provide highly accurate, reliable and cost effective data acquisition in a three dimensional format with high resolution calibrated digital photos.

We will continue to work with Jackson County GIS personnel Vincent Brice and Gary Bindley to acquire copies of warranty deeds for each tract and copies of subdivision plats that adjoin the Rock Island Railroad Corridor. With our previous experience and knowledge of working with them on the centerline survey and the encroachment surveys for the Rock Island Corridor our coordination with them will be seamless.

Bartlett & West field crews will utilize the Missouri Department of Transportation GPS Real Time Network for horizontal and vertical control for this project. The horizontal coordinate system will be Missouri State Plane (West Zone) to coordinate with our previous surveys. The vertical coordinate system will be NAVD 88. Geoid will be Geoid Model 12A. This will be the same coordinate system that was utilized for our previous work with Jackson County for the centerline and encroachment surveys for the Rock

### Island Corridor.

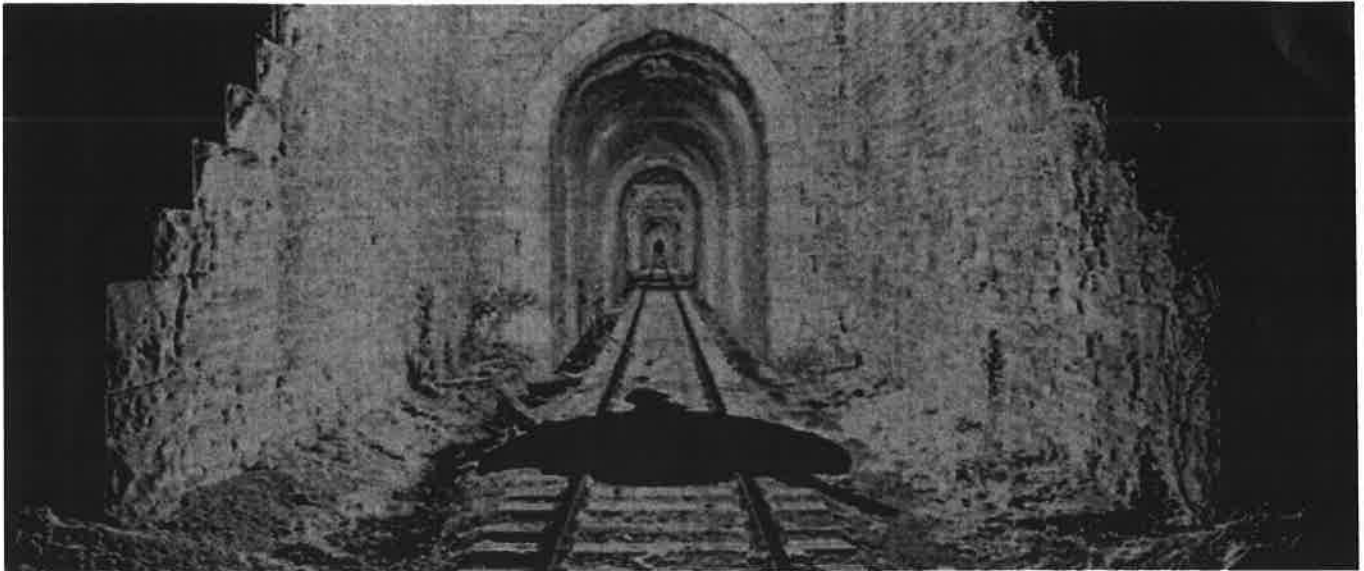
Field crews will field locate and verify the perimeter boundary corners of the recorded subdivision plats and unplatted tracts adjacent to the Rock Island Railroad Corridor. The survey data will then be downloaded so that boundary calculations can be performed based on the plats received and the public land corners previously acquired in our work on the centerline survey. Once boundary calculations have been completed Bartlett & West field crews will set a 5/8" rebar with aluminum cap at all corners of the boundary, unless an existing monument was found at the boundary corner.

### ACCURATE AND COMPLETE TOPOGRAPHIC SURVEY SUITABLE FOR THE FOR DESIGN AND CONSTRUCTION OF THE FINAL PROJECT

Before the topographic survey would begin Bartlett & West would arrange a utility coordination meeting to notify utility companies of the impending survey. We will be notifying Missouri One Call to get their utility locations marked in the field so that our surveyors may locate the existing utility lines to aid in the design of this project. Field crews would set Horizontal and Vertical Benchmarks along the project corridor, approximately 1 per mile. We would use our previous horizontal and vertical control from previous work where possible.

Bartlett & West field crews will locate topographic features within the Rock Island Corridor and overlap onto adjoining properties by a minimum of 25 feet. Features to be picked up include the following: top and toes of slopes, ditches, creeks, edge of timber, storm and sanitary sewer structures, invert elevations, pipe sizes and material, edge

# METHOD AND APPROACH



**Previous LiDAR scan of railroad tunnel by Bartlett & West**

of pavements, signs, buildings, fences, and utility poles. This does not include moveable items such as debris, abandoned cars, etc. Ground elevation shots will be taken at intervals sufficient to develop 1-foot contours for the project corridor.

Bridge structures over roadways, and the existing tunnel under Bannister Road will be surveyed using mobile and terrestrial LiDAR scanning survey equipment. This survey technology provides highly accurate, reliable and cost effective data acquisition in a three dimensional format with high resolution calibrated digital photos. These scans allow our office processing team to develop the topographic information, but will also be available in the future to the County and design team should more information about the bridges or tunnel be desired.

ACCURATE DEPICTION OF ENCROACHMENTS ON TO THE COUNTY'S PROPERTY SO THESE ENCROACHMENTS CAN BE ADDRESSED PROMPTLY

Bartlett & West will locate, identify and document any encroachments or man-made features within the boundary of the Rock Island Railroad Corridor or on personal property. We will be able to easily add these encroachments to the ones previously collected by our crews so the County has a comprehensive set of data regarding encroachments. This will assist the County in addressing any encroachments with property owners in a timely manner as the final project moves toward completion .

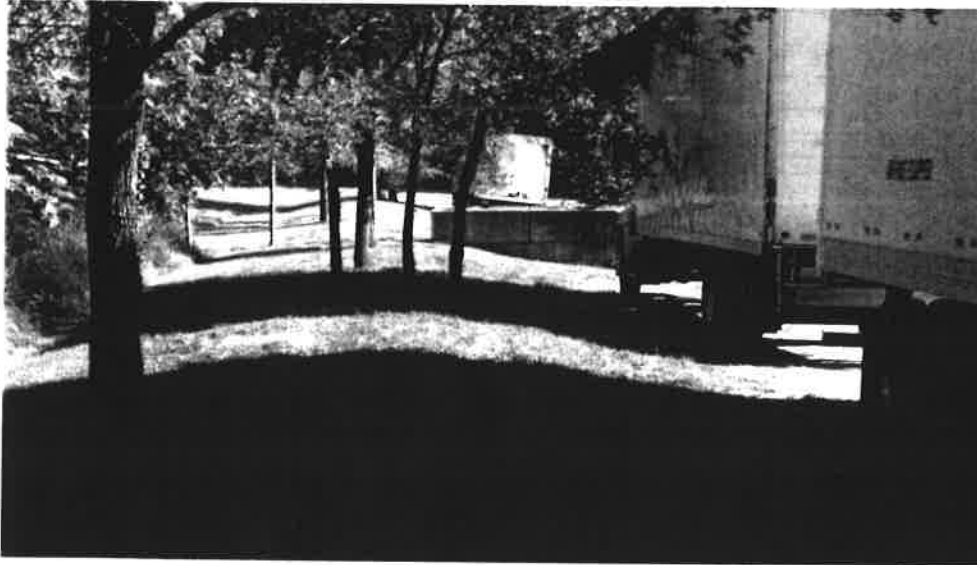
DELIVERABLE PROVIDED ON TIME AND ON BUDGET SO THE FINAL PROJECT CAN PROCEED AS PLANNED.

Bartlett & West has already been working in this corridor as explained in the cover letter and this approach. In fact, Bartlett & West had already been working with County staff through our on-call contract to develop the boundary and topographic surveys with a due date of Oct. 1, 2016. With the decision to go out for request for proposals, we understand this timeline for deliverables is now Nov. 1, 2016. This will be no problem for our team as we were already mobilized for the earlier delivery. Also, we have added a crew from Taliaferro & Browne, Inc. to complete our MBE participation and this crew will add to our already robust survey capacity to complete this project on time. The final project will not be waiting on the Bartlett & West team to start their work. We know the delivery timeline and are very comfortable with the requirements. We also have proven that we can do this kind of work on time and under budget. The encroachment and centerline surveys we completed in this corridor in 2015 and 2016, we delivered both surveys early and came in under our upper limit, saving the County money.

## DELIVERABLES

Bartlett & West CAD technicians will draft a plat of survey of the boundary of the Rock Island Railroad Corridor. The plat of survey will meet the Missouri minimum standards for a property boundary survey in the state of Missouri. A metes and bounds description will be written on the property for each quarter section that it passes through.

# METHOD AND APPROACH



**Left: Encroachment area in the Rock Island Corridor. Right: The Riegl VMX-450 Mobile Scanner.**

The project corridor passes through approximately 40 quarter sections.

Bartlett & West will draft an exhibit for each parcel with identified encroachments showing the locations of the encroachments in relation to the railroad right-of-way. The exhibits will be signed and sealed by a Professional Land Surveyor licensed in Missouri.

Bartlett & West will submit to Jackson County a copy of the boundary survey, encroachment exhibits, legal descriptions, and topographic survey drawings to review and comment on. Once comments are received, Bartlett & West will review the comments and make the noted revisions before submitting the final version. The boundary survey will be taken to the Jackson County Recorder of Deeds Office to have recorded.

Bartlett & West would provide to Jackson County the following:

- Boundary Survey signed and sealed by a licensed surveyor in the State of Missouri.
- Encroachment Exhibits on 11 x 17 sheets signed and sealed by a licensed surveyor in the state of Missouri.
- Legal descriptions signed and sealed by a licensed surveyor in the state of Missouri.
- Topographic Survey signed and sealed by a licensed surveyor in the state of Missouri.
- Digital cad drawings of the Boundary Survey,

Encroachment Exhibits Legal Descriptions and Topographic Survey. A text file of the survey data, copies of field notes and field sketches.



# SIMILAR EXPERIENCE



Bartlett & West has spent more than two decades delivering successful technology and infrastructure improvement projects to Class I Railroads. Our valued relationships with those rail companies began in the early 1990s and has continued today by deepening relationships and expanding our portfolio of services. Bartlett & West's passion for the rail industry and real solutions that the industry finds meaningful.

In the last eight years, Bartlett & West has invested heavily in new technology. Since 2007, we have implemented LiDAR (Light Detection and Ranging) technology to our field service offerings. This technology allows our field teams to collect millions of survey grade points per scan in a safe, cost-effective, and time saving manner. We capture this data quickly and without working in the foul of the track.

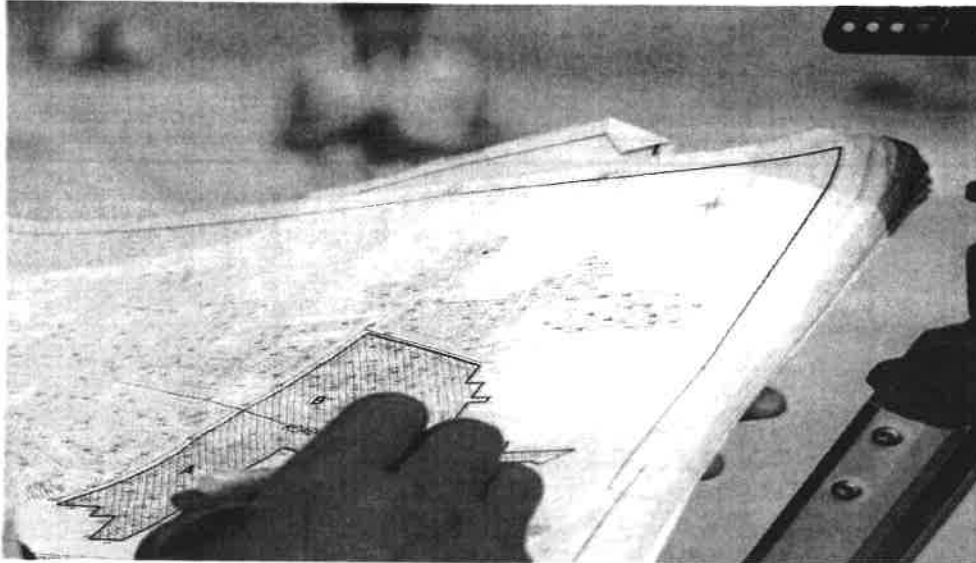
We take pride in being a solutions provider and trusted partner to rail industry. Followin are examples of our projects:

- **BNSF Hump Yards:** LiDAR collection for Argentine, Northtown, Barstow, Galesburg, Memphis, Pasco, Tulsa, and Balmer Hump Yards. Features, Alignment, grade, and geometry was provided for all the tracks in each yard.
- **Kirby Yard, Kirby TX:** LiDAR Design survey provided for the expansion of Kirby Yard Union Pacific.
- **Union Pacific, Neff Yard intermodal site, Kansas City, MO, Hanson Engineering:** LiDAR survey and topographic design survey provided for the pavement rehab project.

- **Argentine fast-track project, Kansas City, KS, Hanson Engineering:** LiDAR survey, topographic design survey, and construction layout provided for the BNSF Railway fast-track project.
- **KCS -Shreveport Terminal, Shreveport, LA:** Provided LiDAR scan of the entire Shreveport Terminal and feature extraction for engineering evaluation.
- **Jackson County On-Call Survey Services:** In the fall of 2014, Bartlett & West was awarded the Professional Survey Services contract for Jackson County. The following spring, we were asked to develop a scope and fee to complete encroachment surveys along the Rock Island Corridor. Jackson County officials identified and sent to Bartlett & West a list of 64 properties that had possible encroachment issues. The list of properties was then narrowed down from 64 to nine properties chosen by Jackson County to have encroachment surveys completed. On Aug. 10 we were given a notice-to-proceed for work to be completed by Aug. 31. We completed our assignment three days early.

In the winter of 2016, Bartlett & West was asked to develop a scope and fee to field locate and survey the centerline of the existing rails for the 17-mile Rock Island Corridor. As part of the scope Bartlett & West would draft a plat of survey and legal description of the centerline that would be tied down by bearings and distances to section and quarter section lines that the centerline crossed. Bartlett & West was issued a notice-to-proceed on Feb. 26 and was to have the survey completed by Apr. 18. Bartlett & West again

# SIMILAR EXPERIENCE



completed and delivered the centerline survey three days before deadline.

In the spring of 2016, Jackson County and Bartlett & West held meetings discussing the boundary and topographic surveys of the Rock Island Corridor. Bartlett & West has submitted to Jackson County separate scope and fees for each survey as well as combining the surveys into one project.

- **Arkansas Department of Parks and Tourism:** In 2001, Bartlett & West provided a project for the Arkansas Department of Parks and Tourism that is similar to the project for this proposal. In our work for parks and tourism, we helped establish the centerline and right-of-way of an inactive rail roadway in Arkansas. The project was located near Rohwer, AR and stretched to the Missouri river crossing near Watson, AR. The project was approximately 17-miles long. Bartlett & West established the control, the centerline of the existing railroad roadway, the limits of the right-of-way, and provided a plat of survey showing the entire 17-mile corridor. Parks and Tourism used the information and data we provided to establish a public-use trail.
- **Positive Train Control LiDAR Collection, KCS:** In 2015, Bartlett & West provided LiDAR services to capture 3,000 miles of main track and PTC/engineering features throughout 10 states in the U.S. for Kansas City Southern Railway (KCS).

Positive Train Control (PTC) is a braking system to be used to enhance the safety of train operations in

the United States. Bartlett & West used mobile LiDAR system and a KCS hi-rail vehicle to collect the 3,000 miles of track in three months. The mobile LiDAR data was collected to a high level of accuracy. This accuracy requirement allowed KCS to leverage the data not only for PTC purposes, but also for energy management modeling and engineering design purposes.

From the LiDAR data all of the track centerline was collected. In addition to the track centerline 25 specific PTC features and 35 specific engineering features were collected. There was a total of 200,000 features collected and more than 3,000 miles of roadway collected. The centerline of the track and the features extracted were placed in a Microstation dgn file.

- **Highway 5 Mapping and Surveying, Lebanon, MO:** In November 2012, the Missouri Department of Transportation (MoDOT) presented Bartlett & West with the task of establishing horizontal and vertical survey control, survey utility locates, design survey of the project corridor and base mapping for a sidewalk project along Highway 5 in Lebanon, MO.

With a tight time frame, unknown weather delays, and a high traffic area, along with being in a developed urban area, Bartlett & West decided the design survey would be completed using a Terrestrial 3D Laser Scanner made by Riegl. The horizontal and vertical control would be set with GPS technology utilizing the MoDOT Global Positioning System Reference Station Network. The control points had a minimum of four hours in between occupation times. Utility

# SIMILAR EXPERIENCE

locates were called in utilizing the Missouri One Call system. The utility mapping was completed using GPS technology and Robotic Total Stations. Field crews worked through the overnight hours to minimize traffic delays to the public, obstructions to the scanner, and to keep them out of harm's way.

This project was originally expected to be scanned via a LiDAR-equipped airplane. The project limits included multiple lanes of high density traffic through the main commercial street in Lebanon. Utilities such as water, waste water, telephone and signal conduit were all underground. Power and cable were suspended above ground. Aerial LiDAR required ground control and ground calibration, but would only take one flight pass to cover the entire project limits. The draft fee estimate was \$144,000.00 using aerial LiDAR which was above the \$100,000.00 limit set by MoDOT for on-call projects. Bartlett & West was able to substitute aerial with terrestrial LiDAR, bringing the fee down to \$70,000.

This project exemplifies how the use of smart technology can save clients both time and money, while keeping survey teams safe and capturing the most data points for an accurate scan.

- **Missouri Department of Transportation Statewide Aerial LiDAR Survey, Missouri:** This project was to furnish all the equipment, materials, and technical resources necessary to perform aerial photogrammetric services for the design and development of 14 specified highway projects. The list of projects was narrowed to eight locations for budgetary reasons by MoDOT. The services included analytics, compilation, and terrain modeling in addition to orthophoto imaging, ground targeting, aerial photography, LiDAR acquisition, and control surveying. The raw data collected was processed into data necessary for application in preliminary highway design.

The mapping accuracy ranged from Class A on projects located in urban areas with interstate type highway projects, to Class B for widening and resurfacing jobs. MoDOT provided the project locations and limits, the specifications for vertical aerial photography and access to the MoDOT Global Positioning System (GPS) Reference Station Network.

The Notice to Proceed was given in March 2014, which required the ground control and flights be coordinated very carefully so that targets and photo check points were set just prior to the flight. MBE requirements were met with MBE firms supplying survey crews in St. Louis and Kansas City. Aerial LiDAR data acquisition was by sub-consultant - Survey and Mapping, Inc. (SAM), Austin, TX. Each days' raw data was calibrated and orthophotos prepared for submittal to Bartlett & West's LiDAR processors.

Bartlett & West provided a team of trained LiDAR technicians who were assigned projects as calibrated data arrived each day from SAM. Using extraction software, LiDAR data was turned into detailed topographic mapping that featured ten technicians working at peak when work was progressing simultaneously on all eight projects with some in quality review roles. Contours and features such as lane and shoulder lines, structures, entrances, traffic signals, signing, curb lines, and sidewalks were distinguished from tree lines and building outlines. After all corrections were made, the data was packaged into the deliverable and went through an additional test by loading it into MoDOT's Geopak design software for a final review to simulate a MoDOT roadway designer opening the data for the first time.

- **Boundary Survey for Highway 350, Raytown, MO:** Bartlett & West was a sub consultant to CDM Smith and was to provide a boundary survey, topographic survey and digital base maps for the Highway 350 corridor from Westridge Road to East 69th Street in Raytown, Missouri to be used in rehabilitation of intersections to include a trail and pedestrian signals for the Missouri Department of Transportation. MoDOT had existing control and topography on the Raytown Road corridor from a previous project. Bartlett & West was to incorporate this data and control into the rest of the project to save on costs.

MoDOT provided Bartlett & West with copies of highway plans, right of way deeds and subdivision plats for the project corridor. Bartlett & West survey crews used this information to locate and verify property corners and right of way markers for the project. The survey data was then downloaded and reviewed to calculate the Highway 350 right-of-way. A Microstation drawing was created of the calculated right of way and centerline of Highway 350.

## SIMILAR EXPERIENCE



Survey crews completed a topographic and utility survey of Highway 350 from Westridge Road to East 69th Street in Raytown. Bartlett & West notified Missouri One Call to have utilities marked in the field so that our field crews could locate the existing utility lines to aid in the design of this project. Topographic features that were picked up included the following: top and toes of slopes, ditches, curbs, edges of pavement, edge of timber, storm and sanitary sewer structures, invert elevations, pipe sizes and material, signs, sidewalks, fences, and utility poles. The survey data was then downloaded and put into a base map. Contours were developed using the survey data from Bartlett & West as well as the survey data that was given to Bartlett & West by MoDOT and integrated into one Microstation drawing. Copies of the survey crews field notes were given to CDMSmith as well as the Microstation drawing of the utilities, right of way and centerline, and topographic base to be used for design.

# REFERENCES

## **Brian Gaddie**

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