

**County Distribution Agreement - ARPA Funds**

This County Distribution Agreement - ARPA Funds ("Agreement"), entered into on the date set forth below by and between the undersigned County, of the State of Missouri ("County"), and the undersigned Recipient ("Recipient") an entity which operates within said County (collectively the "Parties").

RECITALS

WHEREAS, on March 11, 2021, the American Rescue Plan Act ("ARPA") was signed into law by the President; and

WHEREAS, section 9901 of ARPA amended Title VI of the Social Security Act to add section 603 which established the Coronavirus Local Fiscal Recovery Fund for counties; and

WHEREAS, on May 10, 2021, the United States Department of Treasury ("Treasury") issued the Interim Final Rule, which took effect on May 17, 2021 and was open for public comment until July 16, 2021; and

WHEREAS, County has or will receive an allocation and distribution of Coronavirus Local Fiscal Recovery Funds pursuant to ARPA (the "ARPA Funds"); and

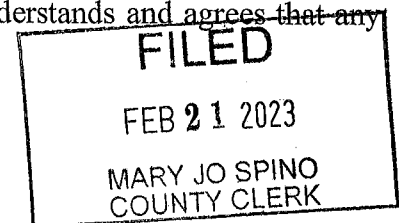
WHEREAS, Recipient has requested funds from the County to cover eligible uses and costs incurred due to the public health emergency with respect to the Coronavirus Disease 2019 (COVID-19), as set forth in the Application for County ARPA Funds, attached as Exhibit A ("Application") and incorporated herein by reference; and

WHEREAS, through said Application, Recipient has represented, warranted and attested to the County that it meets all requirements for receipt of a portion of the available funds (the "Funds") as described in said Application; and

WHEREAS, County has reviewed said Application and has authorized the distribution of ARPA funds pursuant to Resolution 21092, dated November 7, 2022, incorporated herein by reference;

NOW THEREFORE, for and in consideration of the mutual covenants and agreements herein set forth, and for other good and valuable consideration, receipt of which is hereby acknowledged, the parties hereby enter into the following agreement:

1. Purpose. The purpose of this Agreement is to make an award ("Award") and distribution of funds from the County to Recipient for eligible uses, including to cover Recipient's costs and expenses incurred due to COVID-19 (the "Funds"). Recipient agrees the Funds shall be used only in compliance with section 603(c) of the Social Security Act, Treasury's regulations implementing that section, and guidance issued by Treasury. The Funds shall be used exclusively in accordance with the provisions contained in this Agreement in conformance with state and federal law and for no other purpose. Further, Recipient agrees that Funds shall be used exclusively for the purposes described in Exhibit A. Recipient understands and agrees that any



deviations from the use of Funds, as described in Exhibit B, and as approved in this Agreement must have prior approval from the County's County Administrator, which is subject to the sole and absolute discretion of the County Administrator.

2. Assistance Listing. The Assistance Listing Number is 21.027 for ARPA Funds (formerly known as CFDA Number).

3. Uniform Administrative Requirements. The Award and Funds which are the subject of the Agreement are generally subject to the requirements set forth in the Uniform Administrative Requirements, Cost Principles, and Audit Requirements, 2 CFR Part 200 and Appendix II ("Uniform Guidance"), which are incorporated herein by reference as Appendix 1.

4. Funding Source; Publication. Any publications produced with funds from this award must display the following language: "This project [is being] [was] supported, in whole or in part, by federal award number SLFRP3406, awarded to Jackson County, Missouri, by the U.S. Department of the Treasury.

5. Representations and Warranties by Recipient. Recipient represents, warrants, and certifies that:

a. The undersigned individual has all necessary authority on behalf of the Recipient to request funds from the County from the allocation of funds to the County of ARPA Funds. Recipient represents and warrants that it has determined prior to engaging in any project using the Funds that it has the institutional, managerial, and financial capability to ensure proper planning, management, and completion of such project.

b. Recipient understands and agrees that the County will rely on the representations, warranties, and certifications set forth in Exhibit A – Application for County ARPA Funds and this Agreement as material representations in awarding and making a payment of Funds to Recipient. Recipient affirms that the information set forth in the Application is true, complete and accurate and affirms the statements made in Exhibit A as of the date of this Agreement.

c. Recipient expressly represents and warrants that it is eligible to receive the Funds in accordance with state and federal law, and that the Funds will be used exclusively for lawful expenditures pursuant to the requirements of ARPA and specifically as described in Exhibits A and B and awarded in this Agreement.

d. Recipient represents, warrants and agrees that the proposed uses of the Funds provided as a payment shall be used only to cover those costs that: (i) were incurred during the period beginning on March 3, 2021 and ending December 31, 2024, for one or more of the purposes enumerated in section 603(c)(1) of the Social Security Act; (ii) the Recipient has or will incur an obligation with respect to the costs by December 31, 2024; (iii) that is either for: (a) the purpose of responding to the public health emergency or its negative economic impacts, (b) responding to workers performing essential work during

the COVID-19 public health emergency by providing premium pay to eligible workers, (c) providing government services to the extent of the reduction in the Recipient's general revenue due to the COVID-19 public health emergency relative to revenues collected in the most recent full fiscal year of the Recipient prior to the emergency, or (d) to make necessary investments in infrastructure relating to clean water state revolving fund and drinking water state revolving fund investments, or broadband; and (iii) is not for: (a) deposit into any pension fund, (b) to directly or indirectly offset a reduction in the net tax revenue resulting from a covered change during the covered period, (c) use of ARPA funds as non-Federal match where prohibited, or (d) debt service, to satisfy a judgment or settlement, or to contribute to a "rainy day" fund.

e. Recipient agrees to comply with all other applicable Federal statutes, regulations, and executive orders and provide for compliance with ARPA, the Interim Final Rule issued by the Department of the Treasury as set forth in 31 CFR Part 35, any Final Rule issued by the Department of the Treasury, and any interpretive guidance by other parties in any agreements Recipient enters into with other parties relating to the ARPA Funds.

f. Recipient acknowledges, understands, and agrees that Funds provided as payment from the County to Recipient pursuant to this Agreement must adhere to official federal guidance issued or to be issued on what constitutes a necessary expenditure. Any funds expended by Recipient in any manner that does not adhere to official federal guidance shall be returned to the County.

g. Funds received pursuant to this Agreement shall not be used for expenditures for which Recipient has received any other emergency COVID-19 supplemental funding (whether state, federal or private in nature) for that same cost or expense.

h. Recipient may not use funds received pursuant to this Agreement to make a grant to any other local government, public entity, political subdivision, non-profit corporation, corporation, limited liability company, or other business entity, or individual unless: (1) the specific use of funds was expressly described in the Application set forth in Exhibit A, (2) except for uses approved by the County as set forth in Exhibit B, and (3) the funds awarded are used solely for necessary expenditures which satisfy the requirements set forth in paragraph 5.d. of this Agreement. Recipient is responsible for all documentation requirements set forth in this Agreement.

i. Recipient certifies by entering into this Agreement that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from entering into this Agreement by any federal or state department or agency. The term "principal" for purposes of this Agreement is defined as an officer, director, owner, partner, key employee or other person with primary

management or supervisory responsibilities, or a person who has a critical influence on or substantive control over the operations of Recipient.

j. Recipient agrees to promptly repay all funds paid to it under this Agreement should it be determined either that it was ineligible to receive the funds, or it made any material misrepresentation on Exhibit A.

k. Recipient certifies that the Funds shall not be used for any unlawful purpose, including but not limited to: (i) as a revenue replacement for lower than expected tax or other revenue collection; (ii) for expenditures for which Recipient is already receiving other emergency COVID-19 supplemental funding (whether state, federal or private in nature) for the same expense; or (iii) to engage in any other activity that is illegal under federal, state or local law.

l. Recipient understands and agrees that the County is under no obligation to distribute any additional funds other than identified in Exhibit A, even if Recipient believes circumstances have changed and Recipient requests additional funds.

6. Award and Distribution of Funds; Amount. Subject to the representations, warranties, covenants and agreements set forth in this Agreement, including the representations, warranties covenants, and agreements made by Recipient in the Application attached as Exhibit A, County agrees to distribute to Recipient the Funds ("Funds") in the amount of \$1,100,000.00 and for the purposes set forth and described in Exhibit A, subject to approval and execution of this Agreement. Recipient understands and agrees that the Funds will not be disbursed under this Agreement until such time as all required documentation is provided by Recipient, including supporting documents, and all required documents are approved and signed by Recipient and County. Recipient understands and acknowledges that all awards are subject to the availability of funds and any modifications or additional requirements that may be imposed by law. In the event of a change in ARPA or guidance issued by the United States Department of Treasury that affect the Decision and this Agreement, the obligations of the County under this Agreement may be terminated immediately.

7. Term. This Agreement shall be effective as of November 14, 2022, and shall remain in force and effect unless otherwise terminated as provided in this Agreement. Recipient must use the Funds to cover eligible costs incurred during the period that begins on November 14, 2022, and ends on December 31, 2024, subject to the requirements of paragraph 9, below.

8. Use of Funds. Recipient shall only use the Funds for the purposes and intended use of funds description set forth in the Application for County ARPA Funds set forth in Exhibit A, and this Agreement. Modification of Recipient's purpose and intended use of funds shall require prior written approval of the County's County Administrator. Recipient may not pay pre-award costs as defined in 2 C.F.R. § 200.458 from the Funds.

9. Unused Funds. Funds awarded and paid from the County to Recipient pursuant to this Agreement that are not obligated by December 31, 2024, shall be returned to the County on or before March 31, 2025, and any funds obligated by December 31, 2024, and not expended on or before September 30, 2026, shall be returned to the County on or before October 31, 2026.

10. Documentation and Reporting Use of Funds. Recipient agrees to comply with any reporting obligations established by Treasury as it relates to the ARPA Funds and to maintain the records necessary in order to comply with the requirements of ARPA; the requirements of the Interim Final Rule and, once issued, the Final Rule; the requirements of the Department of Treasury Compliance and Reporting Guidance, and shall maintain proper documentation supporting determinations of costs and applicable compliance requirements, and satisfaction of those requirements as part of award management, internal controls, and subrecipient oversight and management and to demonstrate that the Funds have been used in accordance with section 603 of the Social Security Act. Recipient agrees to utilize appropriate fund accounting, auditing, monitoring and such evaluation procedures as may be necessary to create, keep and maintain such records as the federal, state, and County may prescribe, and in order to assure fiscal control, proper management, and efficient disbursement of funds received under this Agreement.

Recipient shall maintain all books, records and other financial documents sufficient to evidence compliance with section 603(c) of the Act, Treasury's regulations and guidance, and federal reporting and audit-related requirements. Recipient shall make all books, records and other documents available at all reasonable times for inspection and copying by the County in order to ensure compliance with ARPA, U.S. Department of Treasury Guidance, the intended purposes of the Funds as set forth in Exhibit A, audit requirements, and this Agreement. Copies of all records (including electronic records) shall be furnished to the County at no cost and upon request. Treasury Office of Inspector General and the Government Accountability Office, or their authorized representatives shall have the right of access to records (electronic or otherwise) of Recipient in order to conduct audits or investigations. Records shall be maintained by Recipient for a period of five (5) years after all Funds have been expended, or returned to the County or Treasury, whichever is later.

Recipient agrees to timely complete and submit any and all financial reports, as requested by the County. Failure by Recipient to timely submit Supporting Documentation may result in an Event of Default. The County may require Supporting Documentation furnished by the Recipient from time to time regarding the use of Funds with respect to the approved and necessary expenditures listed in the Application and Notice of Decision.

Recipient shall maintain, retain and provide documentation to County relating to the use of Funds upon request, including, but not limited to (collectively referred to as "Supporting Documentation"):

- a. Procurement and conflict of interest policies;
- b. Documentation of compliance with applicable procurement laws and requirements for Recipient;
- c. Publication and/or posting documentation relating to procurement;
- d. Requests for bids/requests for proposals/requests for qualifications;
- e. Estimates, quotes, bid responses, proposals, or statements of qualifications;
- f. Sales receipts and invoices;
- g. Contracts for the purchase of goods or services;
- h. Proof of evaluation and award (e.g., minutes, approval by authorized representative, etc.);
- i. Purchase orders, payment requests, or applications for payment;
- j. Proof of payment (e.g., cancelled checks, direct payment information, bank statements, credit card statements);
- k. Proof of delivery on goods (e.g., copies of packing slips or bills of lading);
- l. Proof of services rendered (e.g., statements confirming services provided by a vendor or contractor);
- m. Effort Verification Reports and other personnel information (e.g., wage rates, job duties, etc., if applicable);
- n. Direct solicitation lists (if applicable);
- o. Documentation of sole source procurement (if applicable);
- p. Bonding and insurance documents (if applicable)
- q. E-Verify documentation;
- r. Financial reports regarding the use of the Funds;

- s. Any other documents reasonably required by the County, its auditors, or the United States with respect to compliance with the requirements of ARPA and related guidance.

11. Compliance with Applicable Laws and Regulations.

a. Recipient shall comply with all applicable federal, state and local laws, rules, regulations and ordinances, and all provisions required thereby to be included herein are incorporated by reference. Failure to comply with any applicable requirements by Recipient shall be deemed a material breach of this Agreement. The enactment or modification of any applicable federal statute or the promulgation of rules or regulations thereunder after execution of this Agreement shall be reviewed by the County and Recipient to determine whether the provisions of this Agreement require formal modification.

b. Recipient agrees that it has, or at the appropriate time, will comply with all applicable bidding and procurement requirements pursuant to policy, local, state, or federal law regarding the use of the Funds and that Recipient has, or will provide all necessary Supporting Documentation evidencing compliance with bidding and procurement laws.

c. The Recipient and its agents shall abide by all applicable conflict of interest laws and requirements that apply to persons who have a business relationship with the County. If Recipient has knowledge, or would have acquired knowledge with reasonable inquiry, that a County officer, employee, or special appointee, has a conflict of interest, Recipient shall ensure compliance with all applicable disclosure requirements prior to the execution of this Agreement. If Recipient or its agents violate any applicable conflict of interest laws or requirements, the County may, in its sole discretion, terminate this Agreement immediately upon notice to Recipient.

d. Recipient understands and agrees to maintain a conflict of interest policy consistent with 2 C.F.R. § 200.318(c) and that such conflict of interest policy is applicable to each activity funded under this award. Recipient must disclose in writing to Treasury or County, as appropriate, any potential conflict of interest affecting the Funds in accordance with 2 C.F.R. § 200.112.

e. Recipient certifies by entering into this Agreement that neither it nor its principal(s) is presently in arrears in payment of taxes, permit fees or other statutory, regulatory or judicially required payments and taxes to the County, State of Missouri or federal government.

f. Recipient warrants that it has no current, pending or outstanding criminal, civil, or enforcement actions initiated by any federal, state, or local government.

g. Recipient agrees to obtain and maintain all required permits, licenses, registrations, and approvals, and shall comply with all health, safety, and environmental statutes, rules, or regulations with respect to uses of the Funds.

- h. Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards, 2 C.F.R. Part 200, shall apply to this award, including Subpart F – Audit Requirements of the Uniform Guidance, implementing the Single Audit Act, other than such provisions as Treasury may determine are inapplicable and subject to such exceptions as may be provided by Treasury
- i. Universal Identifier and System for Award Management (SAM), 2 C.F.R. Part 25 pursuant to which the award term set forth in Appendix A to 2 C.F.R. Part 25 is incorporated herein by reference.
- j. Reporting Subaward and Executive Compensation Information, 2 C.F.R. Part 170, pursuant to which the award term set forth in Appendix A to 2 C.F.R. Part 170 is incorporated herein by reference.
- k. OMB Guidelines to Agencies on Governmentwide Debarment and Suspension, 2 C.F.R. Part 180, including the requirement to include a term or condition in all lower tier covered transactions that the award is subject to 2 C.F.R. Part 180 and Treasury's implementing regulation at 31 C.F.R. Part 19.
- l. Recipient Integrity and Performance Matters, pursuant to which the award term set forth in 2 C.F.R. Part 200, Appendix XIII to Part 200 is incorporated herein by reference.
- m. Governmentwide Requirements for Drug-Free Workplace, 31 C.F.R. Part 20 is incorporated herein by reference.
- n. Restrictions on Lobbying, 31 C.F.R. Part 21 is incorporated herein by reference.
- o. Uniform Relocation Assistance and Real Property Acquisitions Act of 1970 and implementing regulations is incorporated herein by reference.
- p. Generally applicable federal environmental laws and regulations are incorporated herein by reference.
- q. Recipient agrees to the Assurances of Compliance with Civil Rights Requirements, attached hereto and incorporated herein by reference as Appendix 2.
- r. Recipient agrees to comply, as applicable, with requirements of the Hatch Act, which limit certain political activities of state or local government employees whose principal employment is in connection with an activity financed in whole or in part by the federal assistance which is the subject of this Agreement.
- s. Recipient is encouraged to adopt and enforce on-the-job seat belt policies and programs for its employees when operating owned, rented, or personal vehicles.
- t. Recipient is encouraged to adopt and enforce policies that ban text message while driving, and to establish workplace safety policies to decrease accidents caused by distracted drivers.



12. Debarment and Suspension. Recipient certifies by entering into this Agreement that it is not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from entering into this Agreement by any federal agency or by any department, agency or political subdivision of the State of Missouri. The term “principal” for purposes of this Agreement means an officer, director, owner, partner, key employee or other person with primary management or supervisory responsibilities, or a person who has a critical influence on or substantive control over the operations of Recipient.

13. Events of Default and Remedies. The occurrence of any one or more of the following events shall constitute an “Event of Default” under this Agreement, provided, that if any such Event of Default is capable of being cured, such Event of Default shall not be deemed to be an Event of Default unless Recipient fails to cure such Event of Default within the time period specified below following receipt of written notice from County notifying Recipient of such Event of Default (each, a “Cure Period”):

a. False Statement. Any statement, representation or warranty by Recipient contained in the Application or Supporting Documents, in any funding request, this Agreement, or any other document submitted to the County related to this Agreement which is determined to be false, contains a material misrepresentation, or is misleading, as determined by the County, its auditors, or the federal government.

b. Failure to Comply with Applicable Laws. Recipient fails to comply with or satisfy any of the requirements described in paragraph 27.

c. Failure to Perform; Breach. Recipient fails to perform or breaches any obligation or requirement of this Agreement, or makes an unauthorized use of the Funds, including, by way of example, but not limited to:

i. Use of Funds that are different than the Purpose and Intended Use of Funds as detailed in Exhibit A, Application for County ARPA Funds;

ii. Use of Funds for a purpose not described in Exhibit A, even for purposes that might otherwise be considered an eligible use of funds had the use been approved by County;

iii. Use of Funds for purposes that are not necessary expenditures incurred due to the public health emergency with respect to the Coronavirus Disease 2019 (COVID-19) pursuant to the requirements of ARPA;

iv. Use of Funds for costs incurred outside the time period of November 14, 2022, through December 31, 2024; or

v. Failure to return funds that have not been obligated by December 31, 2024, by March 31, 2025.

vi. Failure to refund funds that have been obligated by December 31, 2024, but that have not been expended on or before September 30, 2026, by October 31, 2026.

d. Failure to Provide Supporting Documents and Information. Recipient fails to provide Supporting Documentation, including, but not limited to financial reports, books, records, and other documents reasonably required by the County relating to the subject matter of this Agreement, subject to a ten (10) day Cure Period.

e. Voluntary or Involuntary Insolvency. Recipient: (i) files or has filed against it a petition for relief, reorganization or any other petition in bankruptcy or for liquidation or to take advantage of any bankruptcy, insolvency or other debtors' relief law; (ii) makes an assignment for the benefit of its creditors; (iii) consents to the appointment of a custodian, receiver, trustee or other officer with similar powers, or a court or government authority enters an order appointing a custodian, receiver, trustee, or other officer with similar powers, and such order is not vacated within ten (10) days; (iv) has an order entered against it for relief or approving a petition for relief, reorganization or any other petition in bankruptcy or for liquidation or to take advance of any bankruptcy, insolvency or other debtors' relief law, and such order is not vacated within ten (10) days; or (v) has an order entered dissolving, winding-up or liquidating Recipient.

f. Determination regarding ARPA. Use of the Funds for purposes that are determined not to be eligible, compliant with, or used in a manner consistent with the requirements of section 603 of the Social Security Act, as determined by the County, an independent auditor, the United States Department of Treasury, or other agency charged with evaluating compliance with the requirements of ARPA, including the review and evaluation of internal controls, monitoring and management, and audit requirements.

g. Recoupment Request or Demand to County. A request or demand is made to the County by an independent auditor or the United States to repay any of the Funds awarded to Recipient, subject to a determination by the County of the correctness and appropriateness of the request or demand. In such event, County shall provide written notice to the Recipient of the nature and extent of the request or demand, and, subject to the obligations of Recipient pursuant to paragraph 12, County and Recipient may mutually agree to the appropriate course of action under the circumstances.

h. Other Breach. The breach of any other material term or condition of this Agreement.

14. Remedies Upon Event of Default. Upon and during the continuance of an Event of Default, County may take any of the following actions, individually or in combination with any other remedy available hereunder or under applicable laws, rules and regulations. The remedies contained herein are in addition to all other remedies available to County at law or in equity by

statute or otherwise and the exercise of any such remedy shall not preclude or in any way be deemed to waive any other remedy.

a. Termination. County may terminate this Agreement and the Notice of Decision by giving a written termination notice to Recipient (“Termination Notice”) and, on the date specified in such notice, all rights (but not the obligations) of Recipient under this Agreement shall terminate. Upon termination of this Agreement, County shall have no further obligation to disburse Funds to Recipient, whether or not the entire amount of Funds have been disbursed to Recipient.

b. Withholding of Funds. County may withhold all or any portion of Funds not yet disbursed pursuant to this Agreement or any other agreement with Recipient, regardless of whether Recipient has previously submitted an Application or whether County has approved a disbursement of Funds requested in any Application or funding request, or regardless of whether County has issued a Notice of Decision in favor of Recipient.

c. Offset. County may offset against all or any portion of undisbursed Funds to Recipient or against any amount or payment due to Recipient under any other statutory provision or agreement between Recipient and County an amount equal the amount of Funds related to the Event of Default. In the event Recipient maintains an ad valorem real estate and/or personal property tax levy in the County, and there exists an Event of Default, Recipient consents to and agrees that as a condition of the award of and payment of Funds pursuant to this Agreement, County shall have the right, but not the obligation, to withhold from ad valorem real estate and/or personal property tax collections an amount that is equal to the Funds which are the subject of the Event of Default. In such event, County shall provide written notice to Recipient of the intent to offset (“Offset Notice”).

d. Repayment of Funds. County may demand the immediate return of any previously disbursed Funds that have been claimed, received, expended, or used by Recipient in breach of the terms of this Agreement or that are the subject of an Event of Default, together with interest thereon from the date of disbursement at the interest rate set forth in subparagraph f, or the maximum rate permitted under applicable law (“Repayment Notice”). Recipient agrees to repay all Fund amounts which are the subject of a Repayment Notice within thirty (30) days.

e. Attorneys’ Fees. If any legal action or other proceeding is brought for the enforcement of this Agreement by County, or because of an Event of Default, if the County is the substantially prevailing party, the County shall be entitled to recover reasonable attorneys’ fees, litigation expenses, and other costs incurred in the action or proceeding, in addition to any other relief to which it may be entitled.

f. Interest. For any amount of Funds which are the subject of an Event of Default, Recipient shall be obligated to pay interest at the rate of 18% per annum, or the

maximum rate permitted under applicable law, calculated from the date of disbursement to Recipient to the date the Funds are repaid to the County.

g. False Statements. Making false statements or claims in connection with this award constitutes a violation of federal law and may result in criminal, civil, or administrative sanctions, including fines, imprisonment, civil damages and penalties, debarment from participation in federal awards or contracts, and any other remedy available by law.

h. Other Remedial Actions. In the event of Recipient's noncompliance with section 603 of the Act, other applicable laws, Treasury's implementing regulations, guidance, or any reporting or other program requirements, County and Treasury may impose additional conditions on the receipt of funds, if any, or take other available remedies as set forth in 2 C.F.R. § 200.339. In the case of a violation of section 603(c) of the Act regarding the use of Funds, previous payments shall be subject to recoupment.

15. Funding Termination. If prior to the disbursement of Funds to Recipient, the Funds shall become unavailable for any or no reason, this Agreement shall terminate.

16. Governing Law. This Agreement shall be governed, construed, and enforced in accordance with the laws of the State of Missouri without regard to its conflict of laws rules. Suit, if any, must be brought in the Circuit Court of the County in which the Notice of Decision was issued.

17. Discrimination.

a. Recipient agrees to comply with Title VI of the Civil Rights Act of 1964 and Treasury's implementing regulations at 31 C.F.R. Part 22, which prohibit discrimination on the basis of race, color, or national origin under programs or activities receiving federal financial assistance.

b. Recipient agrees to comply with the requirements of the Fair Housing Act, Title VII of the Civil Rights Act of 1968 which prohibits discrimination in housing on the basis of race, color, religion, national origin, sex, familial status, or disability.

c. Recipient agrees to comply with the requirements of Section 504 of the Rehabilitation Act of 1973, as amended, which prohibits discrimination on the basis of disability under any program or activity receiving federal financial assistance.

d. Recipient agrees to comply with the requirements of the Age Discrimination Act of 1975, as amended and Treasury's implementing regulations at 31 C.F.R. Part 23, which prohibit discrimination on the basis of age in programs or activities receiving federal financial assistance.

e. Recipient agrees to comply with Title II of the Americans with Disabilities Act of 1990, as amended, which prohibits discrimination on the basis of disability under programs, activities, and services provided or made available by state and local governments or instrumentalities or agencies thereto.

18. Whistleblower Protection. Recipient may not discharge, demote, or otherwise discriminate against an employee in reprisal for disclosing to any of the following persons or entities, information that the employee reasonably believes is evidence of gross mismanagement of a federal contract or grant, a gross waste of federal funds, an abuse of authority relating to a federal contract or grant, a substantial and specific danger to public health or safety, or a violation of law, rule, or regulation related to a federal contract or grant: (i) a member of Congress or a representative of a committee of Congress; (ii) an Inspector General; (iii) the Government Accountability Office; (iv) a Treasury employee responsible for contract or grant oversight or management; (v) an authorized official of the Department of Justice or other law enforcement agency; (vi) a court or grand jury; or (vii) a management official or other employee of County, contractor, or subcontractor who has the responsibility to investigate, discover, or address misconduct. Recipient shall inform its employees in writing of the rights and remedies provided under this section, the predominant native language of the workforce.

19. No Assignment. This Agreement and all rights, privileges, duties and obligations of Recipient hereto shall not be assigned or delegated by Recipient. Recipient is expressly prohibited from distributing the Funds to any other entity without the express written approval from County.

20. Indemnification. Recipient agrees, to the fullest extent permitted by law, to defend, indemnify, and hold harmless County, its office holders, employees, and agents, from and against any and all claims, liabilities, losses and expenses (including reasonable attorney's fees) directly, indirectly, wholly or partially arising from or in connection with any act or omission of Recipient, its officers, directors, employees or agents, or any other person affiliated with Recipient in applying for or accepting the Funds, in the use or expenditure of the Funds, or any other matters arising out of or relating to the Application, the Notice of Decision, or this Agreement.

21. No Agency. Recipient is solely responsible for all uses, expenditures, and activities supported by the Funds. Nothing contained in this Agreement shall be construed so as to create a partnership, agency, joint venture, employment, or any other type of relationship. Recipient shall not represent itself as an agent of the County for any purpose and acknowledges that it does not have authority to bind the County in any manner whatsoever.

22. Notice to Parties. Whenever any notice, statement or other communication is required under this Agreement, it will be sent by first class U.S. mail service to the address listed for County or Recipient, respectively, set forth in the signature page to this Agreement.

23. Captions. The captions in this Agreement are inserted only for the purpose of convenient reference and shall not be construed to define, limit or prescribe the scope or intent of this Agreement or any part thereof.

24. Entire Agreement. Recipient acknowledges and agrees that this Agreement represents the entire agreement between Recipient and County with respect to the subject matter addressed herein. The terms of this Agreement may be modified only by a writing signed by duly authorized representatives of both parties.

25. Authority. The undersigned persons signing this Agreement on behalf of Recipient and County represent and warrant that the appropriate governing body, board, or person has authorized and approved this Agreement and the undersigned persons have the requisite legal authority and power to execute this Agreement, and to bind the respective party to the obligations contained herein. This Agreement constitutes a valid and binding obligation of Recipient, enforceable against Recipient in accordance with its terms. Further, to the undersigned's knowledge, neither the undersigned nor any other member, employee, representative, agent or officer of Recipient or County, directly or indirectly, has entered into or been offered any sum of money or other consideration for the execution of this Agreement other than that which appears upon the face hereof.

26. Employment of Unauthorized Aliens. Pursuant to §285.530, RSMo., Recipient assures that it does not knowingly employ, hire for employment, or continue to employ an unauthorized alien to perform work within the State of Missouri.

27. Other Financial Assistance. The Fund payments which are the subject of this Agreement shall be considered "other financial assistance" pursuant to 2 C.F.R. § 200.40.

28. Federal Financial Assistance. The Fund payments which are the subject of this Agreement are considered federal financial assistance subject to the Single Audit Act, 31 U.S.C. §§ 7501-7507, and the related provisions of the Uniform Guidance, 2 C.F.R. §203 regarding internal controls; §§200.330 through 200.332 regarding subrecipient monitoring and management, and subpart F regarding audit requirements, the requirements of which are incorporated herein by reference as though fully set forth herein.

29. Incorporation of ARPA Requirements. The following provisions and requirements are incorporated into this Agreement by reference, as though fully set forth herein:

- a. Section 603 of the Social Security Act, as added by section 9901 of ARPA, which established the Coronavirus Local Fiscal Recovery Fund ("ARPA");
- b. United States Department of Treasury, Interim Final Rule, 31 CFR 35, Federal Register, Vol. 86, No. 93;
- c. Any Final Rule issued by United States Department of Treasury;

- d. United States Department of Treasury, Coronavirus State and Local Fiscal Recovery Funds, Frequently Asked Questions, updated November 15, 2021;
- e. United States Department of Treasury, Compliance and Reporting Guidance, State and Local Fiscal Recovery Funds, updated September 30, 2021;
- f. United States Department of Treasury, Treasury's Portal for Recipient Reporting, State and Local Fiscal Recovery Funds, updated August 9, 2021;
- g. Any and all subsequent guidance issued by the United States, including the Department of Treasury or other federal agencies relating to ARPA.

IN WITNESS WHEREOF, the Parties hereto have caused this Agreement to be duly executed as of the undersigned date.

JACKSON COUNTY

By: \_\_\_\_\_

Date: \_\_\_\_\_

Name: Frank White, Jr.  
Title: County Executive

Approved as to Form:

Address: 415 E 12<sup>th</sup> Street, 2<sup>nd</sup> Floor  
Kansas City, MO 64106

*[Signature]*  
Bryan Covinsky, County Counselor

Attest:

*[Signature]*  
Mary Jo Spino, Clerk of the County Legislature

**RECIPIENT, SAMUEL U. RODGERS HEALTH CENTER**

I certify under the penalties of perjury set forth in Section 575.040, RSMo., that I have read the above Agreement and my statements contained herein are true and correct to the best of my knowledge.

By: \_\_\_\_\_

Date: \_\_\_\_\_

Name: \_\_\_\_\_

Title: \_\_\_\_\_

Address: Samuel U. Rodgers Health Center  
825 Euclid Ave.  
Kansas City, MO 64124-4920

Subscribed and sworn to before me this 9 day of February, 2023.



MONIQUE PROCTOR  
My Commission Expires  
May 18, 2024  
Jackson County  
Commission #20736958

*[Signature]*  
Notary Public

My Commission Expires:

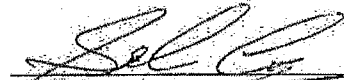
May 18, 2024



**REVENUE CERTIFICATE**

I hereby certify that there is a balance otherwise unencumbered to the credit of the appropriation to which this contract is chargeable, and a cash balance otherwise unencumbered in the treasury from which payment is to be made, each sufficient to meet the obligation of \$1,100,000.00

2-20-2013  
Date

  
\_\_\_\_\_  
Director of Finance and Purchasing  
Account No. 050-7801-56789

PC 780122002 000 ML

Resolution  
21092  
JCRFP 81-22

## Appendix 2

OMB Approved No. 1505-0271

Expiration Date: November 30, 2021

### **ASSURANCES OF COMPLIANCE WITH CIVIL RIGHTS REQUIREMENTS**

#### **ASSURANCES OF COMPLIANCE WITH TITLE VI OF THE CIVIL RIGHTS ACT OF 1964**

As a condition of receipt of federal financial assistance from the Department of the Treasury, the recipient named below (hereinafter referred to as the "Recipient") provides the assurances stated herein. The federal financial assistance may include federal grants, loans and contracts to provide assistance to the Recipient's beneficiaries, the use or rent of Federal land or property at below market value, Federal training, a loan of Federal personnel, subsidies, and other arrangements with the intention of providing assistance. Federal financial assistance does not encompass contracts of guarantee or insurance, regulated programs, licenses, procurement contracts by the Federal government at market value, or programs that provide direct benefits.

The assurances apply to all federal financial assistance from or funds made available through the Department of the Treasury, including any assistance that the Recipient may request in the future.

The Civil Rights Restoration Act of 1987 provides that the provisions of the assurances apply to all of the operations of the Recipient's program(s) and activity(ies), so long as any portion of the Recipient's program(s) or activity(ies) is federally assisted in the manner prescribed above.

1. Recipient ensures its current and future compliance with Title VI of the Civil Rights Act of 1964, as amended, which prohibits exclusion from participation, denial of the benefits of, or subjection to discrimination under programs and activities receiving federal financial assistance, of any person in the United States on the ground of race, color, or national origin (42 U.S.C. § 2000d *et seq.*), as implemented by the Department of the Treasury Title VI regulations at 31 CFR Part 22 and other pertinent executive orders such as Executive Order 13166, directives, circulars, policies, memoranda, and/or guidance documents.

2. Recipient acknowledges that Executive Order 13166, "Improving Access to Services for Persons with Limited English Proficiency," seeks to improve access to federally assisted programs and activities for individuals who, because of national origin, have Limited English proficiency (LEP). Recipient understands that denying a person access to its programs, services, and activities because of LEP is a form of national origin discrimination prohibited under Title VI of the Civil Rights Act of 1964 and the Department of the Treasury's implementing regulations. Accordingly, Recipient shall initiate reasonable steps, or

comply with the Department of the Treasury's directives, to ensure that LEP persons have meaningful access to its programs, services, and activities. Recipient understands and agrees that meaningful access may entail providing language assistance services, including oral interpretation and written translation where necessary, to ensure effective communication in the Recipient's programs, services, and activities.

3. Recipient agrees to consider the need for language services for LEP persons when Recipient develops applicable budgets and conducts programs, services, and activities. As a resource, the Department of the Treasury has published its LEP guidance at 70 FR 6067. For more information on taking reasonable steps to provide meaningful access for LEP persons, please visit <http://www.lep.gov>.

4. Recipient acknowledges and agrees that compliance with the assurances constitutes a condition of continued receipt of federal financial assistance and is binding upon Recipient and Recipient's successors, transferees, and assignees for the period in which such assistance is provided.

5. Recipient acknowledges and agrees that it must require any sub-grantees, contractors, subcontractors, successors, transferees, and assignees to comply with assurances 1-4 above, and agrees to incorporate the following language in every contract or agreement subject to Title VI and its regulations between the Recipient and the Recipient's sub-grantees, contractors, subcontractors, successors, transferees, and assignees:

*The sub-grantee, contractor, subcontractor, successor, transferee, and assignee shall comply with Title VI of the Civil Rights Act of 1964, which prohibits recipients of federal financial assistance from excluding from a program or activity, denying benefits of, or otherwise discriminating against a person on the basis of race, color, or national origin (42 U.S.C. § 2000d et seq.), as implemented by the Department of the Treasury's Title VI regulations, 31 CFR Part 22, which are herein incorporated by reference and made a part of this contract (or agreement). Title VI also includes protection to persons with "Limited English Proficiency" in any program or activity receiving federal financial assistance, 42 U.S.C. § 2000d et seq., as implemented by the Department of the Treasury's Title VI regulations, 31 CFR Part 22, and herein incorporated by reference and made a part of this contract or agreement.*

6. Recipient understands and agrees that if any real property or structure is provided or improved with the aid of federal financial assistance by the Department of the Treasury, this assurance obligates the Recipient, or in the case of a subsequent transfer, the transferee, for the period during which the real property or structure is used for a purpose for which the federal financial assistance is extended or for another purpose involving the provision of similar services or benefits. If any personal property is provided, this assurance obligates the Recipient for the period during which it retains ownership or possession of the property.

7. Recipient shall cooperate in any enforcement or compliance review activities by the Department of the Treasury of the aforementioned obligations. Enforcement may include investigation, arbitration, mediation, litigation, and monitoring of any settlement agreements that may result from these actions. The Recipient shall comply with information requests, on-

site compliance reviews and reporting requirements.

8. Recipient shall maintain a complaint log and inform the Department of the Treasury of any complaints of discrimination on the grounds of race, color, or national origin, and limited English proficiency covered by Title VI of the Civil Rights Act of 1964 and implementing regulations and provide, upon request, a list of all such reviews or proceedings based on the complaint, pending or completed, including outcome. Recipient also must inform the Department of the Treasury if Recipient has received no complaints under Title VI.

9. Recipient must provide documentation of an administrative agency's or court's findings of non-compliance of Title VI and efforts to address the non-compliance, including any voluntary compliance or other agreements between the Recipient and the administrative agency that made the finding. If the Recipient settles a case or matter alleging such discrimination, the Recipient must provide documentation of the settlement. If Recipient has not been the subject of any court or administrative agency finding of discrimination, please so state.

10. If the Recipient makes sub-awards to other agencies or other entities, the Recipient is responsible for ensuring that sub-recipients also comply with Title VI and other applicable authorities covered in this document. State agencies that make sub-awards ~~must have in place standard grant assurances and review procedures to demonstrate that that~~ they are effectively monitoring the civil rights compliance of sub-recipients.

The United States of America has the right to seek judicial enforcement of the terms of this assurances document and nothing in this document alters or limits the federal enforcement measures that the United States may take in order to address violations of this document or applicable federal law.

Under penalty of perjury, the undersigned official(s) certifies that official(s) has read and understood the Recipient's obligations as herein described, that any information submitted in conjunction with this assurances document is accurate and complete, and that the Recipient is in compliance with the aforementioned nondiscrimination requirements.

Samuel U. Rodgers Health Center  
Recipient

2/09/2023  
Date

Bel TE  
Signature of Authorized Official

#### PAPERWORK REDUCTION ACT NOTICE

The information collected will be used for the U.S. Government to process requests for support. The estimated burden associated with this collection of information is 30 minutes per response. Comments concerning the accuracy of this burden estimate and suggestions for reducing this burden should be directed to the Office of Privacy, Transparency and Records, Department of the Treasury, 1500 Pennsylvania Ave., N.W., Washington, D.C. 20220. DO NOT send the form to this address. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a valid control number assigned by OMB.



**SAMUEL U. RODGERS**  
HEALTH CENTER

**BOARD OF DIRECTORS**

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**John P. Smith, Jr., DO**

**Mamie Hughes Rodgers**  
*Emeritus*

**EXECUTIVE STAFF**

**Bob Theis, CPA, BA, MA**  
*Chief Executive Officer*

**Janelle Harvey Jordan, SPHR**  
*Chief Operating Officer*

**Chris Walker, CPA/CITP, CGMA, MBA**  
*Chief Financial Officer*

**Daniel Gillen, FNP-C**  
*Chief Clinical Officer*

**Patricia Beatty, MBA**  
*Chief Quality Officer*

**Amanda Whiting, DDS**  
*Chief Dental Officer*



**Jackson County – Funding for Health Center Construction and Capital Improvements 81-22**

**Name:** Samuel U. Rodgers Health Center  
**Address:** 825 Euclid Ave. Kansas City MO 64124-2323  
**Telephone Number:** 816-474-4920  
**Contact Person:** Bob Theis  
**Contact Person's Title:** Chief Executive Officer  
**Contact Phone:** 816-889-4802  
**Contact Email:** btheis@samrodgers.org

**Background**

The COVID-19 pandemic is disproportionately affecting the poor, minorities, and a broad range of vulnerable populations. This is primarily due to its inequitable spread in areas of dense population, limited mitigation capacity due to high prevalence of chronic conditions, or poor access to high quality public health and medical care.

Samuel U. Rodgers Health Center (Sam Rodgers) has served the people of Jackson County for over 50 years since we were founded by the legendary Dr. Samuel U. Rodgers in 1968. Today, we operate out of four locations in the greater Kansas City area and serve approximately 23,000 patients every year. Over 90% of our patient population are uninsured or use Medicaid insurance. Sam Rodgers is also unique in that we serve an extremely diverse group of patients. Nearly 56% of our patients are best served in a language other than English, with more than 80% self-identifying as minorities, and serve a sizeable population of refugees, new migrants, and asylum seekers.

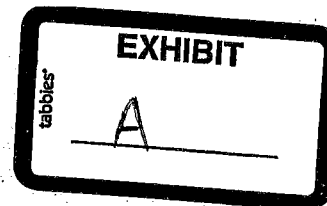
We are committed to eliminating barriers that medically and socially vulnerable people often experience in accessing healthcare. A prime example is Sam Rodgers' innovative program to provide transportation to/from any of our clinic sites, which has resulted in fewer missed appointments. Our trained and certified insurance counselors can help patients and the public with application and enrollment assistance for MO HealthNet (Medicaid/CHIP), and Affordable Care Act Marketplace health insurance options. The community access and engagement team support both on and off-site health education in collaboration with other social service providers, schools, and corporate partners. Outreach staff are committed to helping people navigate an unfamiliar, complex healthcare system. These services have become especially critical over the past year, due to a dramatic increase of refugees being assisted through our partner agencies and needing healthcare and resettlement services.

In 2020, the Covid-19 pandemic forced Sam Rodgers to close and severely limit medical clinics. We shut down all dental operatories due to the high risk of aerosol generation. Yet the need for access to high quality primary health services for men, women and children has never been greater. To keep our community healthy, to date in 2022 we have provided 20,480 COVID-19 tests, 19,300 COVID-19 vaccinations, and distributed 22,000 home testing kits.

Sam Rodgers recently received the HRSA Silver Health Quality Leader Award, placing us in the 2nd decile (top 11-20%) of the best overall clinical quality measure (CQM) performance among all health centers in the nation. Sam Rodgers is proud to be a leader in the Kansas City area to build healthier communities.

Quality Care • Universal Compassion

825 Euclid Avenue // Kansas City, MO 64124-2323 // phone 816-474-4920 // fax 816-474-4914 // www.rodgershealth.org



## Project Narrative

Samuel U. Rodgers Health Center is requesting a total of \$1,145,209 from Jackson County to fund COVID-19 related projects. Of that amount, \$400,000 will go to construct the innovative Propeller Building that will adjoin the new Sam Rodgers Place housing community. This space will house neighborhood-based public health activities to benefit the community, such as COVID-19 education and referrals for vaccination and testing. We are asking for an additional \$169,100 to purchase the equipment needed to develop an Optometry Suite. \$344,109 of the funds will be used for the structural repair of the parking lot and foundation of the Sam Rodgers Cabot Westside Medical and Dental Practice location to keep the facility safe and prevent further erosion. \$50,000 to install casework in our Cabot Westside Dental and Medical Practice. Then the remaining \$182,000 will go toward a new GE X-Ray system because the current unit is approaching its end of life.

### Planned Activity:

**Propeller Building (C/E of an existing facility)** - The \$400,000 requested will build on an existing foundation to provide programming which will focus on health, wellness, and community-oriented activities, with ongoing outreach and activities with residents of Sam Rodgers Place. We understand the value Social Determinants of Health have in health equity. We work with patients and other community members to identify and help resolve these non-medical factors that influence health outcomes. Sam Rodgers is committed to the long-term growth and success of the neighborhood. Our mission is to provide high quality, compassionate and affordable healthcare for all; our vision: healthy people in a healthy community. The Propeller Building will add an economic development-focused component to our work. It will be integrated into the expanded campus being created through the development of the 62-unit Sam Rodgers Place right next to our existing Downtown Campus facility. Residents will have access to Sam Rodgers Community Health Workers (CHWs) who can connect them with an assortment of resources from medical care or transportation to assistance with food, clothing, housing, legal services, diapers, etc. Additionally, CHWs can assist with enrollment in insurance, WIC, Healthy Start, and Parenting Classes. The Propeller Building will hold Behavioral Health group sessions and provide training by Behavioral Health Consultants for the ACT Raising Safe Kids Program. These community programs are known to improve access to health care services, reduce the need for emergency and specialty services, and help provide a better understanding between community members and the health and social service system. **Timeline:** November 2022 to August 2023.

**Optometry Suite (Equipment only)** - The \$169,100 requested for the Optometry Suite will fund the equipment needed to provide eye care services to Sam Rodgers patients. During a child's first 12 years of life 80% of their learning happens through their eyes. Access to an eye exam can help detect and correct eye problems that may affect how a child learns and behaves. Adding optometry to our scope of services is also necessary because all diabetic patients require eye exams as part of their routine care. Access to vision services will truly make Sam Rodgers a one-stop shop. The addition of an Optometry Suite would ease the burden of achieving annual vision exams for nearly all our 1,800 diabetic patients. **Timeline:** May 2023.

Diabetic retinopathy is an eye condition that can cause vision loss and blindness in people who have diabetes. It affects blood vessels in the retina (the light-sensitive layer of tissue in the back of your eye). It is important that diabetic patients get a comprehensive dilated eye exam at least once a year. Diabetic retinopathy is a condition in which high blood sugar causes retinal blood vessels to swell and leak blood. If left untreated, diabetic retinopathy can cause blindness. The addition of optometry services at Sam Rodgers will be immensely beneficial to our diabetic patients.

**Parking Structure Repair (A/R)** - The \$344,109 is for dire parking structure repairs at our Cabot Westside Medical and Dental Practice (Cabot Clinic) located at 2121 Summit St. These repairs are critical to make our clinic safe and accessible for our patients and staff. Recently, significant damage in the parking garage was discovered. The parking structure which is attached to the clinic is deteriorating due to water/weather elements undermining the structure. If not repaired, the significant damage of the parking lot will impact access to the building to such an extent that it may have to close for patient services. This funding will not only prevent hazards, but it will also allow our providers to continue to give the high-quality care their patients deserve. **Timeline:** April 2023 to June 2023.

**Casework installation (A/R)** – Our Cabot Clinic has new case work (counter tops, drawers, cabinets) that is ready to be installed. The installation cost is \$50,000. This new case work will improve both our medical and dental departments. Currently, the dental department has inconsistent layouts in the dental rooms. Replacing the existing case work in dental will ensure that the dental rooms will all have a similar layout, which will decrease variability and improve functionality and efficiency. The medical team will benefit similarly to dental with standardization as well as reduced countertop space. Our medical exam rooms are adequately sized but the providers and clinical staff will benefit from the space gained by the reduced casework footprint. The updated case work will allow optimal utilization of the space and allow our providers and clinical teams to care for our patients with less impediments. **Timeline:** November 2022 to December 2022.

**GE X-Ray Unit (Equipment only)** - Lastly, we are requesting \$182,000 for a new GE X-Ray. Our current unit at Sam Rodgers is approaching its end of life. X-Ray technology has improved significantly over the years, and a new GE X-Ray unit is imperative to provide high quality care to our patients. **Timeline:** November 2022.

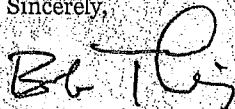
Health equity cannot be achieved without ensuring that every individual and family has fair access to healthcare, healthy food, and a safe environment so that they can reach their full potential. Sam Rodgers is a trusted voice in Jackson County; we are experienced at reaching out to disadvantaged and underserved individuals and families. We appreciate your thoughtful consideration of our request.

#### **Budget**

- |  |           |
|--|-----------|
| • Propeller Building with focus on health, wellness, and community-oriented activities | \$400,000 |
| • Equipment for Optometry Suite:   | \$169,100 |
| • Cabot Westside Medical and Dental Practice Parking Structure Repairs:                | \$344,109 |
| • Casework Installation:   | \$50,000  |
| • GE X-Ray Unit:   | \$182,000 |

**Total: \$1,145,209**

Sincerely,



Chief Executive Officer  
Samuel U. Rodgers Health Center