

COOPERATIVE AGREEMENT
(Low Birth Weight Program)

THIS AGREEMENT, made by and between **JACKSON COUNTY, MISSOURI**, a Constitutional Home Rule Charter County of the First Class of the State of Missouri, hereinafter referred to as "the County" and a Missouri not-for-profit corporation, **SWOPE HEALTH SERVICES 3801 BLUE PARKWAY KANSAS CITY, MO 64130**, hereinafter referred to as "Organization".

WHEREAS, the County and Organization desire to enter into an Agreement to provide funding to be used for the Low Birth Weight Program; and,

WHEREAS, the County deems it to be in the best interest of its citizenry to support such programs and activities; and,

NOW THEREFORE, in consideration of the foregoing and the terms and provisions herein contained, the County and Organization respectively promise, covenant, and agree with each other as follows:

NOW, THEREFORE, it is agreed by and between the parties as follows:

1. **Services**. Organization shall provide Low Birth Weight Program, as is more fully set out in the proposal attached hereto as Exhibit A and incorporated herein by reference. The term of this contract is January 1, 2017, through December 31, 2017, and as such, all expenditures must occur within this period. The budget Organization submitted as part of Exhibit A is considered final and non-changeable. If Organization encounters unforeseen circumstances that require a change to Organization's budget, Organization shall submit a written request to the Jackson County Legislative Auditor's no later than October 31, 2017. Any changes to the budget must be approved by the



Jackson County Legislature.

2. **Terms Of Payment.** The County agrees to pay Organization the total amount of **\$108,778.00** in quarterly installments of **\$27,194.50**, with the payment for the first quarter to be made upon execution of this Agreement. The remaining payments shall be made upon the County's receipt of the reports as set forth in paragraph 3 hereof. The County reserves the right to audit all invoices and to reject any invoice for good cause. The County retains the right to deduct from an invoice of Organization any overpayment made by the County on a prior invoice. The County retains the right to make invoice corrections/changes. The County will not reimburse sales tax expense.

3. **Reports/Other Documentation.** Within 30 days after the conclusion of each calendar quarter under this Agreement, Organization shall submit a quarterly report, including cancelled checks and/or a copy of the face of the check and corresponding bank statements, invoices, and any other documents requested by the Director of Finance and Purchasing, to establish that the funds provided pursuant to this Agreement were used for the purposes set forth herein. The report for the first quarter shall be submitted within 30 days after the execution of this Agreement. The last quarter's report shall include an annual report which shall summarize all of Organization's activities pursuant to this Agreement. Organization's failure to submit this annual report shall disqualify Organization from future funding by the County.

Organization must notify the County in writing on Organization's letterhead, within five working days of the following changes:

- a. Organization name, address, telephone number, administration, or board of directors
- b. Organization funding that will affect the program under this contract

- c. Liability insurance coverage
- d. Management or staff responsible for providing services pursuant to this contract
- e. Any proposed or actual merger or acquisition either taken by the Organization or toward the Organization

4. **Submission of Documents.** No payment shall be made under this Agreement unless Organization shall have submitted to the County's Director of Finance and Purchasing: (1) a written proposal setting out in detail the intended use of the County's funding, including the target population to be served; (2) Organization's IRS Form 990 from the previous fiscal or calendar year; (3) a statement of Organization's total budget for its most recent fiscal year; and (4) a detailed explanation of actual expenditures of the County's funds (pertains to final payments and payments on contracts for future years). If Organization has previously received funding from the County, to be eligible for future payments, Organization must submit either an audited financial statement for Organization's most-recent fiscal or calendar year, or a certified public accountant's program audit of the County's funds. Any documents described herein which were submitted to the Director of Finance and Purchasing as a part of an application for funding need not be resubmitted to qualify for payment. No payment shall be made if Organization is out of compliance on any other County contract, or has not paid county taxes on all properties owned by Organization and assessed by the County.

5. **Equal Opportunity.** Organization shall maintain policies of employment as follows:

- A. Organization and Organization's subcontractor(s) shall not discriminate against any employee or applicant for employment because of race, religion,

color, sex, age, disability, or national origin. Organization shall take affirmative action as set forth to ensure that applicants are employed and employees are treated without regard to their race, religion, color, sex, age, disability, or national origin. Such action shall include, but not be limited, to the following: recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. Organization agrees to post in conspicuous places, available to employees and applicants for employment, notices setting forth the policies of non-discrimination.

B. Organization and Organization's subcontractor(s) shall, in all solicitation or advertisements for employees placed by them or on their behalf, state that all qualified applicants will receive consideration for employment without regard to race, religion, color, sex, age, disability, or national origin.

6. **Employment Of Unauthorized Aliens Prohibited.** Pursuant to §285.530.1, RSMo, Organization assures that it does not knowingly employ, hire for employment, or continue to employ an unauthorized alien to perform work within the State of Missouri and/or Jackson County, and shall affirm, by sworn affidavit and provision of documentation, its enrollment and participation in a federal work authorization program with respect to the employees working in connection with the contracted services. Further, Organization shall sign an affidavit, attached hereto and incorporated herein as Exhibit B, affirming that it does not knowingly employ any person who is an unauthorized alien in connection with the contracted services.

7. **Audit.** The parties agree that the County may, for any reason and at any given time, examine and audit the books and records of Organization pertaining to its

finances and operations as related to County funds. Further, Organization agrees to establish and adopt such accounting standards and forms as recommended by the County prior to receipt of the County's first distribution of funds under the terms of this Agreement. The forms used to document expenditure of these funds may be changed from time to time by the County.

8. **Default.** If Organization shall default in the performance or observation of any covenant, term or condition herein contained to be performed by Organization, the County shall give Organization ten days written notice, setting forth the default. If said default shall continue and not be corrected by Organization within ten days after receipt of notice from the County, the County may, at its election, terminate this Agreement and withhold any payments not yet made to Organization. Said election shall not, in any way, limit the County's rights to sue for breach of this Agreement.

9. **Appropriation Of Funds.** Organization and the County recognize that the County intends to satisfy its financial obligation to Organization hereunder out of funds annually appropriated for that purpose by the County. County promises and covenants to make its best efforts to appropriate funds in accordance with this Agreement. In the event no funds or insufficient funds are appropriated and budgeted, or are otherwise unavailable by any means whatsoever for payment due hereunder, County shall immediately notify Organization of this occurrence and this Agreement shall terminate on the last day for which appropriations were received, without penalty or expense to the County of any kind whatsoever, except as to the portions of the payment amounts herein agreed upon for which funds shall have been appropriated and budgeted or are

otherwise available, or at any time after the last date that County has paid for the Services, if earlier.

County further agrees:

A. That any funds authorized or appropriated for services rendered under this Agreement shall be applied to the payments hereunder until all such funds are exhausted.

B. That County will use its best efforts to obtain authorization and appropriation of such funds including, without limitation, the inclusion in its annual budget, a request for adequate funds to meet its obligation under this Agreement in full.

10. **Conflict Of Interest.** Organization warrants that no officer or employee of the County, whether elected or appointed, shall, in any manner whatsoever, be interested in or receive any benefit from the profits or emoluments of this Agreement.

11. **Severability.** If any covenant or other provision of this Agreement is invalid, or incapable of being enforced by reason of any rule of law or public policy, all other conditions and provisions of this Agreement shall, nevertheless, remain in full force and effect; and no covenant or provision shall be deemed dependent upon any other covenant or provision unless so expressed herein.

12. **Indemnification.** Organization shall indemnify, defend and hold the County harmless from any and all claims, liabilities, damages, and costs (including reasonable attorney's fees directly related thereto) including but not limited to violation of civil rights and/or bodily injury to or death of any person and for damage to or destruction of property if and to the extent caused by the negligence, willful misconduct or omissions

of Organization during the performance of this Agreement.

13. **Insurance**. Organization shall maintain the following insurance coverage during the term of this Agreement.

A. Organization shall maintain Commercial General Bodily Injury and Property Damage Liability insurance, each in a combined single limit of One Million Dollars (\$1,000,000) each occurrence for bodily injury and property damage liability.

B. Organization shall maintain, if any motor vehicles are used in the performance of the Services, Commercial General Bodily Injury and Property Damage Liability insurance, and Automobile Liability insurance including owned, non-owned, or hired vehicles, each in a combined single limit of One Million Dollars (\$1,000,000) each occurrence for bodily injury and property damage liability.

C. Organization agrees to provide the County with certificates of insurance evidencing the above described coverage prior to the start of Services, and annually thereafter, if required by the County. Such certificates shall provide that the applicable insurance policies have been endorsed to provide a minimum of thirty days advance notice to the County in the event of cancellation, non-renewal, or reduction in limits by endorsement.

14. **Term**. The term of this Agreement shall commence January 1, 2017, and shall continue until December 31, 2017, unless sooner terminated pursuant to paragraph 8, 15, or 19 hereof. If this Agreement is terminated by either party, the County shall pay only for those services actually performed by Organization as verified

by the County's audit.

15. **Termination**. This Agreement may be terminated for any reason or no reason by either of the parties upon thirty (30) days' written notice to the other party's designated fiscal representative. All services and payments shall continue through the effective date of termination. Termination of this Agreement shall not constitute a waiver of the rights or obligations which the County or Organization may be entitled to receive as provided in this Agreement, or be obligated to perform under this Agreement for services prior to the date of termination. Should this Agreement terminate, all County written materials of any kind must be delivered and returned by Organization to the County within ten (10) days of the termination of this Agreement.

16. **Standard of Care**. Organization shall exercise the same degree of care, skill, and diligence in the performance of the Services as is ordinarily possessed and exercised by professionals operating under similar circumstances.

17. **Financial Contact**. Organization shall designate a fiscal representative to act as a liaison between the parties to resolve any problems, complaints, or special circumstances encountered in the billing of the services agreed upon here.

Fiscal Representative
Q. Troy Thomas
415 E. 12th Street, Suite 100
Kansas City, MO 64106

Swope Health Services
Naimish Patel, CFO
3801 Blue Parkway
Kansas City, MO 64130
(816) 599-5552

18. **Compliance**. The performance of this Agreement shall be subject to review by the County. The County Compliance Review Officer shall review this contract according to his responsibilities as set out in Chapter 6 of the Jackson County Code.

Organization shall file quarterly compliance reports as required by the County Compliance Review Office. The County warrants that all books, records, accounts, and any other documents in the possession of the County relating to this Agreement are public records open for inspection in accordance with Chapter 610, RSMo.

19. **Remedies For Breach.** Organization agrees to faithfully observe and perform all of the terms, provisions, and requirements of this Agreement, and Organization's failure to do so constitutes a breach of this Agreement. In such event, Organization consents and agrees as follows:

A. The County may, without prior notice to Organization, immediately terminate this Agreement; and

B. The County shall be entitled to collect from Organization all payments made by the County to Organization for which Organization has not yet rendered services in accordance with this Agreement, and to collect the County's reasonable attorney's fees, court costs and service fees if it is necessary to bring action to recover such payments.

20. **Transfer And Assignment.** Organization shall not assign or transfer any portion or the whole of this Agreement without the prior written consent of the County.

21. **Organization Identity.** If Organization is merged or purchased by another entity, the County reserves the right to terminate this Agreement. Organization shall immediately notify the county in the event it is merged or purchases by any other entity.

22. **Confidentiality.** Organization's records concerning the identities of those participating in its programs shall be strictly confidential; the County shall be entitled to examine said records in performing its audit and review functions, but shall not disclose

said identities to any third party in any fashion.

23. **Incorporation**. This Agreement incorporates the entire understanding and agreement of the parties.

IN WITNESS WHEREOF, the County and Organization have executed this Agreement this 29th day of March, 2017.

APPROVED AS TO FORM:

JACKSON COUNTY, MISSOURI

W. Stephen Nixon
W. Stephen Nixon
County Counselor

By Frank White, Jr.
Frank White, Jr.
County Executive

ATTEST:

SWOPE HEALTH SERVICES

Mary Jo Spino
Mary Jo Spino
Clerk of the Legislature

By Chief A. Humber
Title President
Federal Tax I.D. 43-0957840

REVENUE CERTIFICATE

I hereby certify that there is a balance otherwise unencumbered to the credit of the appropriation to which this Agreement is chargeable, and a cash balance otherwise unencumbered in the treasury from which payment is to be made, each sufficient to meet the obligation of \$108,778.00, which is hereby authorized.

Date 3/21/17

A. Humber
Chief Financial Officer
Account No. 002-7601-56789

76012017004

**2017 Jackson County Outside Agency Funding Proposal
Swope Health Services
Low Birth Weight Nurse**

**EXHIBIT a
RES. 19371**

Swope Health Services

3801 Blue Parkway
Kansas City, MO 64130
(816) 923-5800
www.swopehealth.org
fedtaxid: 43-0957840

Swope Health Services 
Low Birth Weight Nurse
Feb 24, 2017
Page 1

Fiscal Year: January to December

GuideStar: 7008186144

Mission: Swope Health Services improves the health and wellness of the community by delivering accessible, quality, comprehensive patient care.

Executive Director

Chief Executive Officer
David Barber
(816) 599-5550
dbarber@swopehealth.org

Contact Person

Chief Financial Officer
Naimish Patel
(816) 599-5552
npatel@swopehealth.org

Check the Jackson County Legislative District and your At-Large District where your agency is located?

District 2: Yes

At-large District 2: Yes

**2017 Jackson County Outside Agency Funding Proposal
Swope Health Services
Low Birth Weight Nurse**

Agency Revenue Information

Swope Health Services
Low Birth Weight Nurse
Feb 24, 2017
Page 2

Funding Entity	Source Description	2016 Actual	2017 Projected
Federal	HHS	\$10,158,745	\$10,287,686
State	MPCA, MODOT, DMH, WIC, AND KDHE	\$19,550,652	\$21,821,539
Jackson County	Mental Health Levy, COMBAT, Outside Agency Funding	\$1,004,367	\$1,341,732
City of Kansas City	Health Levy	\$1,537,999	\$1,698,236
Charity/Donations			
Fundraisers			
Donations	WHF, MFHC, REACH, MCHC, HCFGKC, and Net Pat. Care	\$14,349,385	\$13,470,434
		\$46,601,148	\$48,619,627

Please check if your agency has cash reserves: Yes
What is the current balance? \$4,945,998

Please check all Jackson County sources your agency received funding from in 2016:
COMBAT: Yes
Mental Health Levy: Yes
Outside Agency: Yes

Please check any of the following your agency received funding or resources from in 2016:

	Goods	Services	Cash	Amount
Harvesters				
Mid America Regional Council				
MAAC Link				
United Way			Yes	\$9,307

**2017 Jackson County Outside Agency Funding Proposal
Swope Health Services
Low Birth Weight Nurse**

Date Program was Initiated: 2012

Swope Health Services
Low Birth Weight Nurse
Feb 24, 2017
Page 3

What time period does this program run: All Year

Provide program description: The purpose of this request is for funding to support Swope Health Services' (SHS') Low Birth Weight Program. With the assistance of funding from Jackson County, SHS was able to hire a full time Registered Nurse who will, in collaboration with an SHS Physician, perform Prenatal and Family Planning services. This care team will perform these duties at two of Swope's satellite clinics located in Jackson County, SHS-South and SHS-Independence. They will provide a variety of services which will include, but are not limited to: education and outreach to avoid delays in initiation of prenatal care and decrease missed appointments; preconception planning and birth spacing education; teen and adult education on reproduction and STD issues. This program includes outcomes metrics in regard to: 1) Prenatal care and education according to evidence-based prenatal guidelines for the appropriate trimester; 2) Number of low birth weight babies born among total deliveries; 3) Percent of women receiving care in the first trimester; and 4) Percent of clients not having a positive pregnancy test within 15 to 18 months following initiation of contraceptive measures.

Describe the benefits of this program to Jackson County Missouri: According to data from the Infant Health Profile for Jackson County residents (health.mo.gov), 2008-2012, Jackson County has an age-adjusted rate of 8.3% for Low Birth Weight infants. The State rate was reported at 8.03% for the same timeframe. This program will provide targeted healthcare and educational services that assist in the reduction of low birth weight outcomes for Jackson County residents. Prenatal Education and support, with emphasis on appropriate prenatal care beginning in the first trimester, helps increase the probability of deliveries resulting in infants weighing 2500 grams or more. Compliance with prenatal visits is more likely when it is initiated in the first trimester. STD education and preconception planning emphasize the importance of obtaining and maintaining reproductive health as well as appropriate birth spacing education for optimal delivery outcomes.

Describe target population to be served: Swope Health Services' primary target population for this program is all female patients between the ages of 13-45. Male participants are also being served in relationship to preconception, contraceptive and STD education as well as treatment. Although patients may come from across Jackson County, services are delivered at the SHS-South and SHS-Independence clinics.

What are the qualifications for participants: Swope Health Services, as a federally qualified health center provides medical, dental and behavioral health services to all patients and clients, regardless of race, ethnicity, culture or insurance status. Most Low Birth Weight program participants are low-income, Jackson County residents.

Check if your services are available to anyone: Yes

Do you maintain a database of participants: Yes

Number of participants from Jackson County: 630

Number of participants from Other Areas: 10

Total Number of participants: 640

Outcomes

Outcome 1: Clients reporting a positive pregnancy test will receive appropriate prenatal education according to the corresponding trimester. This will include the immediate initiation of prenatal care, if this has not already occurred.

How will outcome 1 be measured: Pregnant women's trimester of entry into care and corresponding trimester prenatal education will be documented and reported.

Outcome 2: 90% of all reported births will be 2500 grams (5lbs 90zs.) in weight or greater.

How will outcome 2 be measured: The delivery outcome information received will be categorized according to infant birth weight and the total percentage of live births 2500 grams and over will be calculated and reported. .

Outcome 3: Clients choosing to postpone pregnancy through contraception will receive education concerning family planning and STD's as appropriate. Those having initiated the contraceptive method within 15 to 18 months will not report a positive pregnancy te

How will outcome 3 be measured: Clients' educational encounters will be documented and clients initiating contraception within the past 15 to 18 months will be evaluated for the documentation of a positive pregnancy test.

Outcome 4:

How will outcome 4 be measured:

Outcome 5:

How will outcome 5 be measured:

Please classify your program from the following types of services:

Community Improvement/Outreach: Yes

Health/Wellness: Yes

Indigent Population: Yes

Youth Services: Yes

Prenatal services: Yes

What Jackson County Legislative Districts are served by this program:

District 2: Yes

District 3: Yes

At-large District 2: Yes

At-large District 3: Yes

**2017 Jackson County Outside Agency Funding Proposal
Budget as Awarded
Swope Health Services
Low Birth Weight Nurse**

Total 2017 Program Budget: \$108778

Swope Health Services
Low Birth Weight Nurse
Feb 24, 2017
Page 4

Salaries

Position/Title	Description	Amount of Salary Requested	Total Salary
RN	Provides low birth weight management care	\$61,800	\$61,800
Fringe Benefits		\$14,832	
Total Salaries & Fringe Benefits		\$76,632	

Contractual Services & Supplies

Description	Amount
Staff development/training	\$1,000
Medical supplies and educational and family planning tools and materials	\$2,024
Occupancy (Share of telephone, utilities, space, and environmental services)	\$15,931
Indirect costs (12.4% of direct costs)	\$13,191
Total Contractual Services & Supplies	\$32,146

Program sustainable without Jackson County Funding	No
Total Cost to Run Program WITHOUT Jackson County Funding	\$117,119
Cost/Participant	\$186
JACO Funding/Total Program Cost	%

**2017 Jackson County Outside Agency Funding Proposal
Swope Health Services
Low Birth Weight Nurse**

Swope Health Services
Low Birth Weight Nurse
Feb 24, 2017
Page 5

Reviewed the Non-Allowable Expenses: Yes

Reviewed Executive Order 04-18 to deem your agency in compliance if funding is awarded and approved: Yes

Include the Jackson County Logo and credit Jackson County in marketing efforts and provide the Auditor's Office with copies: Yes

Certificate of Liability Insurance valued at a minimum of \$1 million per occurrence or \$2 million annual aggregate: Yes

Missouri Secretary of State Certificate of Good Standing: Yes

Missouri Secretary of State Annual Registration Report: Yes

Financial Statements (Balance Sheet, Income Statement, Cash Flow Statement): Yes

WORK AUTHORIZATION AFFIDAVIT

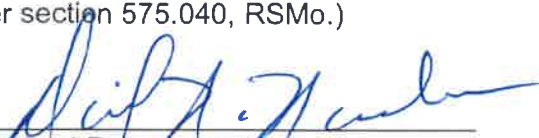
As a condition for any service provided to the County, a business entity shall, by sworn affidavit and provision of documentation, affirm its enrollment and participation in a federal work authorization program with respect to the employees working in connection with the contracted services.

Business entity, as defined in section 285.525, RSMo pertaining to section 285.530, RSMo, is any person or group of persons performing or engaging in any activity, enterprise, profession, or occupation for gain, benefit, advantage, or livelihood. The term "business entity" shall include but not be limited to self-employed individuals, partnerships, corporations, contractors, and subcontractors. The term "business entity" shall include any business entity that possesses a business permit, license, or tax certificate issued by the state, any business entity that is exempt by law from obtaining such a business permit, and any business entity that is operating unlawfully without such a business permit.

Every such business entity shall complete the following affidavit affirming that it does not knowingly employ any person who is an unauthorized alien in connection with the contracted services. The completed affidavit must be returned as a part of the contract documentation.

This affidavit affirms that **Swope Health Services**, is enrolled in, and is currently participating in, E-verify or any other equivalent electronic verification of work authorization operated by the United States Department of Homeland Security under the Immigration Reform and Control Act of 1986 (IRCA); and, **Swope Health Services**, does not knowingly employ any person who is an unauthorized alien in conjunction with the contracted services.

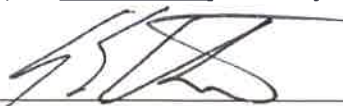
In Affirmation thereof, the facts stated above are true and correct. (The undersigned understands that false statements made in this filing are subject to the penalties provided under section 575.040, RSMo.)



Authorized Representative's Signature
President/CEO
Title

Dave Barber
Printed Name
3-17-2017
Date

Subscribed and sworn before me this 17th day of March, 2017. I am commissioned as a notary public within the County of Jackson, State of Missouri, and my commission expires on 2/29/2020.



Signature of Notary

3-17-2017
Date

